

## Jury Member Report – Doctor of Philosophy thesis.

Name of Candidate: Dmitrii Semenok

PhD Program: Materials Science and Engineering

Title of Thesis: Computational design of new superconducting materials and their targeted experimental

synthesis

Supervisor: Professor Artem Oganov

**Co-supervisor:** Assistant Professor Alexander Kvashnin

## Name of the Reviewer: Prof. Giovanni Hearne, University of Johannesburg (grhearne@uj.ac.za)

I confirm the absence of any conflict of interest			
(Alternatively, Reviewer can formulate a possible conflict)	Date: 10-SEPT-2022	11	_

The purpose of this report is to obtain an independent review from the members of PhD defense Jury before the thesis defense. The members of PhD defense Jury are asked to submit signed copy of the report at least 30 days prior the thesis defense. The Reviewers are asked to bring a copy of the completed report to the thesis defense and to discuss the contents of each report with each other before the thesis defense.

If the reviewers have any queries about the thesis which they wish to raise in advance, please contact the Chair of the Jury.

#### **Reviewer's Report**

Reviewers report should contain the following items:

- Brief evaluation of the thesis quality and overall structure of the dissertation.
- The relevance of the topic of dissertation work to its actual content
- The relevance of the methods used in the dissertation
- The scientific significance of the results obtained and their compliance with the international level and current state of the art
- The relevance of the obtained results to applications (if applicable)
- The quality of publications

The summary of issues to be addressed before/during the thesis defense

#### 1. Brief evaluation of the thesis quality and overall structure of the dissertation

The thesis of Dmitrii Semenok comprises six chapters devoted to the computational study and physical characterization of binary and ternary superhydrides of thorium (ThH<sub>9</sub> and ThH<sub>10</sub>), yttrium (YH<sub>6</sub> and YH<sub>9</sub>), europium and other lanthanides (Ce, Pr, Nd), and ternary disordered lanthanum-yttrium (La-Y) systems, which potentially entail very high superconducting transition temperatures Tc (> 200 K). This work describes the structural characteristics, electronic properties and where appropriate magnetic properties of cubic decahydrides, hexahydrides, and hexagonal metal nonahydrides. The computational methods involve evolutionary algorithms and density functional theory methods for predicting the stabilization of polyhydrides under high-pressure and high-temperature (high P-T) conditions. Strong electron-phonon coupling theory is used to deduce Tc values from the phonon density of states obtained from the computational methods. In a final chapter the systematics and trends involving the correlation of Tc to lattice and electronic structures is deduced, based on the accumulated experimental data in the preceding chapters and the computational methods. In so doing it provides guidance as to what other candidate superhydrides should be targeted to obtain long sought after room temperature superconductivity. The thesis demonstrates the complementarity of deploying modern computational methods in tandem with state-of-the-art high P-T synthesis methods for effective and efficient exploratory studies of phase space in the search for room (or sufficiently elevated high) temperature superconductivity in the superhydrides. This is the "holy grail" of condensed matter physics, and as such the subject and accomplishments of this thesis is an exceedingly hot topic at the current time.

The thesis is well structured and organized, and is accompanied by appropriate well compiled and clearly formatted figures to convey results and outcomes of experiments and computational aspects. As a whole, the thesis is about 200 pages. In this large compilation there is commendably very few editorial errors. I found the text, aided by numerous well-formatted figures, easy to follow and understand. In the condensed matter community there is skepticism about the claimed very high Tc's in these super- or polyhydrides. The author has made commendable and objective efforts and arguments to showcase very high Tc superconductivity in the compounds predicted and synthesized as part of this thesis work. A summary of the thesis contents follows below:

Chapter 2, deals with the thorium polyhydrides. Initially the computational aspects predicting their structural stabilization and electronic properties at high pressure exceeding 150 GPa are considered. Then there is a presentation of the experimental synthesis at high P-T in the laser-heated diamond anvil cell (DAC), elucidation of lattice structures in temperature quenched samples at high pressure from synchrotron XRD work and then temperature—dependent resistivity studies (300–80 K) to discern superconducting signatures and Tc.

Chapter 3 has a similar format to Chap 2 and presents a study of the yttrium—hydrogen system at pressures exceeding 200 GPa. The experimental work involves the high P-T synthesis of  $YH_4$ ,  $YH_6$ , and  $YH_9$ , and additionally other superconducting properties like upper critical fields and critical currents, from magneto-electrical-transport measurements at extreme pressures.

Chapter 4 is devoted to an investigation of the stabilization of lanthanide superhydrides and more specifically europium superhydrides. The experiments indicate these are not superconducting, because of the Cooper-pair breaking mechanism associated with the magnetic moments. The computational aspects of the chapter consider the stabilization of other lanthanide (praseodymium and neodymium) superhydrides, as well as magnetic order in these systems.

Chapter 5 considers ternary lanthanum—yttrium superhydride compounds in which La and Y form a solid-solution metallic sublattice. In addition to the high P-T synthesis and physical characterization as in preceding chapters, there is a detailed research of the superconducting properties of the  $(La,Y)H_x$  series of compounds. Preliminary work on high P-T stabilization of  $(Ca,Y)H_x$  polyhydrides is also included.

Chapter 6 considers the trends and any systematics of superconducting properties in binary and ternary superhydrides. This is based on the experimental outcomes of preceding chapters as well as the predictions from computational studies. In so doing the compositional, lattice and electronic structure prerequisites for high-Tc superconductivity in the superhydrides is established, to aid a future search for such candidates as specified in a comprehensive tabulation of such candidates.

There is a concluding chapter to close off the thesis, summarizing discoveries uncovered in this body of research and indicating what future research in this area should entail.

This is followed by voluminous and comprehensive bibliography list of 300 references including much of the most recent contributions in this fast moving field.

Finally the thesis has an appendix, which mainly deals with calculations of superconducting parameters based on BCS theory and details of aspects of the computational methods deployed for prediction of these superconducting properties. There are also various tabulations of the electronic and superconducting parameters for a number of superhydrides, from the computational work.

To conclude: the thesis is a combination of advanced computational studies complemented by frontier challenging experimental work to stabilize and identify superhydride structures, based on high P-T synthesis experiments in the laser-heated DAC, synchrotron XRD structure determination on temperature-quenched samples at high pressure, and then a search for high-Tc superconductivity (often involving Tc > 200 K) using magneto-electrical-transport measurements.

By combining computational-predictive aspects with state-of-the-art synthesis and characterization under extreme P-T conditions in this hot-topical field of polyhydride high-Tc superconductivity, this compilation must rank as one of the seminal works in this fast-moving and exciting field of condensed matter physics and materials science.

#### 2. Relevance of the topic of the dissertation work to its actual content

The thesis of Dmitrii Semenok is entitled: "Computational design of new superconducting materials and their targeted experimental synthesis". To the best of my knowledge, this follows on from his MSc work which was exclusively devoted to computational materials science aspects of very high-Tc superconductivity in various polyhydrides. This PhD thesis then constitutes an advance well beyond the MSc work, by experimentally realizing the computational predictions in stabilizing various classes of polyhydrides under extreme P-T condition in the laser-heated DAC. Compelling indications for very high Tc's at extreme pressures are presented, through appropriate physical characterization of the microscopic samples at very high pressures (well exceeding 100 GPa in many cases).

Thus as the title of the thesis suggests: for each of the five chapters of binary or ternary polyhdride compounds (see section 1) above), there is a computational-predictive aspect extending beyond the previous MSc work, and novel experiments and physical characterization under extreme P-T conditions in the search for very high Tc's in the stabilized high P-T phases.

At present, the attention of many experts in the field of superconductivity is focused on attaining very high Tc's in extreme P-T stabilized polyhydrides. This is also probably one of the hottest topics in materials science and condensed matter physics in the last several years. For instance, the sulfur trihydride  $H_3S$ , discovered in 2015, has a Tc of ~200 K and low isotopic coefficient  $\alpha$  = 0.22. Lanthanum decahydride La $H_{10}$ , discovered in 2018, is claimed to be superconducting at 250 K (-23 Celcius). This far exceeds the previous record threshold of Tc ~ 160 K of the cuprates. This thesis thus emphasizes that new realms of BCS superconductivity are possible if the appropriate compositional and thermodynamic ingredients are in place.

In various cases in the high P-T stabilized polyhydrides, the critical current density ( $\sim$ 50–100 kA/mm²) and their upper critical field exceed similar parameters of all known superconductors. Compelling indications of superconducting signatures at very high Tc (> 200 K) in various classes of polyhydrides as part of major contributions of this thesis, are suggestive that superconductivity near or at room temperature is plausible. This paves the way for a search and discovery of new compounds, that do not require extremely high pressures for structural stabilization and that have Tc's close to or at room temperature.

#### 3. Relevance of the methods used in the dissertation

Computational tools like DFT calculations of the hydride formation enthalpy, an evolutionary search for crystal structures under pressure, and calculations of the band structure and the electron—phonon interaction were used for the computational—predictive aspects of the superconducting properties of the polyhydride compounds. Dmitrii had already acquired this expertise during his previous MSc dissertation work. This laid the foundation for the experimental work in this thesis, which goes well beyond the MSc, through the implementation of novel extreme P-T synthesis procedures in the laser-heated DAC to search for the predicted high-Tc phases. Then there is a search for the superconducting signatures via the only plausible means at this time, involving magneto-electrical-transport measurements. This combination of computational tools ensures better reliability in establishing the structure of the hydrogen sub-lattice in superhydrides and to better predict superconducting and other physical properties of compounds, to guide the search in the challenging high P-T synthesis/experiments.

The computational-predictive methods are often followed by a series of forefront experiments involving pressurization to very high pressures of well beyond 150 GPa in most cases, combined with laser heating for the high P-T stabilization of polyhydride phases. Primarily synchrotron XRD is used for structure determination and temperature-dependent electricial-resistance measurements are used to check for superconductivity, including such latter measurements in applied magnetic fields. This is also near to state-of-the-art deployment of these techniques under extreme P-T conditions. Deploying other experimental techniques to check for superconductivity under these extreme P-T conditions (e.g., heat capacity or magnetometry) is extremely challenging and beyond the scope of this thesis.

The results of the thesis have been presented and discussed at several international conferences and scientific seminars. The author has published five high-quality papers in top-notch journals on the subject of the thesis and 16 articles on the study of polyhydrides. Perhaps this alone would have been sufficient to constitute the PhD thesis (i.e., the collection of papers). So it is further highly commendable that the candidate has additionally submitted the thesis content under review here.

## 4. Scientific significance of the obtained results and their compliance with the international level and current state of the art

My comments in this section to a large extent follow on from what I have indicated in the previous section 3). Dmitrii has deployed the computational materials science expertise he acquired during his MSc and targeted it to the various research contexts of the chapters of the thesis delineated in section 1) above. He has then gone well beyond the MSc, by deploying novel extreme P-T experiments in the laser-heated DAC and physical characterization thereafter at extreme pressure to characterize structure and superconductivity in polyhdride candidates. He has invoked various plausible and compelling arguments for the existence of very high Tc superconductivity in these polyhydride compounds, aided by his very good understanding of BCS superconductivity and by his competency with the computational tools. Not many PhD candidates I know of, make forefront advances or contributions in *both* computational materials science complemented by exceedingly challenging novel (extreme P-T) experimentation.

Dmitrii has succeed in this respect, as attested by the many publications related to this thesis research, where he is a coauthor. It remains to be emphasized below the novel outcomes and discoveries emanating from this thesis that make it appropriate to award a PhD to the candidate:

Chapter 2: Discovery of new thorium–hydrogen compounds at pressures up to 180 GPa (ThH<sub>4</sub>, ThH<sub>6</sub>, ThH<sub>9</sub>, and ThH<sub>10</sub>). ThH<sub>10</sub> has a Tc = 161 K at a pressure of about 170 GPa. This is in accord with the predictions from the computational work of the candidate.

Chapter 3: Deployment of magneto-electrical-transport measurements at extreme pressures to obtain superconducting parameters in yttrium hydrides YH<sub>6</sub> and YH<sub>9</sub>, which exhibit high-temperature superconductivity with Tc(YH<sub>6</sub>)  $^{\sim}$  224 K and T<sub>c</sub>(YH<sub>9</sub>)  $^{\sim}$  240 K at 160–215 GPa. Extrapolated upper critical field of YH<sub>6</sub> is  $^{\sim}$  200 T and the extrapolated critical current density at 0 K is  $^{\sim}$ 50 kA/mm<sup>2</sup>. This is one of the first collaborations to accomplish this in the yttrium polyhydrides.

Chapter 4: Involvement in one of the first collaborations to consider reactions of lanthanides with hydrogen at high pressures, especially for europium, praseodymium, and neodymium. Superconductivity was shown to be absent, due to magnetic properties discerned from the computational work.

Chapter 5: Involvement in one of the first collaborations to study the formation of hydrides in ternary polyhydride systems, notably lanthanum—yttrium—hydrogen and lanthanum—neodymium—hydrogen systems at pressures of 160—190 GPa. In both cases, the formation of a solid solution metal sublattices with a random distribution of La—Y and La—Nd atoms was observed. Whereas the hydrogen sublattice retained high symmetry and superconducting properties. In the La—Y—H system Tc was found to be as high as 253 K.

Chapter 6: Used the experimental results of the foregoing chapters, available results of competing groups and his own computational tools to consider the distribution of superconducting properties in ternary and binary metal polyhydrides. A proposed major finding is that, metal polyhydrides with one or two *d* electrons, as well as hydrides of alkaline-earth elements, are the most promising for attaining very high-Tc properties. Algorithms for analyzing the superconducting properties of polyhydrides were also developed. These highlight the most promising polyhydride superconductors with very high Tc's and provide guidance on the pressure and composition ranges in which they may be synthesized. This has enabled a tabulation of the most promising combinations of elements for designing ternary superconducting hydrides, where research in this area involving the ternaries is the current state-of-the-art in this field.

#### 5. Relevance of the obtained results to applications

The thesis content is to a large extent pioneering studies of a search for high-Tc superconductivity in superhydride compounds. These are stabilized under extreme P-T conditions, well exceeding a 100 GPa. Thus, only microscopic samples can be investigated for this purpose in the requisite microscopic cavities of the laser-heated DAC. Such microscopic samples are not easily recovered to ambient conditions, either being difficult to retrieve from the decompressed DAC sample cavity or not being metastable. Hence this is exploratory work and "proof of concept" investigations, i.e., to demonstrate that long sought after room temperature superconductivity is plausible in compositional-pressure-temperature phase space. Moreover, it may involve conventional BCS superconductivity and need not entail other exotic pairing mechanisms which have been the realm of speculation in the past decades.

In this context it is not now relevant to consider real-world applications. Rather, the thesis contributes to an important advancement in the field of superconductivity, by providing compelling computational and experimental predictions and evidences, respectively, of the attainment of very high Tc's involving BCS superconductivity under extreme conditions. The thesis also provides predictions,

based on the computational and experimental results contained in the thesis, of future candidates which may have very high Tc superconductivity. As such, this thesis is an essential stepping-stone in the direction of attaining room-temperature superconductivity and stabilizing it under ambient conditions, or establishing whether it is at all possible. More importantly, the research of this thesis suggests empirical rules found in the analysis of the distribution of superconducting properties of polyhydrides, which will be used to accelerate the search for the most promising candidates with very high Tc's, probably in ternary hydrides.

#### 6. Quality of publications

The main results of the chapters of the thesis delineated in section 1) above, have resulted in five scientific papers published in high-quality peer-reviewed journals. There also several other papers on related polyhydrides or involving the computational inputs of the candidate, which have been published in top journals where Dmitrii Semenok is a coauthor. This also demonstrates the respect and networking that this candidate has already established for himself in this hot-topical field; and this involves networking and discussion with leading and established figures in the field of superconductivity and condensed matter physics. The results of the thesis have also been presented at several international conferences and seminars, where they were the subject of intense interest, scrutiny and excitement. I have been witness to one or two of these events.

Thus, the outcomes of this PhD present major contributions to a highly topical, competitive and fast-evolving field in materials science and condensed matter physics, viz, routes to very high Tc's in polyhydride compounds. This research has to a large extent been initiated and driven by Dmitii Semenok, where he has networked and collaborated exceeding effectively to realise the original goals of the thesis. This said then, Dmitrii undoubtedly deserves to be awarded a PhD degree.

## 7. Summary of issues to be addressed before the thesis defense

#### a) Editorial improvements

I have annotated or marked in red where *minor issues* throughout the thesis need to be addressed. For the majority of cases these are cosmetic changes including, minor improvements to grammar in some of the statements, incomplete phrases, stylistic issues where subscripts should be used in some of the references (formatting issues), etc. These do not detract from the excellent overall presentation style and organization of the thesis.

Bibliography list. There seem to be numerous cases of minor formatting issues, e.g., subscripts required for chemical formulae. I have marked many of these. Some journal titles are abbreviated and most others are full titles. So some consistency is required here. The candidate should scan through the full reference list and rectify these minor issues. It's a tedious job, but implementing these corrections would make the thesis an almost unblemished body of work.

I also have some **annotations or markings in blue**, which are for highlighting certain points for my benefit as the reviewer. These may be ignored by the candidate.

Therefore I am returning the annotated thesis content along with this report, for the candidate to attend to these minor corrections.

#### b) Scientific content

Chapter-2 thorium polyhydrides. For example Fig. 17(a) depicts the resistance in the superconducting state where the resistance plateaus at ~13.5  $\mu\Omega$ . Can this really be considered as zero resistance ? A similar reservation holds for Fig. 16(b).

Chapter-5 La-Y ternary polyhydrides. Fig. 51 (a) and (b), resistances in the "superconducting" state are ~1 m $\Omega$  and ~0.2 m $\Omega$ . Where are these residual resistances emanating from ? Can this really be considered as zero resistance ?

The candidate will need to comment on these "superconducting states" having finite resistances, in the respective chapters.

These remarks do not detract from the significance of the results obtained and do not affect my overall positive impression of Dmitrii Semenok's thesis.

#### 8. Concluding summary

I would finally like to congratulate the candidate for this superb research. He has made some seminal contributions in this new, exciting, rapidly developing and forefront field of polyhydride superconductivity. To a large extent it has emanated from his creative ideas and skills in both computational-theoretical physics and competency in executing challenging experiments under extreme P-T conditions. Not only has Dmitrii Semenok produced top notch publications on this hot topic, but he has made the additional effort to produce this comprehensive thesis compilation of nearly 200 pages, which is largely free of editorial errors, is well written and organized, and is comprehensive on the subject matter of polyhydride superconductivity. All of this is testimony to his leadership in the field, even at this early stage of him being only an emerging researcher in this domain.

Provisional Recommendation
$\checkmark$ $\Box$ I recommend that the candidate should defend the thesis by means of a formal thesis defense. There
are minor issues to be sorted out in the thesis compilation, as indicated in my report above under point 7).
☐ I recommend that the candidate should defend the thesis by means of a formal thesis defense only after appropriate changes would be introduced in candidate's thesis according to the recommendations of the present report
☐ The thesis is not acceptable and I recommend that the candidate be exempt from the formal thesis defense



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# COMPUTATIONAL DESIGN OF NEW SUPERCONDUCTING MATERIALS AND THEIR TARGETED EXPERIMENTAL SYNTHESIS

**Doctoral Thesis** 

by

DMITRII V. SEMENOK

## DOCTORAL PROGRAM IN MATERIALS SCIENCE AND ENGINEERING

Supervisors
Professor Artem R. Oganov
Assistant Professor Alexander G. Kvashnin

Moscow - 2022

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I hereby declare that the work presented in this thesis was carried out by myself at Skolkovo Institute of Science and Technology, Moscow, except where due acknowledgement is made, and has not been submitted for any other degree.

Candidate (Dmitrii Semenok)

Supervisors (Professor Artem R. Oganov, Assistant Professor Alexander G. Kvashnin)

#### Abstract

In the last six years (2015–2021), many superconducting hydrides with critical temperatures  $T_{\rm C}$  of up to 253 K, a record for today, have been discovered. Now, a special field of hydride superconductivity at ultrahigh pressures has developed. For the most part, the properties of superhydrides are well described by the Migdal–Eliashberg theory of strong electron–phonon interaction, especially when anharmonicity of phonons is taken into account. The isotope effect, the effect of the magnetic field (up to 60–70 T) on the critical temperature and critical current in the hydride samples, the dependence of  $T_{\rm C}$  on the pressure and degree of doping — all data indicate that polyhydrides are conventional superconductors, the theory of which was created by Bardeen, Cooper, and Schrieffer in 1957.

This thesis presents a retrospective analysis of data for 2015–2021 and describes the main directions for future research in the field of hydride superconductivity. The thesis consists of six chapters devoted to the study of the structure and superconductivity of binary and ternary superhydrides of thorium (ThH<sub>9</sub> and ThH<sub>10</sub>), yttrium (YH<sub>6</sub> and YH<sub>9</sub>), europium and other lanthanides (Ce, Pr, Nd), and lanthanum-yttrium (La-Y). This work describes the physical properties of cubic decahydrides, hexahydrides, and hexagonal metal nonahydrides, demonstrates high efficiency of evolutionary algorithms and density functional methods in predicting the formation of polyhydrides under high-pressure and high-temperature conditions. We proposed a theoretical-experimental algorithm for analyzing the superconducting properties of hydrides, which makes it possible to systematize the accumulated experimental data. In general, this research is a vivid example of the effectiveness and synergy of modern theoretical and experimental methods for studying the condensed state of matter under high pressures.

#### **Publications**

- 1. Semenok DV, Kruglov IA, Savkin IA, Kvashnin AG, Oganov AR. On Distribution of Superconductivity in Metal Hydrides. *Current Opinion in Solid State and Materials Science*. 2020 Feb; 24(2):100808-100817.
- 2. Semenok DV, Kvashnin AG, Ivanova AG, Svitlyk V, Fominski VY, Sadakov AV, Sobolevskiy OA, Pudalov VM, Troyan IA, Oganov AR. Superconductivity at 161 K in thorium hydride ThH<sub>10</sub>: Synthesis and properties. *Materials Today*. 2020 Mar; 33:36-44.
- 3. Semenok DV, Zhou D, Kvashnin AG, Huang X, Galasso M, Kruglov IA, Ivanova AG, Gavriliuk AG, Chen W, Tkachenko NV, Boldyrev AI, Troyan I, Oganov AR, Cui T. Novel Strongly Correlated Europium Superhydrides. *The Journal of Physical Chemistry Letters*. 2021 Jan; 12(1):32-40.
- 4. Semenok DV, Troyan IA, Kvashnin AG, Ivanova AG, Hanfland M, Sadakov AV, Sobolevskiy OA, Pervakov KS, Gavriliuk AG, Lyubutin IS, Glazyrin K, Giordano N, Karimov D, Vasiliev A, Akashi R, Pudalov VM, Oganov AR. Superconductivity at 253 K in lanthanum-yttrium ternary hydrides. *Materials Today*. 2021 Sep; 48:18-28.
- 5. Troyan IA, Semenok DV, Kvashnin AG, Sadakov AV, Sobolevskiy OA, Pudalov VM, Ivanova AG, Prakapenka VB, Greenberg E, Gavriliuk AG, Lyubutin IS, Struzhkin VV, Bergara A, Errea I, Bianco R, Calandra M, Mauri F, Monacelli L, Akashi R, Oganov AR. Anomalous High-Temperature Superconductivity in YH<sub>6</sub>. *Advanced Materials*. 2021 33(15):2006832.

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The author thanks Prof. Niu Haiyang (Northwestern Polytechnical University, China) for help in studying the C–S–H system, Dr. Mikhail Kuzovnikov (University of Edinburgh) for providing as yet unpublished data on the low actinide hydrides, Dr. Di Zhou for her help with the reference list, Dr. Jorge Hirsch (University of California) for many critical remarks on superconductivity in polyhydrides, Prof. P. Zinin (STC UI RAS, Moscow) for the measurements of the temperature in DACs during laser heating, and Igor Grishin (Skoltech) for proofreading and editing the text of the thesis.

The author acknowledges the teams at the European Synchrotron Radiation Facility (ESRF) high-pressure stations ID27 and ID15b, and at the Japanese synchrotron source (Spring-8) station BL10XU, namely Dr. M. Hanfland, Dr. G. Garbarino, Dr. M. Mezouar, Prof. K. Shimizu, and Dr. Y. Nakamoto, for their help in the diffraction experiments; and the team of the Dresden High Magnetic Field Laboratory (HZDR HLD), especially Dr. Toni Helm and Dr. Stanley Tozer (National High Magnetic Field Laboratory, US), for help with the pulsed magnetic measurements.

#### Personal contribution

The author's personal contribution consists of the experimental X-ray diffraction measurements on the synchrotron sources (ESRF, SPring-8, SSRF, Elettra, and KISI) and pulse magnets (HZDR); routine measurements of Raman spectra; preparation of high-pressure diamond anvil cells, their loading and laser heating; synthesis and purification of precursors (ammonia borane, alloys), analysis of their elemental composition (EDS) and structure; and preparation of diamond anvils and electrodes using a Xe focused ion beam.

Investigating the Th–H system, the author personally performed the experimental X-ray diffraction studies at the ESRF (station ID27), laser heating of high-pressure cells, pressure changes, and Raman spectra measurements, interpreted all obtained results, and wrote experimental reports and the research paper. When studying the Eu–H system, the author personally performed the preparation and laser heating of high-pressure diamond cells, and did their X-ray phase study on the synchrotron sources SSRF (Shanghai, China) and Spring-8 (Osaka, Japan).

In this thesis, almost all calculations of the electron-phonon interaction and superconducting parameters of the obtained polyhydrides were performed by the author. In many cases, the calculations of the equations of state and the analysis of the dynamic and mechanical stability of compounds were also done by the author. All the articles on which this thesis is based, the entire analysis of theoretical and experimental data in them, and the idea of conducting the abovementioned studies of polyhydrides belong to the author.

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## List of Symbols and Abbreviations

DAC – diamond anvil cell

GLAG – Ginzburg-Landau-Abrikosov-Gor'kov theory

BCS – Bardeen-Cooper-Schrieffer theory of superconductivity

HTSC – high-temperature superconductivity

ZPE – zero-point energy

Megabar – 100 GPa, GPa – gigapascals.

T - Tesla

EPC – electron-phonon coupling

SOC – spin-orbit interaction

 $T_{\rm C}$  – critical temperature of superconductivity

 $\mu_0 H_{C2}$  – upper critical magnetic field

SCDFT – superconducting density functional theory

DFT – Density Functional Theory

XRD – X-ray diffraction

SSCHA – stochastic self-consistent harmonic approximation

AB – ammonia borane (NH<sub>3</sub>BH<sub>3</sub>)

EPW – open-source community code for ab initio calculations of electron-phonon interactions using the density-functional perturbation theory and maximally localized Wannier functions.

A-D – Allen-Dynes formula

## **Chapter 1. Introduction**

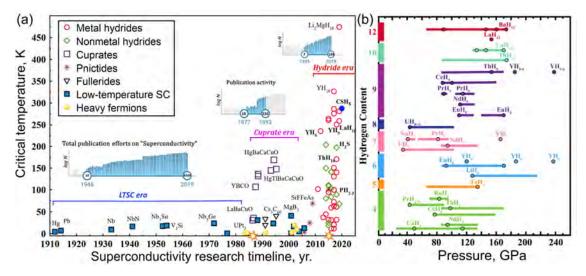
## 1.1 Discovery of polyhydrides and superconductivity in them

Superconductivity (SC) is the property of some materials to possess strictly zero electrical resistance at temperatures below a certain  $T_{\rm C}$  value (critical temperature). Despite more than 100 years of research of this phenomenon, the engineering potential of superconductivity is not yet fully revealed [1, 2]. Notwithstanding the prospects of reducing the electric energy losses and leveling the heat generation in the windings of powerful magnets, wide application of superconductors in motors and power lines is still difficult due to the significant cost of cooling them well below the critical temperature. The search for new, more efficient and higher-temperature superconductors at normal pressure so far has yielded no new results since the discovery of HgBa<sub>2</sub>CaCuO<sub>6+x</sub> in 1993  $(T_{\rm C} = 133 \text{ K})$  [3, 4]. However, at high pressures, new superconductors with record  $T_{\rm C}$ values have been predicted and then experimentally obtained, represented by Im3m-H<sub>3</sub>S  $(T_C = 203 \text{ K})$  [5, 6] and LaH<sub>10</sub>  $(T_C = 250-260 \text{ K})$  [7, 8], binary polyhydrides with anomalously high hydrogen content. Searching for compounds superconducting at even higher temperatures requires studying ternary and more complex hydrides, which dramatically increases the variety of possible compounds (combinations of atoms) that is virtually impossible to enumerate in a blind experimental search.

Recent (2005–2015) advances in computational materials science and chemical process prediction at extreme pressures of tens and hundreds of gigapascals (GPa) have changed approaches to finding new superconductors. Evolutionary algorithms have now reached a high level of predictive accuracy in determining new crystal structures of inorganic compounds and are less costly than "blind" experimental enumeration. One of the best and most powerful methods for predicting thermodynamically stable compounds is USPEX algorithm [9-12]. It has been used to achieve important results in obtaining new superhard materials [13], the first high-temperature superconducting hydrides (H<sub>3</sub>S [5, 14] and Si<sub>2</sub>H<sub>6</sub> [15, 16]), and magnetic and electronic materials. In the case of polyhydrides synthesized at high pressures, ab initio methods make it possible to establish the structure

of the hydrogen sublattice which cannot be done using X-ray methods. The results of a structural search can be verified by measuring the critical temperature of superconductivity in hydrides because high  $T_{\rm C} > 100-200$  K is usually associated with a highly symmetrical hydrogen sublattice to achieve the desired parameters of the electron–phonon interaction.

After the discovery of superconductivity in sulfur hydride H<sub>3</sub>S in 2015 [5], the next research milestone was the experimental work by Z. Geballe et al. (2018) [17] in which the authors had managed to synthesize the previously predicted LaH<sub>10</sub> superhydride [18,19] at a pressure of 175 GPa. About a year later, superconductivity at 250 K has been revealed in the newly found LaH<sub>10</sub> [7], which has thus surpassed in critical temperature and critical magnetic field all cuprate-based compounds found in the previous 33 years since 1986. Only two years had passed between the prediction and discovery of the new record superconductor. This minimal time gap illustrates the progress (Figure 1a) that has been made in computational materials science and experimental techniques working with ultrahigh pressures using diamond anvils. By now, lanthanum hydrides still have not been sufficiently investigated. Higher hydrides LaH<sub>10</sub> (C2/m,  $R\overline{3}m$ ,  $Fm\overline{3}m$ , P63/mmc) and P4/nmm-LaH<sub>11</sub> have been obtained in experiment, as well as  $Pm\overline{3}m$ -LaH<sub>12</sub> (at 167 GPa); many superconducting transitions in lower lanthanum polyhydrides LaH<sub>x</sub> (x < 10) have been experimentally observed [20,21].



**Figure 1.** (a) Time scale of superconductors discovery and their critical temperature of superconducting transitions. Metal hydrides are shown by red circles, covalent hydrides of nonmetals — by green rhombuses, iron-containing pnictides — by asterisks, cuprate compounds — by hollow squares, low-temperature superconductors described mainly by the Bardin–Cooper–Shrieffer–Migdal–Eliashberg theory — by filled squares, systems with heavy fermions — by yellow shaded circles, and fullerides — by triangles. The insets show the number of publications on the corresponding topics on a logarithmic scale (according to Semantic Scholar). (b) Stability regions (in GPa) and hydrogen content per 1 atom of metal (up to x = 12) in the best-known superhydrides investigated over 2015–2021.

Over the past six years (2015–2021), many different superhydrides have been synthesized (Figure 1b), both non-superconducting (FeH<sub>5</sub> [22, 23], magnetic neodymium superhydrides NdH<sub>7</sub> and NdH<sub>9</sub> [24], cubic and hexagonal praseodymium hydrides PrH<sub>9</sub> [25]) and superconducting (uranium hydrides UH<sub>7</sub>, UH<sub>8</sub>, and UH<sub>9</sub> stable at record-low pressures [26], thorium polyhydrides ThH<sub>9</sub> and ThH<sub>10</sub> ( $T_C = 161$  K [27]), cerium hydrides CeH<sub>9</sub> and CeH<sub>10</sub> ( $T_C \sim 110$  K [28,29]), and yttrium hydrides YH<sub>6</sub> and YH<sub>9</sub> ( $T_C = 224$  [30] and 243 K [31])). Most of these compounds were first predicted theoretically and then obtained experimentally, which proves the efficiency of the evolutionary search for thermodynamically stable compounds based on the methods of the density functional theory.

Binary hydrides have already been studied quite extensively as possible hightemperature superconductors, therefore the most intensive research in the field is now focused on ternary systems. The calculations using artificial intelligence algorithms, in particular neural networks, point to ternary hydrides as more promising in terms of both critical temperature and reduction of the synthesis pressure [32-36]. For example, it has been discovered in 2020 that at a pressure of several gigapascals a mixture of methane and sulfur hydride H<sub>2</sub>S photochemically forms a molecular compound which under further compression transforms into a unique material — either carbon-doped H<sub>3</sub>S or an organic compound CSH<sub>x</sub> — which at 270 GPa demonstrates a sharp resistance drop at +15 °C [37].

Computer simulations of metal superhydrides show that at pressures of up to 200– 300 GPa (at higher pressures the experimental study of superconductivity is now difficult), the maximum critical temperatures of superconductivity are achieved in hydrides of elements of groups 2 and 3, such as Ca, Sr, Sc, Y, La, Ac, Hf, Zr, Th, Ce, and Mg, containing 6–10 hydrogen atoms per metal atom. At the moment, the experimental search for promising hydride superconductors is mainly limited to this set of elements and their combinations [36]. For example, calculations show that in the Li-Mg-H system, clathrate hydride Li<sub>2</sub>MgH<sub>16</sub> with  $T_{\rm C}$  above 400 K may exist [32] (although it would be metastable and unlikely to be obtained in experiment, moreover, taking into account the melting of the hydrogen sublattice leads to a decrease in  $T_{\rm C}$ ); for the Ca–Y–H and Ca–Mg–H systems, cubic hexahydrides Pm3m-CaYH<sub>12</sub> and CaMgH<sub>12</sub> with critical temperatures of 240–260 K have been predicted. Ternary hydrides of lanthanum-cerium, lanthanum-thorium, lanthanum-boron [38], and potassium-boron [39] are expected to demonstrate exceptionally high stability (stabilization pressure from 12 to 60 GPa) and critical temperatures of superconductivity above 100 K, bringing us closer to a discovery of a new class of hydrogen-bearing compounds which would be stable under normal conditions. Thus, a lot of work is to be done to synthesize ternary superhydrides and investigate their properties. In this thesis, I try to outline future research in this area.

## 1.2 Classes of polyhydrides

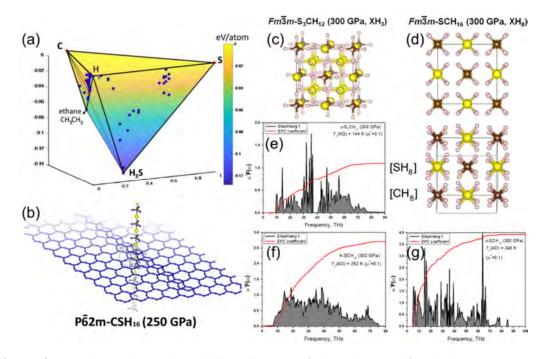
In hydrides, hydrogen can be present in different forms: molecular (e.g., LiH<sub>6</sub>), ionic (KH), and atomic (YH<sub>6</sub>). By the type of the element–hydrogen bond, hydrides can be divided into covalent (H<sub>3</sub>S, SnH<sub>4</sub>), ionic (AlH<sub>3</sub>), metallic (LaH<sub>19</sub>), and mixed (molecular metal BaH<sub>12</sub>) [40-42]. Also, a subclass of magnetic compounds can be set off among metal hydrides. For example, magnetic ordering is expected in the hydrides of neodymium NdH<sub>9</sub> [24], europium EuH<sub>9</sub> [43], samarium SmH<sub>9</sub>, and many other lanthanides. The simultaneous realization of superconductivity in the hydrogen sublattice of hexagonal (e.g., NdH<sub>9</sub>) or layered (such as FeH<sub>5</sub>) hydrides and antiferromagnetic ordering in the metal sublattice can in principle lead to some exotic physical effects typical of cuprates and iron pnictides.

The interest in molecular and mixed superhydrides with a high hydrogen content (pseudo-tetragonal SrH<sub>22</sub> [44] and BaH<sub>21-23</sub> [45]) is due to the similarity of their hydrogen sublattices to the structure and properties of some crystal modifications of pure hydrogen (phases II, IV, V). However, the formation of these superhydrides (or hydrogen doped with 4–6% of Sr or Ba) is observed at much lower pressures (100–170 GPa) than those required to obtain the corresponding modifications of pure hydrogen (350–500 GPa). In molecular strontium superhydrides, gradual metallization and a change in optical transparency with a pressure increase from 90 to 160 GPa can be observed [46], whereas barium hydride BaH<sub>12</sub> [45] shows the emergence of superconductivity and an increase in the critical temperature, just as it has been predicted and partially confirmed experimentally for semiconducting and metallic hydrogen [47-50].

Properties of ionic and mixed metal hydrides will probably allow their use as ionic conductors and electrolytes for electrochemical synthesis of hydrides at high pressures [51]. Indeed, calculations show that the hydrogen diffusion rate ( $\sim 6 \times 10^{-6}$  cm<sup>2</sup>/s [52]) at high pressures in hydrides — in Li<sub>2</sub>MgH<sub>16</sub> in particular — is much higher than in known ionic conductors.

Covalent hydrides are the most enigmatic class. Because of strong element—hydrogen interactions, formation of extended polymer chains and various organic groups is possible. The best studied covalent system is sulfur—carbon—hydrogen. Recent studies of

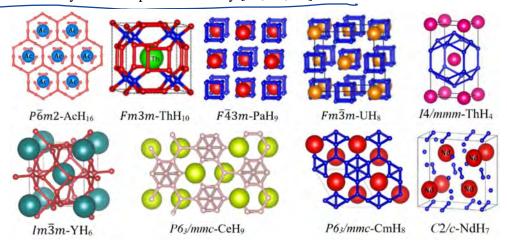
CS<sub>2</sub> compression on diamond anvils indicate the formation of complex branched polymers with semiconducting properties [53]. In the H–S system, which initially seemed quite simple [15], even five years after the discovery of superconductivity in H<sub>3</sub>S more and more hydrides continue to be found [54], often with a very complex structure, such as H<sub>6</sub>S<sub>5</sub> [55]. The observation of a sharp drop in the resistance in sulfur–carbon hydride (C,S)H<sub>x</sub> [37], interpreted by the authors as superconductivity, has attracted universal attention and represents an even more difficult task in terms of establishing the structure of this compound.



**Figure 2.** (a) Thermodynamic stability diagram of the carbon–sulfur–hydrogen system at 250 GPa shows the absence of stable ternary compounds. (b, c, d) Structures of unstable C–S–H ternary superhydrides for which high-temperature superconductivity is theoretically possible. (e, f, g) Eliashberg spectral functions and superconducting transition temperatures  $T_{\rm C}$  calculated using the Allen–Dynes formula (AD [56]).

Large-scale theoretical studies of 2020–2021 [57-60] showed the absence of thermodynamically stable and high- $T_{\rm C}$  superconducting ternary phases in the C–S–H system up to pressures of 300–350 GPa. Those rather rare phases that could show room

temperature superconductivity due to the strong electron–phonon interaction (e.g.,  $P\overline{6}2m$ -CSH<sub>16</sub> (Figure 2) or hypothetical  $Pn\overline{3}m$ -CH<sub>7</sub>) appear to be essentially metastable and should decompose on heating to form previously studied  $Im\overline{3}m$ -H<sub>3</sub>S. Almost all organic compounds are metastable with respect to decomposition into simple molecules (CO<sub>2</sub>, H<sub>2</sub>O, N<sub>2</sub>). However, being situated in the local minima of the potential energy surface, they appear to be dynamically stable, exist for a long time and are formed by chemical reactions with kinetic control. A similar situation may occur as a result of photochemical synthesis at high pressures in the C–S–H system. In this regard, changing the criterion of structure selection in the evolutionary search from the minimum enthalpy to other parameters, such as the best agreement with the experimental X-ray diffraction pattern, different spectra, or the electron–phonon interaction parameters, becomes a relevant suggestion. However, doubts have been expressed recently that the effect detected in the C–S–H system is superconductivity [59, 61, 62].



**Figure 3.** Structural motifs of actinide and lanthanide superhydrides with a hydrogen atomic sublattice. At high pressures, hexagonal and cubic dense packings of heavy atoms predominate.

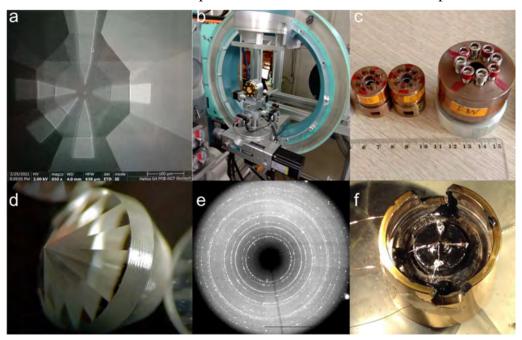
Finally, the simplest and at the same time one of the most important classes are metal superhydrides with an atomic hydrogen sublattice (Figure 3). Such structures are typical metals, with the behavior of electrical resistance in the normal state described by the Bloch–Grüneisen formula [63,64]; below the critical temperature they exhibit the

properties of high-temperature superconductors, have a high density of electronic states at the Fermi level, sometimes even a Van Hove singularity near it [65-67]. As we have shown previously [36], in this class of compounds there is a certain optimal number of hydrogen atoms per metal atom for achieving the highest critical temperature, n = 6-10 in XH<sub>n</sub> hydrides, which formally corresponds to the transfer of ~0.33 electrons per hydrogen atom [19]. Such hydrides are formed at high pressures in reactions of d<sup>0</sup>-d<sup>2</sup> metals with hydrogen and usually have cubic and hexagonal densely packed structures. We have recently found [36] that from a thermodynamical point of view, it is often more favorable for these structures to be slightly distorted, which, however, is difficult to detect using modern experimental methods. As the pressure decreases, at first more and more deviations from the high-symmetry structure are observed, the critical temperature decreases smoothly, then the loss of some hydrogen, change of the lattice composition and symmetry, and a sharp drop in  $T_{\mathbb{C}}$  occur. Thus, the  $T_{\mathbb{C}}(P)$  diagram usually has the form of a bell or an asymmetrical parabola [5,7]: T<sub>C</sub> decreases in both directions from the maximum both at a pressure increase (with a decrease in the electron–phonon interaction constant  $\lambda$  due to "quenching" of the phonon modes) and at its decrease (with distortion of the lattice and decomposition of the compound).

Highly symmetric superhydrides formed by f-block elements Pr, Nd, Sm, U, Pu, Am, and so forth (e.g., PrH<sub>9</sub> [25], EuH<sub>9</sub> [43], NdH<sub>9</sub> [24], UH<sub>7</sub> [26, 68]), do not possess pronounced superconducting properties due to the Cooper pair scattering phenomenon with spin reversal at paramagnetic centers [69]. Moreover, small additions of f-block elements effectively suppress superconductivity [46] in hydrides of d<sup>0</sup>–d<sup>2</sup> elements (LaH<sub>10</sub>, YH<sub>6</sub>) almost without changing their structure, which can be used to study the magnetic phase diagram of superhydrides down to the lowest temperatures. It is important for understanding the mechanism of superconductivity in hydrides that small additions of nonmagnetic elements (such as C, B, N, Al) have almost no effect on the critical temperature of hydrides, whereas are introduction of paramagnetic centers (e.g., Nd) dramatically reduces *T*c.

## 1.3 Metal polyhydrides research methods

X-ray powder diffraction analysis in high-pressure diamond cells using synchrotron radiation remains the main method of determining the structure of hydrides [70]. The technique of focusing the synchrotron radiation has reached submicron resolution, which makes it possible to examine samples of several microns or even a few hundred nanometers in size sandwiched between diamond anvils [71]. The single crystal diffraction method [72, 73] at megabar pressures is becoming increasingly popular. It requires the use of diamond anvils of a special shape with a wide aperture (70–80°) and is applicable if researchers manage to grow microcrystals of sufficient size (0.25–2 μm) in a series of cycles of laser "annealing" of hydride samples. Despite the fact that intense X-ray radiation is dangerous for diamond cells at pressures above 200 GPa because of the risk of anvil cracking [74], other instrumental methods cannot provide an amount of information comparable in value.



**Figure 4**. Elements of high-pressure diamond chambers and the experiment technique. (a) System of five platinum electrodes applied by a focused ion beam to the surface of a diamond anvil. (b) High-pressure chamber mounted on a goniometer for synchrotron imaging (Kurchatov synchrotron radiation source). (c) High-pressure bronze chambers of various types and sizes. (d) Diamond anvil with a conical base made by TISNCM (Moscow). (e) X-ray diffraction pattern of thorium superhydride ThH<sub>10</sub> obtained at ID27





beamline of the European Synchrotron Radiation Facility in Grenoble. (f) Open high-pressure chamber with a four-electrode system and copper wires to study the electrical transport characteristics of hydrides.

X-ray diffraction (Figure 4b,e) makes it possible to determine the hydrogen content indirectly, using the equation of state of the matter investigated in a certain pressure range, and to make assumptions about the structure of the hydrogen sublattice. For this purpose, the results are compared with the most thermodynamically advantageous structures found using evolutionary algorithms based on the density functional theory (DFT) calculations. Diffraction spectra can be calculated easily and accurately from known crystal structures. On the other hand, calculations of the critical temperature of superconductivity, Raman spectra, or sample reflectance are time-consuming and highly dependent on the technical parameters such as pseudopotential; they are more suitable for confirming a presumed structure but not for finding it from scratch.

The main methods for investigating the physical properties of hydrides at pressures above 250–300 GPa are the Raman and infrared spectroscopy of semiconductor phases, optical reflection, and measurements of the electrical transport properties. These methods, which have been successfully developed to study hydrogen metallization [47-50], provide limited information and require time-consuming calculations. Recently, attempts have been made to compare the Raman signals detected in some cases from samples in diamond cells with the expected spectra of metallic superhydrides [75]. This is a rather risky undertaking because calculations of the resonance Raman spectra for metals are complex, whereas dielectric micro-impurities and nanofilms of oxides, hydroxides, organic resins, and other compounds used in the design of diamond cells give a comparable or stronger Raman response than the expected signal from metal hydrides. To date, no systematic studies have been published of the correspondence between Raman spectra and X-ray diffraction results for metal hydrides at high pressures.

Reflection/transmission spectroscopy in the infrared and visible regions makes it possible to determine the bandgap of compounds, the magnitude and temperature dependence of the superconducting gap, and to compare the calculated electronic band

structure with experimental data [49, 76, 77]. Important requirements for realization of these methods are the purity of diamonds — low content of nitrogen, defects, and impurities — and their low luminescence. These requirements are fulfilled for synthetic diamonds produced by the HPHT and CVD methods. To reduce absorption, diamond anvils can be partially drilled [78].

A study of the electrical transport characteristics (Figure 4a,f) makes it possible to determine the hydride's conductivity type, critical parameters of the superconducting state ( $T_{\rm C}$ ,  $I_{\rm C}$ ,  $I_{\rm C}$ ,  $I_{\rm C}$ ), and electrical resistivity in the normal state. In some cases, the Debye temperature can be estimated using the Bloch–Grüneisen formula [79, 80]. The experimental compressibility  $V^{-1} dV/dP$  calculated from the equation of state can be used to obtain some mechanical parameters and, using theoretical models, also estimate the Debye temperature.

Polyhydrides can be considered intermetallides formed by metals and metallic hydrogen. An effective approach to finding their structures would be to compare them with the known binary intermetallides formed by atoms with significantly different radii. Such an approach has been successfully applied in the theoretical study of clathrate Li<sub>2</sub>MgH<sub>16</sub> (predicted  $T_{\rm C}$  is up to 473 K [32]) and in the experimental discovery of Eu<sub>8</sub>H<sub>46</sub> [43] and Ba<sub>8</sub>H<sub>46</sub> [81], which have a large number of prototypes such as Ba<sub>4</sub>Si<sub>23</sub>, Ba<sub>4</sub>Ge<sub>23</sub>, Cs<sub>4</sub>Sn<sub>23</sub>, and so forth.

The fully mathematical approach to the study of the structures of inorganic compounds under pressure proposed by R. Koshoji and colleagues is of interest [82, 83]. The researchers studied the densest packing of spheres of different radii in a three-dimensional Euclidean space. It is known that at high pressures, the packing density of atoms plays one of the crucial roles in the stabilization of chemical compounds. The researchers found that for packages with two and three types of spheres (A and B), when the ratio of the radii  $r_A/r_B$  is large, the space is most optimally filled with clathrate structures when the ratio A:B=1:12, 1:10, 1:9, and 1:6. Polyhydrides of many elements do have such stoichiometries. Unfortunately, the exact ratio of the effective radii of the atoms of hydrogen and the hydride forming element depends on both the pressure and the charges

on the atoms, which prevents the results of these works from being directly applied. Nevertheless, they serve as a mathematical basis for the formation of polyhydrides at high pressures.

### 1.4 Experimental techniques

In 1959, diamond anvils were first used to create ultrahigh pressures in special chambers [84]. The use of diamond, the hardest of the known materials, which is optically transparent up to 220 nm (bandgap 5.5 eV), opened up wide opportunities both for increasing the range of the investigated pressures and for applying optical methods of studying and changing the state of matter. A significant improvement of the diamond anvil was the addition in 1978 of a series of bevels in the vicinity of the culet to smooth its shape [85]. This improvement made it possible to systematically reach pressures of 100–200 GPa and perform routine experiments with a variety of materials. In particular, many works in 1970–2000 were devoted to studying the behavior of pure elements under pressure. In terms of superconductivity, the studies have revealed 22 elements transitioning to the superconducting state under pressure, in addition to the known ~31 elements superconducting at normal pressure [86]. These discoveries have led to the understanding that increasing pressure generally promotes the manifestation and enhancement of superconducting properties, the critical temperatures often increase at compression, and the behavior of Tc(P) function is nonlinear and often surprising (e.g., in NbTi [87]).

The design of diamond anvil cells includes (see also Appendix):

- (1) a gasket (*c*-BN, MgO, CaF<sub>2</sub>, Re, W, Al, Be, etc.), which is a ceramic or metal plate with a hole serving as the walls of the chamber where high pressure is created;
- (2) a medium transferring pressure to the sample (H<sub>2</sub>, Ar, Ne, He, organic liquids, ammonia borane NH<sub>3</sub>BH<sub>3</sub>, etc.);
- (3) a pressure sensor (ruby luminescence, X-ray diffraction from gold or platinum). The pressure can also be estimated from the edge of the Raman signal of the diamond;

- (4) an insulating layer (Al<sub>2</sub>O<sub>3</sub>, 5–100 nm) applied to the anvils to protect them from aggressive media (hydrogen, helium, fluorine, etc.) and to thermally insulate the sample;
- (5) an electrode system, usually multilayered, which is used to supply and read the electrical signal from the sample (Au, Mo, Au/Ta, B-alloyed diamond, etc.). Electrodes are formed using lithography, focused ion beam, magnetron sputtering or deposition from the gas phase (PVD);
- (6) diamond anvils (usually synthetic, Figure 4d), in which the shape and size of the culet mainly determine the maximum pressure attainable in the diamond chamber. Special shapes of diamond anvils allow generating pressures of up to thousands of gigapascals in an area of several microns [88]. To improve the performance, the culet surface of diamond anvils can be modified using focused ion beam etching (Xe FIB). Because diamond anvils cannot be unloaded without partial cracking due to jamming effects when pressures reach 70–80 GPa, experiments with pressures above 1 megabar (100 GPa) almost always require their replacement or remaking;
- (7) bases (seats) for diamond anvils, which transmit and distribute the force from the chamber to the anvils with minimal deformation. They are usually made of tungsten carbide and boron nitride. Seats with a conical anvil seat have the best characteristics [89];
- (8) a diamond cell cylinder and piston, screws, and springs to create and smoothly transmit the force (Figure 4c,f). The cell material should be as hard as possible, nonmagnetic, and having minimum thermal expansion. Suitable materials are beryllium and titanium bronzes and NiCrAl alloys, which are very difficult for milling and lathing.

The most important step in obtaining superhydrides is the synthesis during laser heating. As a source of hydrogen, we systematically used the solid complex of ammonia with boron hydride NH<sub>3</sub>BH<sub>3</sub> (ammonia borane, or AB) [90-92], which decomposes into hydrogen and amorphous polymer [NBH<sub>x</sub>]<sub>n</sub> at temperatures above 200–250 °C [93, 94]. In principle, hydride synthesis can be carried out at a low temperature of 250–400 °C, although heating to 1000–1500 °C is more common because in this case the sample heats and saturates with hydrogen more uniformly. The heating of the metal target accelerates its reaction with hydrogen to form a hydride that is stable at a given temperature and

pressure. It is important to fix the sample between the anvils so that it does not touch them (making a sandwich structure, AB/sample/AB or AB/sample/electrodes): diamond has an extremely high thermal conductivity which makes impossible the effective laser heating of a sample pressed to an anvil.

## 1.5 Peculiarities of the superconducting properties of polyhydrides

High-temperature superconductivity in various hydrides under pressure, which was predicted by Neil Ashcroft [95], was then discovered in many compounds using the DFT calculations. To date, more than 90–95% of the works on hydrides are still theoretical. It is important that in almost all cases, first-principles calculations resulted in an overestimation of the critical temperature of superconductivity (Table 1) due to the failure to take into account the anharmonic vibrations of the hydrogen sublattice as well as because of a possible increase in the effective Coulomb pseudopotential  $\mu^*$  to 0.2 (usually,  $\mu^* = 0.1$ –0.15 is assumed in calculations).

**Table 1.** Highest critical temperatures obtained experimentally and theoretically in the harmonic approximation (at  $\mu^* = 0.1$ ) of some hydride superconductors. The theoretical  $T_C$  values presented have been obtained before the publication of experimental works. Because it is difficult to find data for the same pressure, the comparison is shown for illustration only.

Compound	Experimental	Estimated $T_{\rm C}$ , K	Experimental $T_{\rm C}$ , K	
	pressure, GPa		•	
$Im\bar{3}m$ -H <sub>3</sub> S	150	200 [15]	203 [5]	
$Fm\overline{3}m$ -LaH <sub>10</sub>	160	286 [18, 19]	250 [7]	
<i>P</i> 63/ <i>mmc</i> -YH9	200	303 [19, 96]	243 [31]	
$Im\overline{3}m$ -YH <sub>6</sub>	170	270 [97]	224 [30]	
$Fm\overline{3}m$ -ThH <sub>10</sub>	170	160–193 [27]	161 [27]	
<i>P</i> 63/ <i>mmc</i> -UH7	70	46 [26]	8 [46]	
$F\overline{4}3m$ -PrH <sub>9</sub>	150	56 [36]	6 [25]	
<i>P</i> 63/ <i>mmc</i> -CeH <sub>9</sub>	110	117 [28, 29]	~90 [98]	
$Fm\overline{3}m$ -CeH <sub>10</sub>	100	168 [99]	~115 [98]	
c-SnH <sub><math>x</math></sub>	190	81–97 [100]	76 [101]	
$PH_x$	200	~100 [102]	100 [103]	

$Pm\overline{3}n$ -AlH <sub>3</sub>	110	>24 [104, 105]	<4 [105, 106]
$Im\bar{3}m$ -CaH <sub>6</sub>	170	220–235 [107]	215 [108]

The superconducting properties of metallic and covalent hydrides differ in a number of aspects. One of the features of covalent hydrides is nonlinear temperature dependence of the electrical resistance in the normal state, observed for both H<sub>3</sub>S [5] and (C,S)H<sub>x</sub> [37]. This prevents even an approximate estimation of the Debye temperature using the electrical resistivity in the normal state and the Bloch–Grüneisen formula [79, 109]. Another feature of covalent hydrides is a relatively low upper critical magnetic field. Thus, for room-temperature superconductor (C,S)H<sub>x</sub> with  $T_C = +13-15$  °C, the extrapolated to 0 K value of  $H_{C2}(0) \sim 70$  T [37], whereas for "weaker" superconductors LaH<sub>10</sub>, YH<sub>6</sub>, and YH<sub>9</sub> with  $T_C < 250$  K it exceeds 120–160 T. For comparison, large values of  $H_{C2}(0)$  — up to 300 T on extrapolation — can be achieved only in some iron-containing pnictides, for example in NdFeAsO<sub>0.82</sub>F<sub>0.18</sub> ( $T_C = 49$  K) [110].

The works of the groups of I. Errea and A. Bergara [106, 111-113] led to understanding of a large contribution of the anharmonic oscillations of the hydrogen sublattice to the thermodynamic stability and superconductivity of polyhydrides and, to a lesser extent, polydeuterides. Using the stochastic self-consistent harmonic approximation (SSCHA) method, the researchers answered many questions, including why  $T_{\rm C}$  of palladium deuteride PdD is higher than that of the corresponding hydride PdH, and the question about the unexpected stability of decahydride LaH<sub>10</sub>, which should decay below 210 GPa in the harmonic approximation but exists at pressure drops to 140–145 GPa in experiment. The analysis of the anharmonic corrections shows that in many cases the critical temperature in hydrides lowers by 20–25 K, the electron–phonon interaction coefficient decreases by 20–25% (due to "quenching" of soft phonon modes), whereas the logarithmic frequency increases by 40–50% (300–350 K) in comparison with the harmonic approximation. This decrease in  $T_{\rm C}$  is critical for low-temperature superconductors (e.g., AlH<sub>3</sub> [105]), in which anharmonic effects practically suppress superconductivity.

**Table 2.** Comparison of superconducting state parameters of hydrides in harmonic and anharmonic approximations. The table illustrates the importance of taking into account anharmonicity when studying hydrides.

Compound (pressure,	λ (harm.)	λ (anharm.)	ω <sub>log</sub> , K (harm.)	ω <sub>log</sub> , K (anharm.)	T <sub>C</sub> , K (harm.)	T <sub>C</sub> , K (anharm.)	<i>T</i> <sub>C</sub> , K (exp.)
GPa)	,	,	` /		` /	,	· · · ·
$Im\overline{3}m$ -H <sub>3</sub> S	2.64	1.84	1049	1078	250	194	190
(200) [111]							
$Fm\overline{3}m$ -LaH <sub>10</sub>	3.42	2.06	851	1340	249	238	245
(214) [112]							
$Im\overline{3}m$ -YH <sub>6</sub>	2.24	1.71	929	1333	272	247	224
(165) [30]							
$Pm\overline{3}n$ -AlH <sub>3</sub>	0.95	0.52	485	1050	31	15	<4
(110) [106]							
PdH (0)	1.55	0.4	205	405	47	5	9
[113]							

The main disadvantage of the SSCHA method is the computational complexity. The calculations of the anharmonic Eliashberg function for a single compound can take up to several months. In several recent works, researchers have implemented another approach to take into account anharmonic corrections, which is based on the use of machine-learning potentials and molecular dynamics of polyhydride supercells containing ~1000 atoms [43, 114–118]. This method makes it possible to calculate the anharmonic spectral densities of the phonon states at any given temperature in several days and therefore to correct the phase diagram of compounds, the phonon spectrum, and the high-frequency part of the Eliashberg spectral function  $\alpha^2 F(\omega)$ .

The Migdal–Eliashberg theory [119,120] has one uncertain parameter responsible for the effective Coulomb interaction — the so-called Coulomb pseudopotential  $\mu^*$  whose values are usually 0.1–0.15. Because anharmonic effects are often insufficient to explain the overestimation of  $T_C$  in theoretical calculations, it has been suggested in several works [30, 121, 122] that in pressurized hydrides  $\mu^*$  can take much higher values of 0.2–0.5. The exact value of  $\mu^*$  significantly affects the critical temperature and other parameters of a

superconductor, therefore calculating this parameter correctly is quite important. Currently, the most common method for taking into account the effect of the Coulomb interaction on superconductivity is the so-called DFT method for superconducting compounds (SCDFT), which is based on solving the Kohn–Sham equations for the order parameter [123, 124]. Successfully applied to many superconductors (Nb [125], MgB<sub>2</sub> [126], V<sub>3</sub>Si [127], H<sub>3</sub>S [128]), this method nevertheless gives underestimated *T*<sub>C</sub> (and thereby overestimated μ\*) values for many superhydrides, for example, YH<sub>6</sub> [30], YH<sub>9</sub> [46], and LaH<sub>16</sub> [121]. There is some progress due to the recent introduction of a new exchange–correlation functional SPG2020 [127], which approximates the experimental values better (Table 3). However, only a systematic application of the fully anisotropic SCDFT method and comparison of calculation results with experimental values of *T*<sub>C</sub> can lead us in the future to an understanding of what values the Coulomb pseudopotential can really take in hydrides at high pressures.

**Table 3.** Critical temperature calculated within the DFT theory for superconductivity (SCDFT) compared with the experimental values. The theoretical  $T_C$  values are mostly underestimated.

Compound	T <sub>C</sub> , K (LM 2005)	T <sub>C</sub> , K (SPG 2020)	$T_{\rm C}$ , K
(pressure in GPa)			(exp.)
$Im\overline{3}m-H_3S$ (200) [128]	180	-	190
$Im\overline{3}m$ -YH <sub>6</sub> (165) [30]	156	181	224
P6 <sub>3</sub> /mmc-YH <sub>9</sub> (200) [31, 46]	179	246	243
$Fm\overline{3}m$ -LaH <sub>10</sub> (214) [112]	210		245
P6/mmm-LaH <sub>16</sub> (200) [121]	156	-	241*)

<sup>\*</sup> Harmonic approximation, calculations using the isotropic Eliashberg equations.

The reason for another important correction to the superconducting transition temperature is the anisotropy of the superconducting gap. In most of the early works from 2010–2018, the Migdal–Eliashberg equations were solved in the isotropic approximation, without taking into account anharmonicity, and with empirical values of  $\mu^* = 0.1$ –0.15. However, it has been found in 2015 that factoring in the anisotropy of the Fermi surface, electron–phonon and electron–electron interactions in the energy space in many hydrides

leads to a ~20–30 K increase in the superconducting transition temperature [97,128-130] compared to isotropic calculations. It has been shown that  $Fm\overline{3}m$ -YH<sub>10</sub> exhibits a significant gap anisotropy of  $\Delta \pm 5$  meV, whereas  $Im\overline{3}m$ -YH<sub>6</sub> has two superconducting gaps of 32 and 50 meV [97]. In the more recent work, Wang et al. [130] have found that  $Fm\overline{3}m$ -LaH<sub>10</sub> also has a significantly anisotropic main superconducting gap of  $46 \pm 5$  meV and a small additional gap  $\Delta_2 \approx 6.2$  meV. The anisotropy of the electron–phonon interaction is now considered necessary to be taken into account in all cases regardless of the superhydride structure. For many hydrides, solution of the anisotropic Migdal–Eliashberg equations adds approximately 20–30 K to  $T_C$  found within the isotropic theory.

An important feature of superconductivity is the existence of the critical electric current density  $J_c$ . As was first shown by me in several works [27, 30, 118], in hydrides the extrapolated critical current density  $J_{\rm C}(0)$  reaches very large values, from 10 to 100 kA/mm<sup>2</sup>, which are comparable with or exceed those of all currently known types of superconductors. When estimating the critical current density, attention must be paid to the thickness of the sample placed between the diamond anvils. This thickness does not exceed the distance between the diamond culets, determined by interference of visible light. At pressures above 100 GPa, the distance between the anvils is about 1 µm with a sample diameter of about 20-40 µm and a current of several amperes at a liquid helium temperature. The possibly labyrinthine nature of the current flow can be additionally considered on the basis of theoretical calculations of the normal resistance of hydrides using the EPW package [131-134]. The calculations show that due to the strong electron phonon interactions, the electrical resistivity of hydrides is very high, being at the level of such materials as mercury, constantan, and Nichrome. Using the van der Pauw formula [135, 136] for estimation, the effective thickness of the YH<sub>6</sub> or LaYH<sub>20</sub> hydride samples of 0.5–0.75 μm can be obtained, which further increases the critical current density estimate in superhydrides.

In recent years, studies of hydrides increasingly shift to ternary systems such as C–S–H [54, 137] and Y–Pd–H [75]; let us touch upon superconductivity in such systems. As we have recently shown for the La–Y–H system [118], during the synthesis of a hydride

from the La–Y alloy both atoms are randomly distributed in the metal sublattice. In the X-ray diffraction spectrum, a set of lines characteristic of pure binary hydride of one of the components (e.g., LaH<sub>10</sub>) with an altered volume of the sublattice is observed and no additional lines are detected from the sublattice of the second component. Because of this disorder, the width of the superconducting transition of compounds increases significantly — up to 10–50 K. This broadening is an expected effect for all complex systems with a large number of atoms, which will narrow the field of potential applications of multicomponent hydride superconductors in the future.

Table 4 shows the reproducibility of the measurements of the temperature of resistive (and sometimes magnetic) superconducting transitions in different hydrides. These experiments were performed using different initial materials containing different impurities, cells were loaded in an inert atmosphere and in the air, and the hydrogen source was both ammonia borane and hydrogen gas. Different authors used different equipment for laser heating (and even synthesis by keeping samples in the hydrogen atmosphere for a long time), different cryostats and thermometers, and so forth. Resistive transitions in hydrides are reproduced with a good accuracy of 10–15 K (~5%). At the same time, these results show the implausibility of theories that insignificant impurities of carbon, boron, and nitrogen within narrow concentration limits can dramatically increase the critical temperature of superconductivity in hydrides [57, 58]. The insignificant effect of nonmagnetic impurities on superconductivity can be investigated directly; such a study has been performed, for example, in the group of W. Chen, X. Huang, and Tian Cui [138] for carbon-doped LaH<sub>10</sub>/C or aluminum-doped LaH<sub>10</sub>/Al. They showed that in this case *T*<sub>C</sub> decreases by only ~5–10 K.

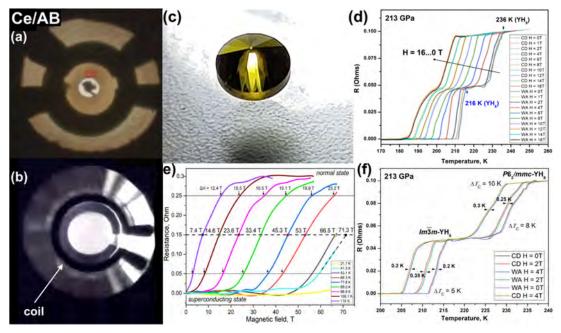
**Table 4.** Reproducibility of the superconducting transition temperature measurements by the drop in the electrical resistance (in some cases, by the jump in the magnetic susceptibility) by different scientific groups.

Compound	Maximum experimental $T_{\rm C}$ , K	Scientific group
Im3̄m-H <sub>3</sub> S	204 190 183	Mainz (A. Drozdov, M. Eremets) [5] Osaka (M. Einaga, K. Shimizu) [139] Jilin University (X. Huang et al.) [140]
Fm3̄m-LaH <sub>10</sub>	260 250 250 245	Illinois (M. Somayazulu, R. J. Hemley) [8] Mainz (A. Drozdov, M. Eremets) [7] Beijing (Fang Hong et al., Institute of Physics, CAS) [141] Jilin University (W. Chen, X. Huang)
Im3m-YH6	224 227 211	Moscow (I. Troyan et al. [30]) Mainz (P. Kong, M. Eremets [31]) Jilin University (W. Chen, X. Huang)
c-SnH <sub>4</sub>	75 71	Beijing (Fang Hong et al., Institute of Physics, CAS) [101] Moscow (I. Troyan)
P63/mmc- YH9	243 237 230	Mainz (P. Kong, M. Eremets [31]) Moscow (I. Troyan, D. Semenok et al. ) Bristol (J. Buhot et al. [142])
<i>Im</i> 3̄ <i>m</i> -CaH <sub>6</sub>	215 195–210	Jilin University (L. Ma, Y. M. Ma) [108] Beijing (Z. W. Li et al., Institute of Physics, CAS) [143]

## 1.6 Critique of hydride superconductivity

After the discovery of a large number of superconducting hydrides (H<sub>3</sub>S, LaH<sub>10</sub>, ThH<sub>10</sub>, YH<sub>6</sub>, YH<sub>9</sub>, and CSH<sub>x</sub>), this area attracted the attention of researchers from wider fields. J. E. Hirsch, F. Marsiglio, M. Dogan, and M. L. Cohen [80, 144-147] have expressed certain doubts about the existence of superconductivity in hydrides and that it

can be described within the framework of the electron-phonon coupling mechanism. The authors' main arguments relate to the small width of superconducting transitions in hydrides, the insufficiently large broadening of superconducting transitions in the applied magnetic field, and the lack of clear evidence of diamagnetic shielding and the Meissner-Ochsenfeld effect [148] for hydrides. The latter is not surprising because the available instrumental techniques for studying microscopic samples at ultrahigh pressures are limited to spectroscopic and X-ray diffraction methods. Electrode sputtering techniques and electrical measurements in diamond cells proved relatively well developed. The sensitivity of detecting a superconducting transition using the van der Pauw four-contact method [135, 136] is proportional to L/S [m<sup>-1</sup>], where L is the characteristic size (diameter) of the sample, S is the average cross-sectional area, whereas the magnetic field change in the vicinity of the sample is proportional to its volume  $L \times S$  [m<sup>3</sup>]. Therefore, resistive measurements lend themselves well to miniaturization, whereas magnetic measurements of micron-sized samples present a very challenging technical problem. Recently, new promising methods for studying diamagnetic shielding have been developed, based on detecting the fluorescence of nitrogen NV centers created on the surface of the diamond anvil (Figure 5c) and on using high-frequency current in single coils sputtered on the culet of the diamond anvil in the immediate vicinity of the sample (Figure 5a,b).



**Figure 5.** (a, b) Single coils sputtered on the diamond anvils in the immediate vicinity of the sample, (a) after loading and (b) before loading. (c) Nitrogen-doped diamond anvil with NV centers. (d) Broadening of the superconducting transitions in the magnetic field in a two-phase YH<sub>6</sub> + YH<sub>9</sub> sample at 213 GPa. (e) Broadening of superconducting transitions in a (La,Nd)H<sub>10</sub> sample containing 7–9 mol % of neodymium in strong pulsed magnetic fields of up to 70 T [40]. (f) Hysteresis of the superconducting transitions in a two-phase YH<sub>6</sub> + YH<sub>9</sub> sample at 213 GPa during cooling (CD) and heating (WA).

The critique of the small width of superconducting transitions [61] primarily addresses the results of the measurements in CSH<sub>x</sub> by Snider et al. [37] where the superconducting transition indeed shifted by 20 K practically without broadening in a magnetic field of 9 T. The doubts of J. Hirsch and F. Marsiglio concerning the width of superconducting transitions can be partially resolved if we take into account that metal superhydrides have very high values of the upper critical magnetic field ( $Bc_2(0)$  over 100–150 T), whereas most studies in the permanent magnetic field consider weak fields  $Bc_2(T)/Bc_2(0) \sim 0.1$ , in which the broadening of the superconducting transitions is insignificant. In addition, lower hydride impurities are often present in the samples, leading to multistep transitions to the superconducting state. A more detailed analysis of the data for YH<sub>6</sub>, YH<sub>9</sub>, and (La, Nd)H<sub>10</sub> shows (Figure 5d,e,f) that the superconducting transitions

in the hydrides we studied are significantly broadened in magnetic fields and their initial width is determined by the quality of the single crystals lying on the electrodes. The width of the superconducting transitions in hydrides can be significantly reduced by repetition of laser heating and cooling cycles ("annealing"). This is especially true for ternary compounds, where this broadening is due to a disorderly arrangement of one type of atoms in the structure. The small broadening of the superconducting transitions cannot be called an exclusive property of superconducting hydrides. Many iron-containing superconductors, in particular those of class 11, show an extremely insignificant broadening down to the lowest temperatures [149-151].

One of the most important arguments in favor of the electron–phonon nature of superconductivity in hydrides is the isotope effect manifested by a decrease in the superconducting transition temperature when hydrogen is replaced with deuterium in the structure of a compound. This effect has been observed in  $H_3S$  [5],  $LaH_{10}$  [7],  $YH_6$  [30],  $YH_9$  [31],  $CeH_{9-10}$  [98],  $(Pd,Y)H_x$  [75], and several other compounds. In all these cases, the isotope coefficient  $\alpha = -\ln(T_C)/\ln(M)$ , where M is the mass of the atom, was in the range of 0.3–0.6, in reasonable agreement with the theoretical prediction. A certain difficulty is introduced in the analysis by the fact that the chemistry of deuterides does not fully coincide with that of hydrides, and the stability limits and distortion regions of hydride and deuteride structures on the pressure scale do not coincide to an even greater extent. For this reason, comparing  $T_C$  for hydrides and deuterides at the same pressure is sometimes incorrect because their structures may be different. Another factor is the significantly smaller influence of anharmonicity on superconductivity in deuterides.

In general, deuterides exhibit the same properties as hydrides: the superconducting transition shifts depending on the applied magnetic field; their critical thermodynamic magnetic field  $H_{\rm C}(0)$  can be estimated from the interpolation formulas found by Carbotte and is usually significantly smaller than in hydrides; there is a critical current whose value also depends on the magnetic field strength. When the pressure decreases, first the crystal structure of superdeuterides distorts, the critical temperature of the superconducting

transition markedly decreases, then the compound decomposes with the formation of lower deuterides and D<sub>2</sub>.

Of particular interest in recent years are experiments on diamagnetic screening in hydrides and their criticism [62, 140, 144, 152–155]. Hot discussions are caused by the unexpectedly high ability of polyhydrides to shield an external magnetic field. In particular, in one of the first papers by J. Hirsch [144], the existence of superconductivity in  $H_3S$  was called into question. However, as D. M. Gokhfeld noticed, it is necessary to correctly take into account the penetration of the magnetic flux into a sample. In a type-II superconductor ( $H_3S$ ), Abrikosov vortices and a magnetic field at the sample center are absent as long as the external field is weaker than the total penetration field  $H_p$ . In the critical state model [156]

$$H_p \sim J_c \times a,$$
 (1)

where  $J_c$  – is the critical current, 2a – is the sample size in the direction, perpendicular to the external magnetic field. The field  $H_p$  for this sample can be larger than the external magnetic field  $H_{ext} = 0.68$  T (from experiment [152]), as well as the field  $H_{edge} \sim 4.3$  T (expected at the edges of the sample due to the demagnetizing factor  $H_{edg}=H_{ext}/(1-N)$ ). Assuming that the depth of magnetic flux penetration in the experiment [152] was less than 5  $\mu$ m, estimation of  $J_c$  from the depth of screened area  $J_c = H_{edge}/5\mu$ m leads to a reasonable value  $J_c \sim 6.8 \times 10^7 \text{A/cm}^2$ , comparable with the critical density of intragranular currents in cuprate HTSC [157].

At the same time, the lower critical field  $H_{c1}$  in H<sub>3</sub>S appears to be much smaller than 0.68 T. In this range of fields ( $H_{c1} < H < H_p$ ) the distribution of the Abrikosov vortices in the sample should be inhomogeneous, as in all superconductors of the type II, and the magnetic flux density decreases from the edges to the center of the sample. Therefore, the formulas for homogeneous field obtained in [152] are inapplicable for this experiment. When estimating the critical current density, we should also consider the current circulation in a layer with a thickness equal to the sample thickness of 5 µm (along the field direction) and a depth equal to the vortex penetration depth [158] (in the plane perpendicular to the field), rather than the magnetic field penetration depth  $\lambda$ . Using a 5×5 µm<sup>2</sup> layer cross

section, we obtain  $J_{\rm C} = 6.4 \times 10^7$  A/cm<sup>2</sup>, which agrees with the results of recent measurements [30, 118], and is more than an order of magnitude lower than the estimate in [152]. Thus, for the screening effect established in [152], the values of  $H_{\rm c1}$  and  $J_{\rm C}$  for H<sub>3</sub>S are quite consistent with those for other superconductors.

When analyzing the recent preprint of V. Minkov et al. [154], where the magnetic field expulsion from LaH<sub>10</sub> and H<sub>3</sub>S samples has been investigated using a SQUID magnetometer, we should bear in mind that hydride samples are probably porous and consist of microscopic grains ( $\sim 0.05$ -0.5 µm). In this case, the demagnetization coefficient should be calculated for a random packing of spherical particles and is between 0.33 and 0.5 [159, 160]. The magnetic field penetrates the sample between the individual grains and, therefore, there is no change in the sample magnetization at temperatures near  $T_C$  during field cooling (FC). Thus, the penetration fields  $H_p(0) = 96$  mT for H<sub>3</sub>S, and 41 mT for LaH<sub>10</sub> found by the researchers are the lower limit of  $H_{c1}(0)$ , and a more realistic estimate gives  $H_{c1}(0) \sim H_p(0) / (1-N) = (1.5-2) \times H_p(0)$ .

### 1.7 Main experimental properties of superconducting hydrides

Experimental studies have revealed the following properties of superhydrides:

- (1) the isotope effect at replacement of hydrogen by deuterium,  $\alpha = 0.3-0.6$ ;
- (2) the presence of a sharp drop in the electrical resistance ( $10^3$ – $10^5$  times to several micro-ohms within a few kelvins) at a certain temperature ( $T_C$ ), the same in heating and cooling cycles;
- (3) the dependence of the critical temperature  $T_{\rm C}$  on the applied magnetic field, linear at low fields  $H_{\rm C2} \sim |T-T_{\rm C}|$ ;
- (4) the presence of the critical current  $I_C$  that depends on the applied magnetic field and temperature;
- (5) the bell-shaped form of the critical temperature–pressure dependence, corresponding at low pressures to a distortion of the highly symmetric structure, at high pressures to a decrease in the electron–phonon interaction constant due to the high-frequency shift of the soft phonon modes;

- (6) broadening of the superconducting transitions in a magnetic field (especially in strong pulsed fields);
- (7) broadening of the superconducting transitions in ternary hydrides (transition width up to 30–50 K) due to the disordered structure;
- (8) significant suppression of  $T_{\rm C}$  in hydrides by paramagnetic impurities (e.g., 1 atom % of Nd leads to  $\Delta T_{\rm C} \approx -10$  K) and insignificant influence of nonmagnetic impurities (C, Al, Be) on  $T_{\rm C}$ ;
- (9) diamagnetic screening, probably registered in several experiments in H<sub>3</sub>S, CSH<sub>x</sub>, LaH<sub>10</sub>, and CeH<sub>9</sub>; (see also the recent paper [154];
- (10) probable taking on a value of 1 by the reflectivity in the infrared range at incident radiation energies  $\sim 2\Delta$ . Here it is necessary to consider the criticism of this experiment in Ref. [161].

The most consistent explanation for all these properties is superconductivity, which is also expected from the first-principles calculations. To date, only isolated deviations are known in the behavior of hydrides from the Bardin–Cooper–Schrieffer–Migdal–Eliashberg theory (e.g., the linear dependence of  $H_{C2}(T)$  over the entire temperature range or anomalously low  $T_C$  for YH<sub>6</sub>), whereas no alternative interpretations explaining the entire set of the observed phenomena have been proposed by the authors of critical articles.

#### 1.8 Future research directions

What is rational in the critique by J. Hirsch and F. Marsiglio is that hydrides should be investigated in more detail. At the moment, the basic parameters of the electron–phonon interaction in these compounds (electron–phonon coupling (EPC) constant  $\lambda$ , superconducting gap  $\Delta$ , Eliashberg function  $\alpha^2 F(\omega)$ , logarithmic frequency  $\omega_{log}$ , and Coulomb pseudopotential  $\mu^*$ ) are known mainly from first-principles calculations. Obviously, future studies will have to fill this void. There are several promising approaches for experimental investigation of the superconducting state parameters of polyhydrides that can be realized in high-pressure diamond cells.

A. The femtosecond reflection spectroscopy can allow direct experimental determination of the electron–phonon coupling constant via the relaxation rate of the electron temperature, as has been done for simple metals and intermetallics [162]. The difficulty in this approach is the nonlinear optical characteristic of diamonds, which leads to defocusing of the femtosecond pulse.

**B.** The infrared reflection spectroscopy in a wide energy range at low temperatures allows direct determination of the superconducting gap  $\Delta$  and its temperature dependence  $\Delta(T)$ . This method was efficiently used in the study of H<sub>3</sub>S in 2017 [77]. Its disadvantage is the need for large samples, 70–150 µm, which leads to limitations on the maximum pressures (<175 GPa) in the cells. Nevertheless, LaH<sub>10</sub>, YH<sub>6</sub>, ThH<sub>10</sub>, and CeH<sub>9–10</sub> are the primary targets for this method.

C. Superstrong pulsed magnetic fields (up to 70–80 T, and much more in magnetic flux compressors) have started to be applied to diamond anvil cells relatively recently (see the works of 2019–2020 on H<sub>3</sub>S and LaH<sub>10</sub> [163,164]). The method makes it possible to significantly reduce the uncertainty in extrapolating the upper critical magnetic field  $H_{C2}(0)$ , plot a magnetic phase diagram to the lowest temperatures, and verify which model the  $H_{C2}(T)$  dependence follows. The difficulty in this case is the necessity to perform measurements at high frequencies (1–50 kHz) in miniature diamond cells (d = 15 mm) made of special steel. The method imposes serious requirements of minimization of the parasitic capacitance and inductance in the electrode system of the diamond cell.

Unfortunately, the currently available pulsed magnetic fields (70–80 T) are still not strong enough to completely suppress superconductivity in the most interesting superhydrides, for which  $H_{\rm C2}(0)$  exceeds 120–140 T. Therefore, this technique is most effective for compounds with low  $T_{\rm C} \sim 100-150$  K, whereas for H<sub>3</sub>S and LaH<sub>10</sub>, the obtained  $H_{\rm C2}(T)$  dependences remain linear even in the strongest available magnetic fields.

The Andreev reflection spectroscopy and microcontact spectroscopy [165] are promising research methods that allow determining not only the value of the superconducting gap  $\Delta(T)$  but also its anisotropy when there are several gaps simultaneously. This method has been successfully used recently to establish the

anisotropic nature of the superconducting gap in metallic yttrium at a high pressure [166]. The researchers found that at high pressures yttrium has two superconducting gaps, around 3.6 and 0.5 meV, with  $2\Delta/kT_{\rm C}$  ratio reaching 8.2, which is in favor of a superconductivity mechanism with strong coupling. The difficulty of this kind of study is that the Andreev contact must be nanoscale, which is hard to monitor when the sample is compressed and heated in the diamond chamber.

Thus, the design of future experimental studies of hydride superconductivity should include single crystal diffraction in high pressure diamond cells; reflectance IR/UV/vis spectroscopy with determination of the superconducting gap value  $\Delta(T)$ ; resistive measurements over a wide frequency range up to 10–100 kHz in constant and strong pulsed magnetic fields with detection of  $H_{\rm C2}(T)$ ,  $J_{\rm C}(T)$ , magnetoresistance, Hall effect, and Andreev reflection; and measurements of magnetic susceptibility,  $H_{\rm C1}(T)$ , and magnetic ordering character using X-ray magnetic circular dichroism (XMCD) in lanthanide superhydrides (Nd, Sm, Gd, Eu, etc.). From the theoretical point of view, future works will include thermodynamic calculations at finite temperatures with machine-trained interatomic interaction potentials, considering anharmonicity for supercells containing 100–150 atoms; and calculations of superconductivity and resistivity in normal state factoring in anharmonicity and electron–phonon interaction anisotropy and including first-principles calculations of the Coulomb interaction contribution using the SCDFT method.

More complex experiments using superconducting hydrides include creating conductive structures on the surface of the diamond anvil, such as creating S–N–S interfaces with a 1–10 nm dielectric gap between the superhydride electrodes; SQUID magnetometers; multilayer interfaces using layer-by-layer deposition of different metals and oxides; placing microthermometers and microheaters on diamond to measure the jump in the heat capacity; and creating microrings from superhydrides to study the flux pinning. It is also important to determine the position of hydrogen (deuterium) atoms in at least a small number of superhydrides stable at low pressures (ThD<sub>4</sub>, UD<sub>5–8</sub>, CeD<sub>8–10</sub>) using neutron diffraction to verify the results of theoretical calculations.

Thur 30th June

### Chapter 2. Synthesis and superconductivity of thorium polyhydrides

### 2.1 Thorium and its hydrides at low pressures. Studies of actinide hydrides.

This chapter is based on the results of two publications: a theoretical analysis of the formation of new phases in the Th-H system [167] and an experimental verification of the obtained results [27] performed at the European Synchrotron Source (ESRF) in 2018.

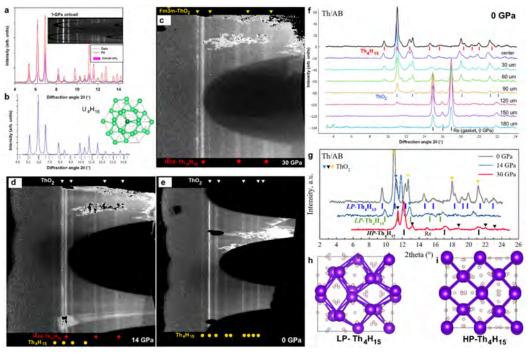
Study of the Th-H system was preceded by a theoretical investigation of uranium hydrides by Ivan Kruglov, Alexander Kvashnin, and subsequent experimental verification of the predictions by the group of Prof. Alexander Goncharov (Geophysical Laboratory, Carnegie institution of Washington) [26]. An interest in uranium hydrides arose from computational work in the field of ensuring the safety of nuclear reactors, more specifically, in the process of calculating the diffusion ability of hydrogen in various steels, performed by Dr. I. Kruglov at the VNIIA named after N.L. Dukhov (Moscow).

Thorium is a weakly radioactive alpha-emitter  $^{232}$ Th  $\Rightarrow$   $^{228}$ Ra +  $^{4}$ He with a half-life of 14.05 billion years, slowly oxidizing in the air, easily reacting with hydrogen to form I4/mmm-ThH<sub>2</sub> and I-43d-Th<sub>4</sub>H<sub>15</sub>. The latter hydride has the highest hydrogen content (1: 3.75) among metal hydrides, stable at ambient pressure. This hydride also exhibits pronounced superconducting properties ( $T_{\rm C} = 7.5$ -8 K). Metallic thorium is also a superconductor at normal pressure and temperature below 1.6 K. When the pressure rises to 15 GPa, the critical temperature in thorium drops below 1 K [168].

Although the properties of thorium are similar to those of Zr and Hf, it differs from them in the higher chemical activity of both the metal itself and the carbides and nitrides [169]. Further studies have shown that Zr and Hf form superconducting hydrides *I*-43*d*-X<sub>4</sub>H<sub>15</sub> [170, 171] similar to Th<sub>4</sub>H<sub>15</sub> when the pressure is increased to 30-50 GPa. Their superconductivity temperatures are also close: 4.5-6 K. Moreover, uranium also forms the same phase U<sub>4</sub>H<sub>15</sub> at a pressure of about 5-7 GPa. This compound was found back in Prof. A. Goncharov's first work on U-H when unloading one of the DACs, but was misinterpreted (Figure 6). At ambient pressure, U<sub>4</sub>H<sub>15</sub> is metastable, can be stored at liquid nitrogen temperature, but decomposes into hydrogen and UH<sub>3</sub> around -70 °C [172].

Increasing the pressure to megabar levels leads to the formation of better superconductors, for example, in the Zr-H system a new unknown compound with  $T_{\rm C} > 70$  K is formed at a pressure of about 200 GPa [173]. We note that  $T_{\rm C}({\rm Th_4H_{15}}) > T_{\rm C}({\rm Zr_4H_{15}}) > T_{\rm C}({\rm Hf_4H_{15}})$ , which suggests that the same sequence will be observed for higher polyhydrides:  $T_{\rm C}({\rm Th_4H_2}) > T_{\rm C}({\rm Zr_4H_2}) > T_{\rm C}({\rm Hf_4H_2})$ . Current experimental data support this conclusion.

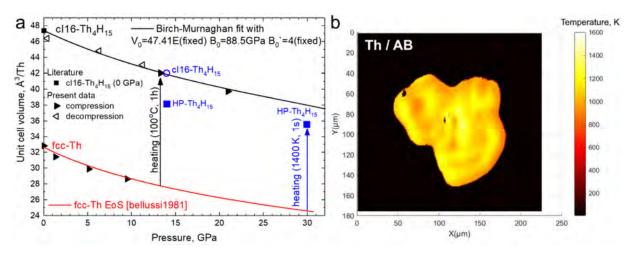
New Th<sub>4</sub>H<sub>15</sub> phase modifications (high-pressure (HP) and low-pressure (LP) phases, LP is well-known cI16), recently synthesized at ~5 GPa, show slightly lower critical temperature ( $T_{\rm C} = 6$  K for HP-Th<sub>4</sub>H<sub>15</sub> and 8 K for LP-Th<sub>4</sub>H<sub>15</sub>) than the modification obtained at ambient pressure [174]. We performed an experiment to synthesize thorium hydrides at a low pressure of about 30 GPa (Th and NH<sub>3</sub>BH<sub>3</sub> were used) and found two cubic phases of ThH<sub>3.75</sub> with different diffraction patterns (Figure 6). The aim of this experiment was to synthesize I4/mmm-ThH<sub>4</sub> at a pressure of about 30 GPa. However, instead of ThH<sub>4</sub>, a new modification, HP-Th<sub>4</sub>H<sub>15</sub>, was obtained, the XRD pattern of which resembles that of compounds with symmetry group Im-3m (Figure 6f–g). The comparison with the other experiment (Th + H<sub>2</sub>, Dr. M. Kuzovnikov, Figure 7) confirms our conclusion about the probable synthesis of a new high-pressure Th<sub>4</sub>H<sub>15</sub> modification at 30 GPa.



**Figure 6.** Formation of lower hydrides of uranium and thorium. (a) Formation of I-43d-U<sub>4</sub>H<sub>15</sub> during decompression of the diamond anvil cell to 1 GPa in the experiment [26] and an explanation of the observed diffraction pattern proposed by I. Kruglov et al. (*Cmcm*-UH<sub>5</sub>,  $\lambda = 0.3344$  Å). (b) A much better interpretation of the experiment based on I-43d-U<sub>4</sub>H<sub>15</sub> (a = 8.7574 Å at 0 GPa). (c–e) Diffraction patterns ( $\lambda = 0.62$  Å) at 30, 14, and 0 GPa in the Th–H system. The hydrides were synthesized by laser-heating a mixture of Th and ammonia borane (AB), a rhenium gasket was used. There is a significant ThO<sub>2</sub> admixture due to air oxidation of thorium. (f, g) Integrated diffractograms of thorium hydride samples. The right side of panel (f) shows the shift of the beam center relative to the center of the sample (in micrometers). (h, i) Presumed structures of the two-phase modifications LP-Th<sub>4</sub>H<sub>15</sub> and HP-Th<sub>4</sub>H<sub>15</sub>.

**Table 5.** Unit cell parameters of two modifications of Th<sub>16</sub>H<sub>60</sub> synthesized from Th and AB at 30 GPa, and thorium dioxide (admixture).

Pressure,	a, Å	V, Å <sup>3</sup> /Th-	a, Å	V, Å <sup>3</sup> /Th-	a, Å	V, Å <sup>3</sup> /Th-
GPa	(HP-	atom ( <i>HP</i> -	(LP-	atom	(Th <sub>4</sub> O <sub>8</sub> )	atom
	$Th_{16}H_{60})$	$Th_{16}H_{60}$ )	$Th_{16}H_{60})$	(HP-		(Th <sub>4</sub> O <sub>8</sub> )
				$Th_{16}H_{60}$ )		
30	8.279	35.46	-	-	5.382	38.97
14	8.389	38.31	8.795	42.5	5.492	41.41
0	-	-	9.1054	47.18	5.604	44.00

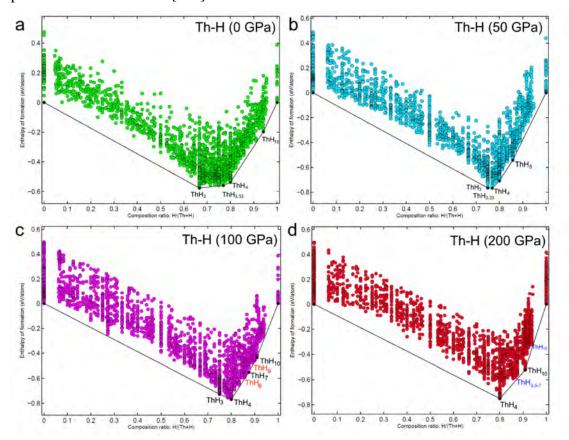


**Figure 7.** Equations of state of lower thorium hydrides. The data marked by black symbols were obtained by Dr. M. Kuzovnikov [172]; those marked in blue were obtained in this work when heating the sample at a higher temperature and pressure. (b) Heat map of a laser-heated thorium sample in an ammonia borane medium, obtained using an acousto-optical filter in the laboratory of Prof. P. Zinin (STC UI RAS, Moscow) [175, 176].

## 2.2 Thorium polyhydrides at high pressures

Theoretical studies of the possibility of formation of higher polyhydrides were first performed using the USPEX code [9-12] at pressures of 0, 50, 100, and 200 GPa (Figure 8) [167]. The calculations show that starting at low pressures of 0-50 GPa the formation of different polyhydrides is possible: ThH4, ThH6, and even ThH16, which is likely to lose stability when the ZPE is considered. Unfortunately, our calculations were limited by the number of atoms in the unit cell (no more than 36), so we could not include Th4H15, which has a unit cell of Th16H60 with 76 atoms. At 0 GPa and 0 K this phase has an enthalpy of -4.684 eV/atom and an enthalpy of formation of -0.556 eV/atom. Inclusion of this hydride to the convex hull at 0 GPa results in displacement and destabilization of Th3H10 and ThH3; however, ThH4 and ThH16 remain stable under these conditions (without the ZPE), which is not consistent with the experimental data. It is possible that considering the ZPE will restore agreement with the experimental observations. These results were generally confirmed in an independent theoretical study using the CALYPSO code [177]. With further increases in the pressure up to 100 GPa, new stable thorium polyhydrides appear:

Fm3m-ThH<sub>10</sub>, P6<sub>3</sub>/mmc-ThH<sub>9</sub> (metastable without the ZPE), and C2/m-ThH<sub>7</sub>. The latter hydride is analogous to the compounds that were later found in the Nd-H [24] and Eu-H [43] systems. A similar low-symmetric molecular hydride XH<sub>7</sub> may also exist for other lanthanides (e.g., Sm, Gd [178]). The detailed calculations of the phonon and electronic structure of these phases indicate their dynamic stability and metallic properties at pressures above 100 GPa [167].



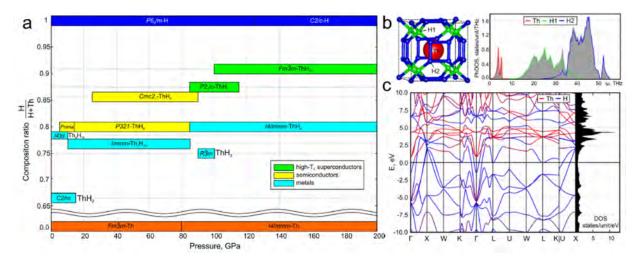
**Figure 8**. Thermodynamic convex hulls (enthalpy of formation - composition) of thorium hydrides at pressures 0 - 200 GPa calculated at 0 K without considering the zero-vibration energy. The VASP code with the PAW PBE functional was used in the calculations [179, 180].

Tetragonal I4/mmm-ThH<sub>4</sub> (Th<sub>4</sub>H<sub>16</sub>), theoretically stable and experimentally detected in a wide range of pressures above 80 GPa, has only a slightly higher hydrogen content than Th<sub>4</sub>H<sub>15</sub> but significantly lower symmetry. As a result, the calculated

superconducting properties of ThH<sub>4</sub> are significantly weaker,  $T_{\rm C} \sim 3$  K at 85 GPa [167]. In the experiment at 30 GPa (Figure 6), we did not observe the formation of ThH<sub>4</sub>. At all pressures, the right "hydrogen" part of the phase diagram is much more saturated with metastable phases than the left "metallic" part. This speaks in favor of the possibility of formation of a large variety of as yet unknown higher thorium polyhydrides.

We note an increasing interest in tetrahydrides for their superconducting properties. Despite relatively low  $T_{\rm C}$ , their stabilization pressures are often about 50–100 GPa, which makes it easy to synthesize large tetrahydride samples and study them in detail. For example, in a recently published theoretical paper, room superconductivity has been predicted in MgH4 at 280 GPa [181]. Tetrahydrides of YH4 [182], which exhibits superconducting properties with maximum  $T_{\rm C} = 88$  K at 150 GPa, as well as LaH4, ZrH4, and ScH4 [183] have been experimentally and theoretically investigated. In general, tetrahydrides are a promising subclass of superconducting hydrides whose synthesis does not require pressures above 1 Mbar.

Among the compounds we found in calculations, the most interesting because of their superconducting properties are highly symmetrical polyhydrides: hexagonal P63/mmc-ThH<sub>9</sub> and cubic  $Fm\overline{3}m$ -ThH<sub>10</sub>, stabilizing above 100 GPa (Figure 9). In this publication, we already noted that in the hydrogen sublattice of thorium decahydride (as well as all  $Fm\overline{3}m$ -XH<sub>10</sub> superhydrides), there are two significantly different types of hydrogen atoms (H1, H2) with different contributions to superconductivity. This was later investigated in detail in the theoretical papers on the electronic properties of LaH<sub>10</sub> [65] and CeH<sub>9-10</sub> [184]. In this regard, it seems promising to use the 1H NMR method in high-pressure diamond anvil cells [185] to confirm this theoretical prediction. It is also interesting that the band structure of ThH<sub>10</sub> lacks any features near the Fermi level (for example, the Van Hove singularities) that are usually considered important factors in the emergence of high-temperature superconductivity [65, 67, 186]. Nevertheless, as we show below, thorium decahydride exhibits high-temperature superconductivity.



**Figure 9.** (a) Composition–pressure phase diagram for the Th–H system. Semiconducting, metallic, and high-temperature superconducting thorium hydrides are shown in yellow, blue, and green, respectively. (b) Crystal structure and the phonon density of states of  $Fm\bar{3}m$ -ThH<sub>10</sub> at 100 GPa. Colors indicate the contribution from different types of atoms (Th, H1, and H2). (c) Electronic band structure of ThH<sub>10</sub> at 100 GPa.

The calculations of the electron–phonon interaction within the isotropic harmonic approximation using the Quantum ESPRESSO code and the algorithm for solving the Eliashberg equations developed by the Polish group of R. Szczęśniak (Czestochowa University of Technology) [187] showed that ThH<sub>10</sub> should exhibit very high critical temperature  $T_{\rm C} = 200$ –240 K (Table 6), which increases with decreasing pressure. This is expected because the structure of ThH<sub>10</sub> is completely similar to LaH<sub>10</sub>. However, as we will see soon, the use of theoretical calculations leads to a significant error in maximum  $T_{\rm C}$ , which is 161 K in experiment.

**Table 6.** Calculated parameters of the electron-phonon interaction in  $Fm\overline{3}m$ -ThH<sub>10</sub> at different pressures, with the Coulomb pseudopotential  $\mu^* = 0.1$  (0.15)

Pressure,	λ	N <sub>F</sub> , states/Å <sup>3</sup> /Ry	ωlog, K	$T_{\rm C}({\rm McM}),{\rm K}$	$T_{\rm C}({\rm A-D}),{\rm K}$	T <sub>C</sub> (E), K
GPa						
100	2.50	0.215	1073	176.8 (160.3)	221.1 (193.9)	241.2 (220)
170*	1.91	-	1209	165	197	214
200	1.35	0.227	1627	166.3 (139.4)	182.6 (150.5)	228 (205)
300	1.11	0.23	1775	144.2 (114.2)	155.4 (121.4)	201 (174)

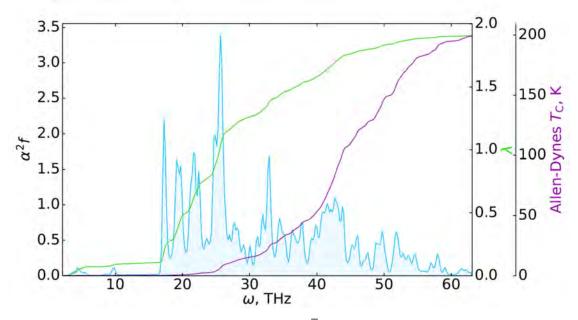
<sup>\*</sup>Separate calculation,  $\Delta(0) = 46 \text{ meV}$ ,  $\omega_2 = 1433 \text{ K}$ .

During 2020–2022, the reason for the discrepancy have been mostly clarified. First, this is the anharmonicity of the hydrogen sublattice vibrations, as a result of which the electron–phonon interaction parameter decreases, the logarithmically averaged phonon frequency increases, and the resulting critical temperature decreases by approximately 20–25 K [30, 112]. The second reason is the spin fluctuations. The introduction of impurities of magnetic atoms with *d*, *f* electrons into hydrides leads to the scattering of Cooper pairs with a change in the spin of the electrons. As a result, as has been shown in the experiments with the introduction of Nd [188], Ce [98, 189], and Pd [190] into the known hydride superconductors, magnetic impurities significantly suppress the superconducting properties of hydrides. The d<sup>2</sup> electrons of thorium atoms can partially fill the *f*-orbitals characteristic of all actinides and thus suppress superconductivity in ThH<sub>10</sub> within this mechanism.

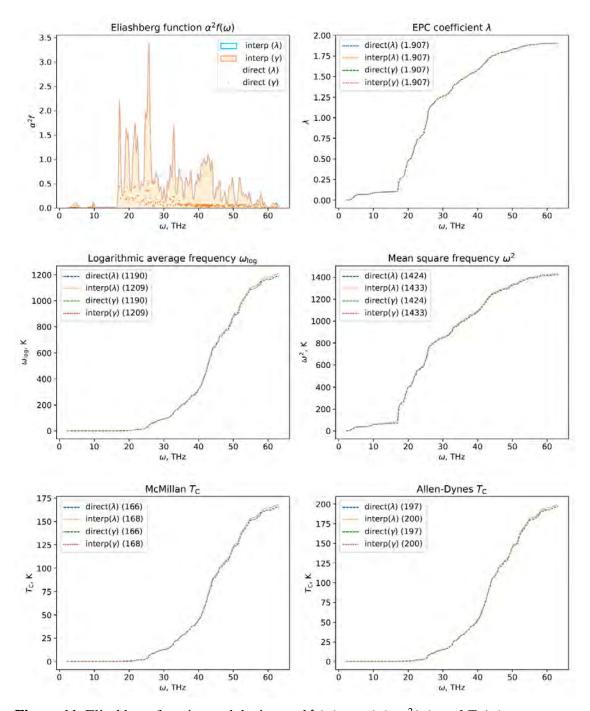
More accurate (k-mesh:  $24\times24\times24$ , q-mesh:  $4\times4\times4$ ) calculations of the Eliashberg function performed for  $Fm\overline{3}m$ -ThH<sub>10</sub> give approximately the same results (Figures 10-11, Table 6 (170 GPa)) as the previous calculations, confirming the need for corrections for anharmonicity and spin fluctuations to match the experiment. Another way to achieve this correspondence is to change (increase) the Coulomb pseudopotential  $\mu^*$ , which was discussed in many papers, for instance, for the cases of LaH<sub>10</sub> [121] and YH<sub>6</sub> [30]. However, there are no physical reasons for the anomalous growth of the Coulomb pseudopotential in polyhydrides. Despite the fact that the physical density of electrons in matter increases with increasing pressure, the importance of their electrostatic interaction with respect to kinetic energy decreases in the same way that the ideality of plasma increases with its density. As we will see below, the density of charge carriers and the electrical resistivity of hydrides in the normal state take values typical for metals, where  $\mu^* = 0.1$ -0.13. Therefore, there are no reasons to expect any anomalous values of  $\mu^*$  for superhydrides either.

The superconducting properties of ThH<sub>9</sub> and ThH<sub>10</sub> can be calculated without using the empirical value of the Coulomb pseudopotential  $\mu^*$  within the superconducting DFT approach (SCDFT) [123, 124]. These calculations were carried out by Prof. R. Akashi

(Tokyo University) using ultrasoft (USPP) and norm-conserving (NCPP) pseudopotentials for Th and H and the Sanna-Pellegrini-Gross functional (SPG2020) [127]. As a result, the following values for the critical temperature were found:  $T_C(\text{USPP}) = 226 \text{ K}$  and  $T_C(\text{NCPP}) = 207 \text{ K}$  for ThH<sub>10</sub> (170 GPa);  $T_C(\text{USPP}) = 185 \text{ K}$  and  $T_C(\text{NCPP}) = 184 \text{ K}$  for ThH<sub>9</sub> (150 GPa). The critical temperatures obtained are also significantly higher than those observed experimentally.



**Figure 10.** Harmonic Eliashberg function  $Fm\overline{3}m$ -ThH<sub>10</sub> calculated at 170 GPa using Quantum ESPRESSO [191]. Additional scales show the electron-phonon interaction parameter ( $\lambda$ ), and the critical temperature of superconductivity calculated using the Allen-Dynes formula [56].

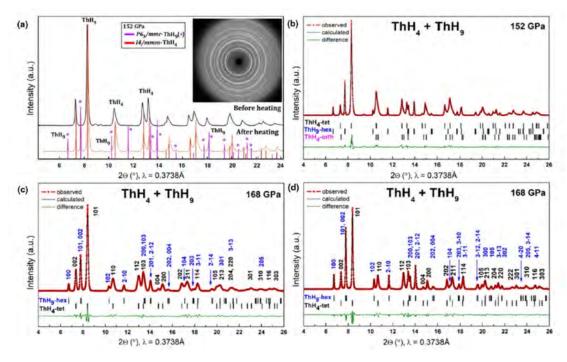


**Figure 11.** Eliashberg function and the integral  $\lambda(\omega)$ ,  $\omega_{\log}(\omega)$ ,  $\omega^2(\omega)$ , and  $T_{\rm C}(\omega)$  parameters of the electron-phonon interaction calculated using different methods (interpolation over the first Brillouin zone and direct summation of the partial EPC coefficients) for ThH<sub>10</sub> at 170 GPa, showing good convergence of the obtained results. The calculations were performed using the script [192].

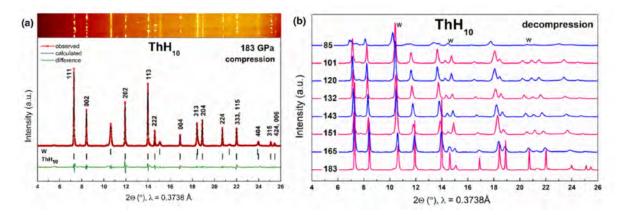
### 2.3 Experimental study of thorium polyhydrides at pressures above 1 Mbar

Synthesis of thorium superhydrides [27] was performed in a series of diamond anvil cells with a 50-micron culet diameter and tungsten gaskets loaded with thorium and ammonia borane. The first stage of laser heating was performed at 88 GPa and resulted in only two ThH<sub>4</sub> modifications (*I*4/*mmm* and *P*321) predicted theoretically (Figure 12). The next laser heating was performed at a higher pressure, 152 GPa, and led to the formation of a small amount of *P*63/*mmc*-ThH<sub>9</sub>, which is actually surprising because the stable phase should be ThH<sub>10</sub>. The reason for this behavior is probably the lack of hydrogen (or ammonia borane, AB) in this cell, as verified by subsequent cycles of laser heating and pressure increase, which did not lead to a change in the set of the synthesis products (Figure 12). As we will see later, ThH<sub>9</sub> almost always accompanies ThH<sub>10</sub>. The problem is to obtain these compounds separately.

The synthesis of almost pure ThH<sub>10</sub> was carried out in the other diamond anvil cell at a pressure of about 170 GPa (Figure 13). A very small ThH<sub>9</sub> impurity was also present in this DAC. Reducing the pressure showed that  $Fm\bar{3}m$ -ThH<sub>10</sub> decomposes with a distortion of the cubic structure between 101 and 85 GPa, and with a simultaneous increase in the ThH<sub>9</sub> content. This behavior is a common scenario for metal polyhydrides. When the pressure decreases, their structure first undergoes a distortion, a loss of hydrogen, and a transformation into a phase with a smaller hydrogen content:  $Fm\bar{3}m$ -ThH<sub>10</sub>  $\rightarrow Immm$ -ThH<sub>10</sub>  $\rightarrow P6_3/mmc$ -ThH<sub>9</sub>  $\rightarrow Cmc2_1$ -ThH<sub>6</sub> [27]. As was shown later, LaH<sub>10</sub> undergoes a similar metamorphosis with decreasing pressure ( $Fm\bar{3}m \rightarrow R$ -3 $m \rightarrow C2/m \rightarrow P1$ ) [193].

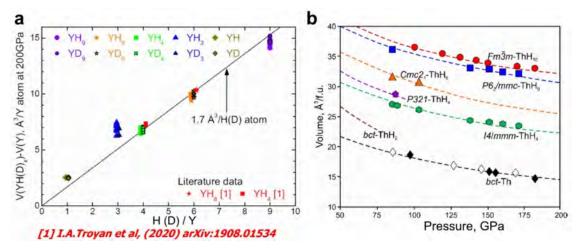


**Figure 12.** (a) Comparison of the XRD patterns of sample M2 at 152 GPa before and after the laser heating. The formation of ThH<sub>9</sub> is observed. New peaks are marked <u>by</u> asterisks. The inset shows the experimental XRD pattern obtained with the incident X-ray wavelength of 0.3738 Å; (b) Le Bail refinements of  $P6_3/mmc$ -ThH<sub>9</sub> and ThH<sub>4</sub> at 152 GPa and (c) after the third and (d) fourth cycles of heating at 168 GPa (sample M2). The experimental data, fit, and residues are shown in red, black, and green, respectively.



**Figure 13.** (a) Le Bail refinement of  $Fm \, \overline{3} \, m$ -ThH<sub>10</sub> and bcc-W at 183 GPa. The experimental data, fit, and residues are shown in red, black, and green, respectively. (b) Experimental XRD patterns of  $Fm \, \overline{3} \, m$ -ThH<sub>10</sub> in the pressure range of 183–85 GPa.

P63/mmc-ThH9 is the first discovered member of the family of hexagonal superconducting polyhydrides, which now also includes PrH9 [25], NdH9 [24], EuH9 [43], CeH9 [28, 99], and YH9 [31]; we investigated this compound's properties in detail. We noticed the difference in the unit cell volume, which is expected to be smaller by  $1.2-1.3 \text{ Å}^3$ /Th (>150 GPa) than for ThH<sub>10</sub> at high pressures (Figure 14). This agrees with the idea that each hydrogen atom corresponds to a certain volume in the unit cell  $V_H(P)$  of polyhydrides [21] (Figure 14). This calculation scheme allows us to estimate the hydrogen content in ThH<sub>10</sub> from the experimental data as  $10 \pm 0.5$ . However, for the hexagonal hydride ThH9, the situation is more complicated. By this method of estimation, its composition is closer to Th<sub>2</sub>H<sub>19</sub>, which is also confirmed by the equation of state at pressures below 150 GPa (see Supporting Information to Ref. [27]): the unit cell volume of the cubic ThH<sub>10</sub> phase increases much slower during the decompression of the DAC than that of the hexagonal ThH9 phase, indicating that both hydrides are possibly nonstoichiometric. This could also be a reason for the decrease in  $T_C$  in the system with the decreasing pressure (Figure 18).



**Figure 14.** (a) Dependence of the volume per hydrogen atom in the unit cell of polyhydrides proposed by Dr. M. Kuzovnikov for the Y–H system. (b) Equations of state for the synthesized thorium polyhydrides (filled symbols) in comparison with theoretical calculations (lines) and the literature [194] (hollow symbols).

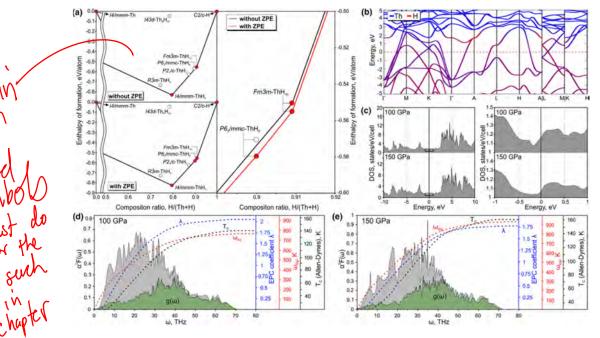
The analysis of the band structure for an ideal P63/mmc-ThH9 crystal at 100 and 150 GPa (Figure 15) shows the absence of any features (for example, van Hove singularities), as for  $ThH_{10}$ . The superconducting properties of  $ThH_{9}$ , according to calculations (Table 7), are less pronounced than in ThH<sub>10</sub>: T<sub>C</sub>(A–D) at 150 GPa reaches 145 K and decreases as the pressure decreases to 100 GPa. More accurate calculations give a similar result:  $T_C = 148 \text{ K}$  (150 GPa, Figure 17). This is quite close to the experimental  $T_{\rm C} = 146 \text{ K}$  at 170 GPa (Figure 16). For both ThH<sub>9</sub> and ThH<sub>10</sub>, the electrical resistance of the samples decreases upon cooling, in agreement with the Bloch-Grüneisen formula [63, 64], from 0.06–0.08  $\Omega$  at 170 GPa (corresponding to  $\rho \sim 2-3 \times 10^{-7} \Omega$  m at a sample 20.32thickness of 3–4 µm) to 10–14 µ $\Omega$  ( $\rho \sim 4 \times 10^{-11} \Omega \text{ m}$ ), which is about 10,000 times smaller than the resistance in the normal state and 100 times smaller than in the best normal metals (e.g., Ag) at 150 K (Figure 17). The calculation of the electrical resistivity of ThH9 in the normal state at 150 GPa and 200 K, carried out using the EPW code [131–134], yields  $4-4.5 \times 10^{-7} \,\Omega$ ·m, which is quite close to the experimental values. The critical temperature of superconductivity depends linearly on the applied external magnetic field (0–16 T, Figure 16). A linear extrapolation gives the upper critical field  $\mu_0 H_{C2}(0) \approx 65$  T. As has been recently shown [188], the linear extrapolation of the upper critical magnetic field for superhydrides is more accurate than the Werthamer-Helfand-Hohenberg model (WHH), which gives underestimated values of  $\mu_0 H_{C2}$  at low temperatures (see also Appendix, "Details of the upper critical magnetic field calculations").

In recent years, after the works of E. Talantsev [79, 195, 196], the analysis of the temperature–resistance curve R(T) in the normal state using the Bloch–Grüneisen formula has become popular [63, 64]. Using previously unpublished data for ThH<sub>10</sub> at 170 GPa (Figure 17c, see also [195]) we found  $\lambda = 1.65$ ,  $\omega_{\log} \approx 1116$  K, and  $\theta_D = 1350$  K at  $\mu^* = 0.1$ , which is quite close to the calculated values. Thus, the transport properties of thorium polyhydrides in the normal and superconducting states can be obtained with good accuracy by modern computational methods within the framework of the known models of electron–phonon interaction in metals.

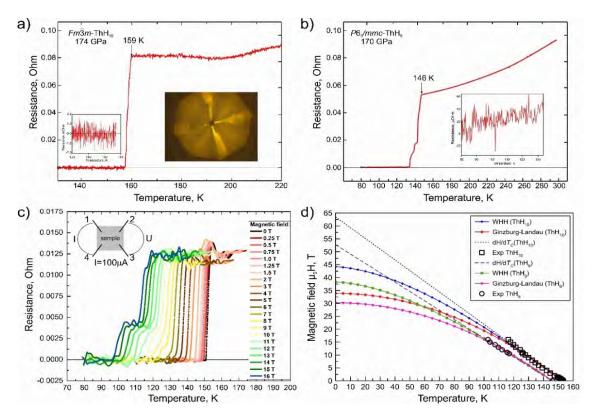
explicitly with the formula

**Table 7.** Parameters of the superconducting state of  $P6_3/mmc$ -ThH<sub>9</sub> at 100 and 150 GPa calculated using  $\mu^* = 0.1$  (0.15).  $\alpha$  is the isotope coefficient.

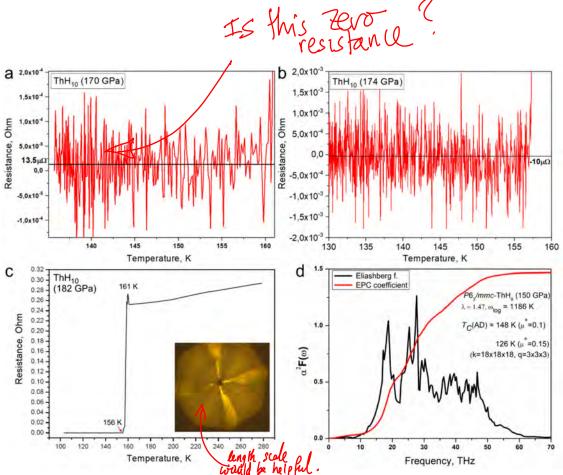
Parameters	P6 <sub>3</sub> /mmc-ThH <sub>9</sub>			
rarameters	100 GPa	150 GPa		
λ	2.15	1.73		
$\omega_{log}$ , K	728	957		
α	0.48 (0.47)	0.48 (0.47)		
<i>T<sub>C</sub></i> (A-D), K	138 (118)	145 (123)		
$T_{C}\left( \mathrm{E}\right) ,\mathrm{K}$	156 (142)	161 (145)		
$T_C$ (E, ThD <sub>9</sub> ), K	112 (102)	115 (105)		
$\Delta(0)$ , meV	35.2 (31.2)	33.9 (29.6)		
$\mu_0 H_{C2}(0)$ , T (eq. A8)	41 (37)	37 (33)		
$R_{\Delta}=2\Delta(0)/k_BT_C$	5.24 (5.11)	4.89 (4.74)		



**Figure 15.** (a) (left) Thermodynamic convex hull of the Th–H system with and without the zero-point energy (ZPE) contribution at 150 GPa. (right) Magnified region near ThH<sub>9</sub> and ThH<sub>10</sub> showing that ThH<sub>9</sub> is stabilized by the zero-point energy. (b) Band structure of  $P6_3/mmc$ -ThH<sub>9</sub> at 150 GPa. Contributions of hydrogen and thorium are shown in red and blue, respectively. (c) Electronic DOS of  $P6_3/mmc$ -ThH<sub>9</sub> at 100 and 150 GPa in the energy ranges of  $\pm 10$  eV and  $\pm 1$  eV around the Fermi level. (d, e) Phonon density of states (green, in a.u.), the Eliashberg function  $\alpha^2 F(\omega)$  (gray), and superconducting parameters of  $P6_3/mmc$ -ThH<sub>9</sub> at 100 and 150 GPa.

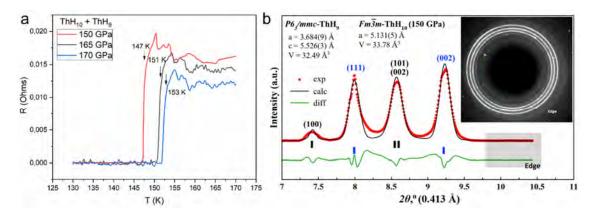


**Figure 16.** Observation of superconductivity in (a) ThH<sub>10</sub> and (b) ThH<sub>9</sub>. The temperature dependence of the resistance R of thorium superhydride was determined in a sample synthesized from Th and NH<sub>3</sub>BH<sub>3</sub>. The resistance was measured with four electrodes deposited on a diamond anvil on which the sample was placed (the right inset in panel (a)), at an excitation current of 100  $\mu$ A. In the other insets, the resistance in the superconducting state is shown in a smaller scale. (c) Temperature dependence of the electrical resistance in an external magnetic field at 170 GPa. (d) Dependence of the critical temperature  $T_{\rm C}$  of ThH<sub>10</sub> and ThH<sub>9</sub> on the magnetic field.



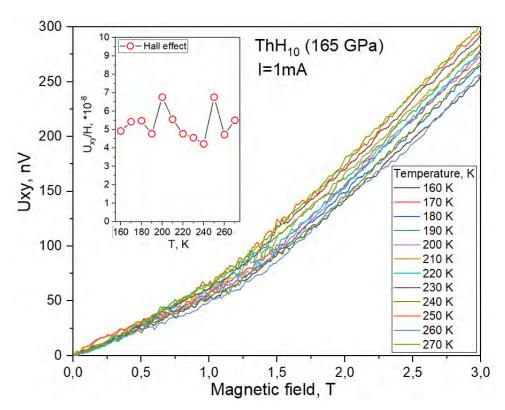
**Figure 17.** Superconducting properties of thorium polyhydrides. (a, b) Residual resistance of ThH<sub>10</sub> in the superconducting state at 170–174 GPa. (c) Superconducting transition width  $\Delta T_{\rm C} = 5$  K for ThH<sub>10</sub> at 161 K. Inset: thorium polyhydride sample lying on a diamond anvil with electrodes sprayed on it. (d) Refined Eliashberg function of  $P6_3/mmc$ -ThH<sub>9</sub> calculated at 150 GPa.

In the article [27], we did not discuss some details of the superconducting behavior of thorium hydrides, in particular the pressure dependence of the critical temperature. In subsequent years, this experiment was performed and led to ambiguous results. In contrast to theoretical predictions, the slope  $dT_C/dP \approx +0.3$  K/GPa (150 GPa) is positive and  $T_C$  decreases with the pressure. The XRD analysis of the sample in this DAC shows the presence of two thorium polyhydrides, ThH<sub>9</sub> and ThH<sub>10</sub> (Figure 18), in which  $dT_C/dP$  gradient has a different sign. Thus, one of the reasons of the deviation from the theoretical calculations may lie in the phase composition of the studied sample. Another reason could be the anharmonic effects.



**Figure 18**. (a) Pressure dependence of the critical temperature for the  $ThH_9 + ThH_{10}$  sample (ratio approximately 1:1). (b) Diffraction pattern of the aperture-limited electrical cell and the Le Bail refinement of the  $ThH_9$  and  $ThH_{10}$  unit cell parameters at 150 GPa. Inset: XRD pattern from a sample confined to the diamond anvil cell aperture. The oscillations before the transition in panel (a) are due to an unstable operation of the electrode system of the DAC because of its damage during decompression.

Another aspect not discussed in the article [27] is the Hall effect in ThH<sub>10</sub> at 165 GPa in low magnetic fields of about 3 T (I = 1 mA, Figure 19). After some initial growth (up to 1 T), the Hall voltage goes to a linear mode:  $V_H/B_z = I/e \times n_e \times t = 5-7 \times 10^{-8}$  V/T, then the density of charge carriers is equal to  $n_e = 3-4 \times 10^{28}$  electrons/m<sup>3</sup>, which does not differ in the order of magnitude from the values for metals and corresponds to one charge carrier per ThH<sub>10</sub> unit cell. Thus, in the normal state, ThH<sub>10</sub> demonstrates the behavior typical for ordinary metals. Considering the ratio  $R(300 \text{ K})/R(T_C)$ , which is in the range of 1–1.5 for most hydrides, a conclusion can be made that the sample has many defects. For pure metals, the resistance ratio  $R(300 \text{ K})/R(T_C)$  reaches 10–1000.



**Figure 19.** Hall effect in ThH<sub>10</sub>. Dependence of the Hall voltage ( $U_{xy}$ , nV) on the applied magnetic field at different temperatures from 160 to 270 K. Inset: temperature dependence of the Hall voltage divided by the value of the external magnetic field for the linear part of the diagram (H > 1.2 T).

### 2.4 Plans for continuing research on thorium polyhydrides

Unresolved tasks in the field of thorium hydride research are the measurement of the isotope effect and study of superconductivity in ThD<sub>10</sub> and ThD<sub>9</sub>, including their investigation in pulsed magnetic fields to determine the upper critical magnetic field (the expected value at 0 K is ~65 T) as accurately as possible. A detailed Raman study of thorium hydrides has also never been performed. From the chemical point of view, synthesis at pressures around 50 GPa is of interest. A theoretical study of the effect of anharmonicity on superconductivity in ThH<sub>10</sub> and ThH<sub>9</sub> is planned in the near future.

Given the similarity of the Th-H system with the Zr-H, Hf-H, and Ce-H systems, the synthesis of such nona- and decahydrides as ZrH<sub>9</sub>, ZrH<sub>10</sub>, HfH<sub>9</sub>, and HfH<sub>10</sub> predicted

in the theoretical papers [197], should be expected in the future. Indeed, studies of 2020-2021 have shown the formation of high-temperature superconductors  $Fm\overline{3}m$ -CeH<sub>10</sub> and  $P6_3/mmc$ -CeH<sub>9</sub> at moderate pressures around 80-120 GPa. The superconducting properties of cerium hydrides are weaker than those of thorium hydrides, probably due to the greater probability of the Cooper pairs decay by scattering on Ce atoms, whose valence electrons have a more pronounced f-character than those of Th. After the recent discovery of hexagonal ternary hydrides (La, Ce)H<sub>9-10</sub> [189, 198], which possess the high-Tc superconducting properties at moderate pressures of about 100 GPa, synthesis of La-Th alloys (1:1, 4:1) and superhydrides based on them will also be a promising direction for further research. Because Th suppresses superconductivity less than Ce, the critical temperature in such compounds is expected to be even higher at a comparable pressure of about 100 GPa.

Increasing the critical temperature of superconductivity of polyhydrides is another avenue of research. One approach to this problem relies on the search for systems with significant structural anisotropy. The superconducting properties of cuprates and  $MgB_2$  are strongly anisotropic, and the value of the superconducting gap  $\Delta(0)$  along the crystallographic planes is much higher than in the perpendicular direction. The same idea was used in nano-optics to create hyperlenses: deterioration of the target material properties in one direction can lead to multiple improvements of the same properties in the other direction. Hexagonal binary and ternary hydrides containing flat hydrogen layers are of particular interest from this point of view. Indeed, in the recent study of the Ce–La–H system, the introduction of Ce allowed the stabilization of the hexagonal ternary phases P63/mmc-(La,Ce)H<sub>9-10</sub> [189] which have better superconducting properties at low pressures than lanthanum polyhydrides.

It is interesting that the  $T_{\rm C}$  of hexagonal ThH<sub>9</sub> is lower than that of cubic ThH<sub>10</sub>. This is also observed in other systems:  $T_{\rm C}({\rm CeH_{10}}) > T_{\rm C}({\rm CeH_{9}})$  [98] and probably  $T_{\rm C}({\rm YH_{10}}) > T_{\rm C}({\rm YH_{9}})$  [31]. There are currently no examples of hexagonal polyhydrides with a higher critical temperature than the nearest cubic polyhydrides of the same metal with similar hydrogen content.

## 2.5 Conclusions from the study of thorium polyhydrides

The study of thorium hydrides showed that formation of high- $T_{\rm C}$  superconducting compounds at high pressures is not a unique property of the H–S system [5] and is inherent in a wide class of polyhydrides. Some of the first representatives of cubic clathrate [19] decahydrides (ThH<sub>10</sub>), hexagonal clathrate nonahydrides (ThH<sub>9</sub>), and tetragonal tetrahydrides (I4/mmm-ThH<sub>4</sub>) were experimentally discovered. In a series of consequent papers [167, 27], high efficiency of computational methods in predicting new superconducting hydrides at high pressures was demonstrated for the first time. Additionally, we would like to note the following:

- Thorium tetrahydride I4/mmm-ThH<sub>4</sub> cannot be obtained at 30 GPa and below despite the theoretically predicted thermodynamic and dynamic stability of this compound.
- Equation of state of "P6<sub>3</sub>/mmc-ThH<sub>9</sub>" indicates a more complex structure and nonstoichiometric composition, close to Th<sub>2</sub>H<sub>19</sub>.
- There is a significant deviation of the experimental  $T_C(\text{ThH}_{10})$  and  $dT_C/dP$  from the results of theoretical calculations due to anharmonicity of the hydrogen sublattice and possible spin fluctuations that arise because of the partial f-character of the valence electrons of thorium.

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# Chapter 3. High-temperature superconductivity in yttrium hydrides

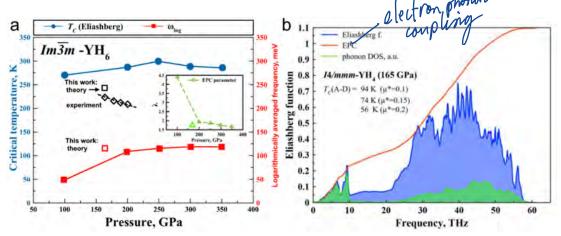
## 3.1 Prediction of high-temperature superconductivity in yttrium hydrides

This chapter is based on the results of the theoretical-experimental publication [30] devoted to the properties of yttrium hexahydride YH<sub>6</sub> and hexadeuteride YD<sub>6</sub>. All studies of polyhydrides by our group are of a comprehensive theoretical-experimental nature, and we are convinced that this approach is correct and effective.

Interest in yttrium hydrides arose as a result of a series of theoretical papers published in 2017 [18, 19, 199], which predicted the outstanding superconducting properties of LaH<sub>10</sub>, YH<sub>6</sub>, and YH<sub>10</sub> cubic superhydrides at pressures of 150–300 GPa. The first of them was experimentally obtained a year later and showed a record-high stability:  $Fm\overline{3}m$ -LaH<sub>10</sub> can be synthesized at 140–150 GPa. The critical temperature of LaH<sub>10</sub> is slightly lower than predicted:  $T_{\rm C}(\exp) = 250$  K,  $T_{\rm C}(\text{theory}) = 286$  K. Moreover, the hexagonal modification of LaH<sub>9-10</sub> occurred only sporadically in the experimental diffraction patterns [118]. As the main product,  $P6_3/mmc$ -LaH<sub>9-10</sub> can be obtained by stabilization with cerium as part of the ternary hydride  $P6_3/mmc$ -(La,Ce)H<sub>9-10</sub> [189, 198]. In addition, various phase modifications of LaH<sub>10</sub> (R-3m, C2/m, P1) were also obtained. In the case of yttrium stabilization, Im-3m-LaH<sub>6</sub> in the ternary polyhydride (La,Y)H<sub>6</sub> can also be obtained [118]. In the binary La–H system, Im-3m-LaH<sub>6</sub> as an individual compound has not been obtained so far.

Surprisingly, the phase diagram of yttrium hydrides differs significantly from that of lanthanum hydrides, despite the very similar chemistry of these elements. Like the lanthanum hydrides, according to the calculations [18, 19, 97], YH<sub>6</sub>, YH<sub>9</sub>, and YH<sub>10</sub> are room-temperature superconductors with maximum  $T_{\rm C} > 270$  K. For a long time, yttrium superhydrides could not be obtained experimentally. Our group succeeded in synthesizing YH<sub>6</sub> for the first time in 2019 [200]. The electrical measurements showed that the critical temperature of superconductivity in this compound (224 K) is far from the theoretical predictions (>270 K [97], Figure 20).

Very soon, at pressures above 200 GPa, the *P*63/*mmc*-YH9 was synthesized [31], whose critical temperature (243 K) also differed significantly from predictions (303 K, [19]). A further pressure increase to 400 GPa and intense heating to 2000-3000 K failed to detect any trace of the formation of the *Fm*3*m*-YH10 cubic phase. An attempt was also made to stabilize YH10 by lanthanum [118]. Indeed, at lanthanum concentrations > 50 at%, it was possible to obtain cubic *Fm*3*m*-(La,Y)H10, with a critical temperature only slightly higher (253 K) than that of pure LaH10. However, when the yttrium concentration is increased to 75 atom%, the critical temperature decreases and many synthesis byproducts are found in the mixture [118]. This indicates the thermodynamic and possibly dynamic instability of YH10 at pressures < 200 GPa. Unlike YH10, other yttrium hydrides — *I4/mmm*-YH4, YH6 and YH9 — are stable. In comparison, in the La-H system LaH10, LaH11 [21, 112] and *I4/mmm*-LaH4 are stable, as well as a series of compounds not yet identified. Note that all yttrium hydrides, including *I4/mmm*-YH4 [182] (the Eliashberg function is shown in Figure 20b) are high-temperature superconductors with a *T*C > 77 K.

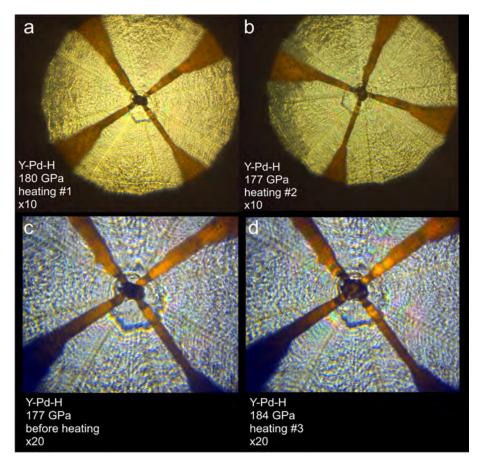


**Figure 20.** (a) Yttrium hexahydride properties from previous theoretical studies: pressure dependence of the superconducting critical temperature  $T_{\rm C}$ , electron–phonon coupling coefficient  $\lambda$ , and logarithmically averaged frequency  $\omega_{\rm log}$  [97]. (b) Harmonic Eliashberg functions and superconducting properties of for *I4/mmm*-YH<sub>4</sub> calculated using QE with a  $16\times16\times16$  k-grid,  $2\times2\times2$  q-grid, and σ-smearing of 0.01 Ry at 165 GPa.

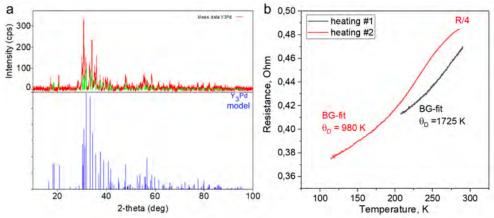
The cherished goal of researchers remains yttrium decahydride  $Fm\overline{3}m$ -YH<sub>10</sub>, which according to theoretical predictions [18, 97] should exhibit room-temperature

superconductivity. However, other yttrium polyhydrides, Im-3m-YH<sub>6</sub> and  $P6_3/mmc$ -YH<sub>9</sub>, show significantly lower  $T_C$  than was predicted by theoretical methods. Moreover, we know that cubic hydrides exhibit maximum  $T_C$ 's only 10% higher than their hexagonal neighbors with similar hydrogen content: ThH<sub>9</sub> – 146 K, ThH<sub>10</sub> – 161 K (+10%) [27]; CeH<sub>9</sub> – 105 K, CeH<sub>10</sub> – 115 K (+10%) [98]. This means that we can expect  $T_C(YH_{10}) = 267$  K, considering that  $T_C(YH_9) = 243$  K. This is not a bad result, though it is much lower than the predicted critical temperature.

In this regard, it is interesting to discuss the recent ambiguous paper by E. Snider and R. Dias et al. [75], where the researchers reported that sputtering of a 10 nm palladium layer on yttrium provides a hydride with superconducting transition at 244-262 K at a pressure of 144-182 GPa. At such low pressures, only YH<sub>4</sub> [182], YH<sub>6</sub> [30, 31] and YH<sub>9</sub> (metastable) [31] can be produced from Y or YH<sub>3</sub>. The authors of this article indicate that they obtained "YH<sub>9</sub>" but provide no evidence for this. The introduction of a Pd impurity into any yttrium hydride, according to Anderson's theorem [201], cannot lead to an increase in T<sub>C</sub>. We also performed a verification experiment to rule out the formation of Y-Pd-H ternary superhydrides. Using arc melting, we synthesized Y<sub>3</sub>Pd intermetallic with the highest yttrium content known from the literature (Figures 21 and 22), and used it as a starting material, together with AB, for the hydride synthesis at 177-184 GPa. No resistive transitions were observed in the sample between 120 and 300 K despite three stages of the laser heating. Using the Bloch-Grüneisen formula [63, 64] to interpolate the temperature dependence of resistance R(T) leads to fairly large Debye temperature, typical for polyhydrides. This can be considered as an indirect evidence of relatively high hydrogen content in the sample, but the superconductivity seems to be suppressed by palladium. Thus, the reproducibility of this work by E. Snider and R. Dias et al. [75] is questionable.



**Figure 21**. Optical photographs of the electrode system and  $(Y_3Pd)H_x$  sample at different stages of the laser heating.



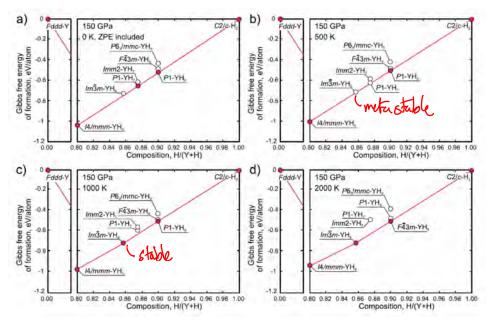
**Figure 22.** (a) X-ray diffraction pattern (wavelength:  $CuK_a$ ) of an initial Y<sub>3</sub>Pd intermetallic prepared by arc melting (K. Pervakov, LPI) and used for loading a high-pressure diamond anvil cell. (b) Temperature dependence of the electrical resistance of the sample (Y<sub>3</sub>Pd)H<sub>x</sub> after the first and second laser heating.

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### 3.2 Synthesis and study of yttrium hexahydride YH<sub>6</sub>

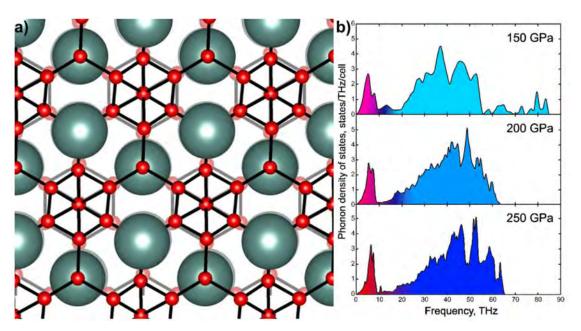
In this section, the description of the experimental synthesis and superconducting properties of yttrium hexahydride YH<sub>6</sub> is preceded by a theoretical analysis of the behavior of various phases of the Y-H system at high pressures. Conducting a theoretical analysis with simultaneous validation and comparison with experimental results is extremely useful because it allows us to build a reliable theoretical model for predicting the superconducting properties of polyhydrides as well as a set of stable phases, the use of which saves time and material resources (diamond anvils).

We searched for stable phases in the Y-H system using the USPEX code [9-12] at 150 GPa at various temperatures, taking into account the ZPE and the entropy (-TS) contribution to the Gibbs energy of formation (Figure 23). The temperature consideration is due to the physical possibility of "freezing" of metastable phases during laser heating, whereby cooling of the sample from temperatures of 1000-1500 K to 300 K can be quite rapid (1-10 ms). As a result, some of the hydride phases can be in a metastable state.



**Figure 23.** Calculated convex hulls of the Y–H system at 150 GPa and (a) 0, (b) 500, (c) 1000, and (d) 2000 K under the assumption of the crystalline state of all phases. Metastable and thermodynamically stable phases are shown by hollow circles and red circles, respectively. Red line marks the thermodynamic convex hull of the Y–H system.

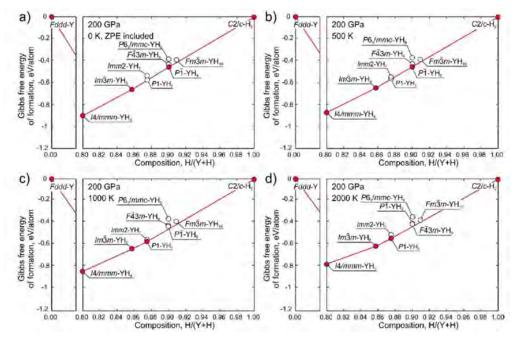
Studying the Y-H system at 150 GPa, we found that in the harmonic approximation at 0 K, yttrium hexahydride Im-3m-YH<sub>6</sub> can be thermodynamically unstable at low temperatures with respect to decay to I4/mmm-YH<sub>4</sub> and low-symmetry phases P1-YH<sub>7</sub> and P-1-YH<sub>9</sub>, but stabilizes under the laser heating conditions at 1000-2000 K. It is interesting that at these temperatures cubic F-43m-YH<sub>9</sub> appears at the thermodynamic convex hull, which should have a higher  $T_C$  than  $P6_3/mmc$ -YH<sub>9</sub> and could, in principle, be a candidate to explain the results of E. Snider and R. Dias et al. [75]. Thus, the theory predicts that high temperatures during the laser heating promote the formation of yttrium hexahydride YH<sub>6</sub>. As was later shown, a similar situation is observed for Im-3m-CaH<sub>6</sub>, which can be obtained only at high temperature and very intense laser heating [108, 143].



**Figure 24.** (a) Crystal structure of P-1-YH<sub>9</sub> (= Y<sub>4</sub>H<sub>36</sub>) with the distorted hydrogen sublattice in comparison with ideal symmetric  $P6_3/mmc$ -YH<sub>9</sub> (shaded). The hydrogen atoms are shown in red. (b) Phonon density of states of P-1-YH<sub>9</sub> at 150, 200, and 250 GPa.

Examining the structure of the low-symmetry P-1-YH<sub>9</sub> phase, which is a small distortion of  $P6_3/mmc$ -YH<sub>9</sub> (Figure 24), we conclude that it is dynamically and thermodynamically stable in the harmonic approximation. In other words, a small distortion of the highly symmetric  $P6_3/mmc$  structure is thermodynamically advantageous.

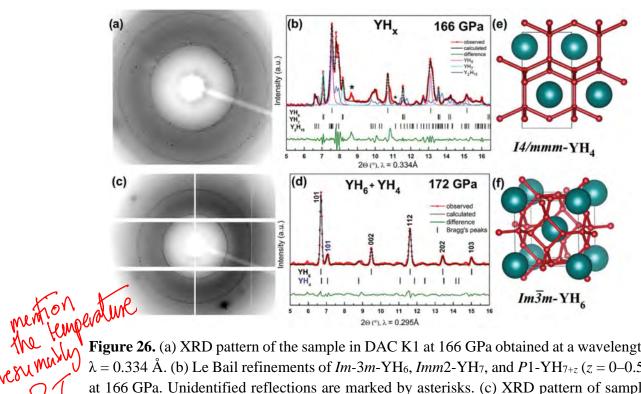
At the same time, this distortion is very difficult to observe experimentally given the real width of the XRD spectral lines. This is not an isolated case: we observed an absolutely similar picture for BaH<sub>12</sub> [45] and Sr<sub>8</sub>H<sub>48</sub> [44], where the highly symmetric phases lose in enthalpy of formation to distorted structures. However, the experiment does not give an unambiguous answer whether the known hydrides have a distorted lattice or not. This requires the single-crystal diffraction analysis for polyhydrides, which is a matter of the near future.



**Figure 25.** Calculated convex hulls of the Y–H system at 200 GPa and (a) 0 K, (b) 500 K, (c) 1000 K, and (d) 2000 K under the assumption of the crystalline state of all phases.

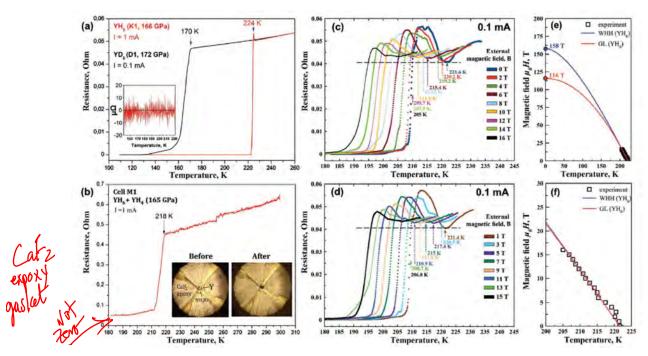
The study of the thermodynamic stability of yttrium hydrides at a higher pressure of 200 GPa (Figure 25) leads to an understanding of possible reasons of the failure of YH<sub>10</sub> synthesis. At temperatures up to 500 K, pseudohexagonal *P*-1-YH<sub>9</sub> displaces YH<sub>10</sub> from the convex hull. To obtain YH<sub>10</sub> under such conditions, an impurity must be added to destabilize the hexagonal hydrides. In other words, we should add an element for which hexagonal hydrides are not characteristic, such as lanthanum. At temperatures of 1000–2000 K, *P*1-YH<sub>7</sub> becomes stable, which also prevents the formation of YH<sub>10</sub>. Thus, two phases, YH<sub>7</sub> and YH<sub>9</sub>, thermodynamically prevent the formation of yttrium

decahydride at both high and low temperatures. At 200 GPa, yttrium hexahydride *Im-3m-*YH<sub>6</sub> and tetrahydride YH<sub>4</sub> appear stable throughout the temperature range. The experiment supports this conclusion (Figure 26).



**Figure 26.** (a) XRD pattern of the sample in DAC K1 at 166 GPa obtained at a wavelength  $\lambda = 0.334$  Å. (b) Le Bail refinements of *Im-3m-YH*<sub>6</sub>, *Imm*<sub>2</sub>-YH<sub>7</sub>, and *P*<sub>1</sub>-YH<sub>7+z</sub> (z = 0–0.5) at 166 GPa. Unidentified reflections are marked by asterisks. (c) XRD pattern of sample M3 at 172 GPa obtained with  $\lambda = 0.295$  Å. (d) Le Bail refinements of *Im-3m-YH*<sub>6</sub> and *I4/mmm-YH*<sub>4</sub>. The experimental data, fitted line, and residues are shown in red, black, and green, respectively. (e, f) Crystal structures of YH<sub>4</sub> and YH<sub>6</sub>.

Figure 26 shows the experimental XRD patterns of yttrium hydride samples obtained in diamond anvil cells at 166 and 172 GPa after the laser heating of the yttrium particle in an ammonia borane medium. The X-ray pattern of the sample at 172 GPa is relatively simple and shows the presence of two phases: *I4/mmm*-YH4 and *Im-3m*-YH6. The sample at 166 GPa is more difficult to interpret: in addition to the fine-crystalline YH6 fraction, there is also a coarse-crystalline phase which approximately corresponds to *P*1-YH7 or *P*1-Y2H15 with a similar structure. This phase also occurs in the synthesis of La–Y ternary hydrides [118].



**Figure 27.** Superconducting transitions in Im3m-YH6: (a) temperature dependence of the electrical resistance R(T) in YH<sub>6</sub> (DAC K1) and YD<sub>6</sub> (DAC D1). Inset: the resistance drops to zero after cooling below  $T_{\rm C}$ ; (b) temperature dependence of the electrical resistance in DAC M1. A ninefold decrease is observed. Inset: chamber of DAC M1 with Y sample and electrodes before and after the laser heating. (c, d) Dependence of the electrical resistance on the external magnetic field (0–16 T) at 183 GPa and a current of 0.1 mA for (c) even and (d) odd values of the magnetic field. Because of the presence of several hydride phases in the sample, the superconducting transition in YH<sub>6</sub> can be observed as an upward feature of the R(T, H) curves due to the shunting effect in the fine-grained samples. The critical temperatures were determined at the onset of the resistance jump. (e) The upper critical magnetic field was extrapolated using the Werthamer–Helfand–Hohenberg theory [202] and the Ginzburg–Landau [203] theory. (f) Dependence of the critical temperature  $T_{\rm C}({\rm YH_6})$  on the applied magnetic field.

Yttrium hexahydride exhibits pronounced superconducting properties (Figure 27) at temperatures below 224 K (166 GPa). The detected resistive transition shifts in magnetic fields to a lower temperature region, which allows us to extrapolate the value of the upper critical field  $\mu_0 H_{C2}(0) = 158$  T (WHH model). However, given the large number of defects in the sample, a linear extrapolation seems a more correct solution [188]:  $\mu_0 H_{C2}(0) = 205$ 

T (166 GPa). The resistance jump before the superconducting transition can be caused by the fine-dispersed structure of the sample with a large number of S/N contacts [204-206].

Here we formulate an algorithm for analyzing the superconducting properties of polyhydrides, which we will continue to follow.

1. Analysis of R(300 K)/R(T<sub>C</sub>) shows how many defects and impurities are in the sample. An insignificant change in the resistance with decreasing temperature indicates that phonon scattering plays a minor role compared to scattering on impurities and defects.

**YH<sub>6</sub>:**  $R(300)/R(T_C) \sim 1.2$  at 166 GPa. It means that the electrical resistance is determined by defects, not phonons.

**2.** Analysis of R(T) in terms of the Bloch-Grüneisen formula [63, 64] often yields the Debye temperature  $\theta_D$ . For compressed polyhydrides,  $\theta_D$  is usually in the range of 1000  $\pm$  500 K. This allows us to distinguish lower hydrides from higher ones and from metals, and to see if the laser heating was successful. This analysis was first widely applied to polyhydrides by E. Talantsev [79].

 $\mathbf{YH_6}$ :  $\theta_D = 1200 \pm 25$  K at 166 GPa in close agreement both with calculations based on the elastic constants and with calculations in Quantum ESPRESSO [191] when the anharmonic effects are considered, see [30] for additional information.

3. Electrical resistance, as well as its difference R(300 K) - R( $T_{\rm C}$ ) can be used to estimate the thickness of the sample as  $\rho \sim R_{\rm VdP} \times h$ , where  $R_{\rm VdP}$  – is the resistance of the sample measured using the van der Pauw method, assuming that the sample is an ideal disk of thickness h. This estimate is supplemented by first-principles calculations of resistivity using the EPW code [131-134].

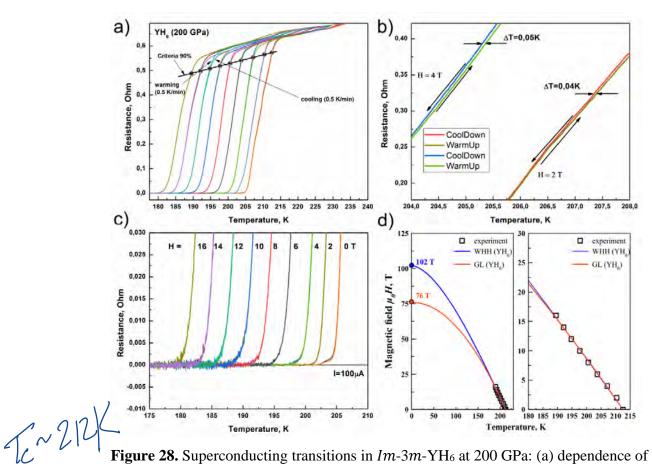
**YH**<sub>6</sub>: calculations in EPW at 165 GPa give  $\rho(300)$  -  $\rho(230)$  = 36 μΩ·cm. Then, the sample thickness is h = [ $\rho(300 \text{ K})$  -  $\rho(230 \text{ K})$ ] / [R(300 K) - R(235 K)] ~ 36 μm, which is highly unlikely because it is a large thickness for this kind of sample (very small resistance < 0.1Ω).

**4.** The Debye temperature obtained at stage 2 can be applied to the estimation of the electron–phonon interaction constant  $\lambda$  via the approximate transformation of  $\theta_D$  into the logarithmically averaged frequency  $\omega_{log} = 0.827 \times \theta_D$  [207] and using the well-known Allen–Dynes formula (A–D) [56]. Despite the fact that for high- $T_C$  hydrides the Allen–Dynes formula gives essentially underestimated values of  $T_C(A-D)$  compared to the results of solving the Eliashberg equations  $T_C(E)$ , considering the anharmonic effects reduces the critical temperature and brings us back from  $T_C(E)$  to  $T_C(A-D)$ . Thus, due to fortunate circumstances, the simple Allen–Dynes formula gives a very good lower bound estimate for the experimental  $T_C$ .

YH<sub>6</sub>:  $\lambda_{BG} = 2.71$ , which is substantially larger than the theoretical analysis gives. This may be caused by the interpolation temperature interval being too short. A wider temperature interval for YD<sub>6</sub> gives  $\lambda = 1.96$  and  $\theta_D = 1200 \pm 5$  K at 172 GPa.

**5.** Analysis of the residual resistance, the hysteresis loop in the cooling and heating cycles, and the width of the superconducting transition are important in terms of distinguishing between superconductivity and insulator-to-metal transition. For example, in the case of hydrogen sublattice melting (probably [208]), there will also be a sharp increase in the electrical resistivity. The width of the transition and the residual resistance allow us to evaluate the potential applications of a given hydride as a sensor and to compare the resistivity of the hydride in the superconducting state with the best metals.

**YH<sub>6</sub>:** the width of the superconducting transition is about  $\Delta T_{\rm C}$  = 3-4 K (166 GPa), there is an initial resistance growth region at 226 K, which should be attributed to the beginning of the superconducting transition (see also [31]). The average residual resistance is 21 μΩ, the initial resistance is 0.05 Ω. Thus, there is a resistance drop by a factor of 2380. We investigated the hysteresis in a separate cell at 200 GPa (Figure 28) and found  $\Delta T_{\rm C-H}$  = 0.04-0.05 K at a heating/cooling rate of 0.5 K/min, which is close to the experimental temperature sensor error in the cryostat. At the same time, this sample exhibited a smaller extrapolated critical field  $\mu_0$ Hc<sub>2</sub>(0) = 143 T (linear extrapolation) and a significantly larger R(300 K)/R( $T_{\rm C}$ ) = 1.5. It is likely that  $\mu_0$ Hc<sub>2</sub>(0) depends on concentration of defects in the sample.

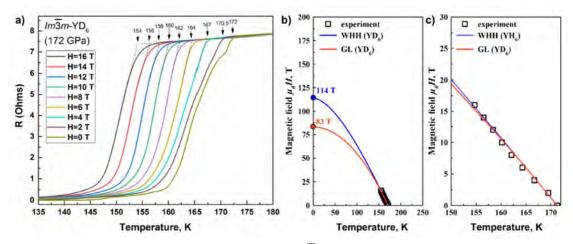


**Figure 28.** Superconducting transitions in Im-3m-YH<sub>6</sub> at 200 GPa: (a) dependence of the electrical resistance and critical temperature of  $Im\overline{3m}$ -YH<sub>6</sub> (90% criteria) on the external magnetic field (0–16 T) at 200 GPa within the cooling and warming cycles (0.5 K/min); (b) hysteresis of the electrical resistance of the YH<sub>6</sub> sample upon cooling and warming in magnetic fields; (c) reduction of the electrical resistance in the final section of the superconducting transitions in magnetic fields (0–16 T); (d) extrapolation of the upper critical magnetic field using the Werthamer–Helfand–Hohenberg (WHH) theory [202] and the Ginzburg–Landau (GL) theory [203]. The coherence length calculated from the experimental data  $\xi_{BCS}(YH_6, 200 \text{ GPa}) = 0.5(h/\pi e H_{c2})^{0.5} = 18 \text{ Å}$ .

**6.** Broadening of superconducting transitions in a magnetic field. This type of analysis is proposed by J. Hirsch [147].

**YH<sub>6</sub>:** there is no significant broadening of the superconducting transitions in the fields up to 16 T in YH<sub>6</sub> (Figure 28a). Exactly the same situation is observed for many other superhydrides [118, 188].

For YH<sub>6</sub> we investigated the isotope effect by synthesizing YD<sub>6</sub> at 172 GPa from yttrium and fully deuterated ammonium borane ND<sub>3</sub>BD<sub>3</sub>. The resulting sample (Figure 29) exhibited a superconducting transition at 172 K, shifting to the low temperature region when an external magnetic field was applied. Thus, the isotope coefficient  $\alpha = 0.4$ . The upper critical magnetic field  $\mu_0$ Hc<sub>2</sub>(0) = 156 T, found by linear extrapolation, is not much inferior to the critical field of YH<sub>6</sub>.

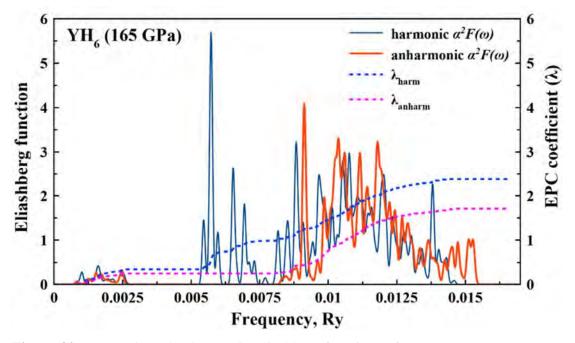


**Figure 29.** Superconducting transitions in Im3m-YD<sub>6</sub>: (a) dependence of the electrical resistance and critical temperature on the external magnetic field (0–16 T) at 172 GPa; (b) extrapolation of the upper critical magnetic field using the Werthamer–Helfand–Hohenberg (WHH) theory [202] and the Ginzburg–Landau (GL) theory [203]; (c) dependence of the critical temperature  $T_{\rm C}$  (YD<sub>6</sub>) on the applied magnetic field. The coherence length calculated from the experimental data  $\xi_{\rm BCS}({\rm YD}_6) = 0.5(h/\pi e H_{\rm c2})^{0.5} = 17 \,\text{Å}$ .

## 3.3 Anomalies of superconducting properties of yttrium hexahydride YH<sub>6</sub>

As was mentioned above, even before the experimental discovery of superconductivity in YH<sub>6</sub>, first-principle calculations of the electron-phonon interaction were performed, considering the anisotropy of the superconducting gap in this compound [97]. These calculations resulted in  $T_{\rm C}$  of about 270 K, more than 50 K higher than the experimental critical temperature.

Immediately after discovering such a serious discrepancy between theory and experiment, we performed independent calculations of the anharmonic corrections to the superconducting properties of YH $_6$  [30]. As a result, we found the anharmonic Eliashberg function for YH $_6$  at 165 GPa (Figure 30) and the values of the critical temperature (Table 8).



**Figure 30.** Harmonic and anharmonic Eliashberg functions of Im-3m-YH<sub>6</sub> at 165 GPa. A  $60\times60\times60$  grid of k-points and  $6\times6\times6$  grid of q-points was used in the calculations.

**Table 8.** Numerical solution of the Migdal–Eliashberg equations for harmonic and anharmonic  $\alpha^2 F(\omega)$  of YH<sub>6</sub> at 165 GPa for different Coulomb pseudopotentials.

Coulomb pseudopotential	0	0.1	0.13	0.15	0.195
T <sub>C</sub> , K (anharmonic)	269 <sup>a</sup>	247	240	236	226
Tc, K (harmonic)	294 <sup>a</sup>	272	265	261	251

<sup>a</sup> Linear extrapolation.

As can be seen, in the harmonic approximation within the generally accepted Coulomb pseudopotential interval  $\mu^* = 0.1$ -0.15, the solutions of the Eliashberg equations lead to overestimated  $T_{\rm C}$  values. The anharmonic corrections calculated within the SSCHA method [209] significantly reduce the  $\lambda$  (electron-phonon interaction coefficient) from 2.24 to 1.71, resulting in  $T_{\rm C}({\rm YH_6})$  also decreasing by 25 K. However, this is not sufficient to explain the experimental results. The calculation still gives  $T_{\rm C}$  overestimated by 20 K. Therefore, there must be another effect that reduces experimental  $T_{\rm C}$ , which can be represented as an effective increase in the Coulomb pseudopotential  $\mu^*$  to 0.195.

To exclude the influence of the semiempirical parameter  $\mu^*$ , we calculated the critical temperature of superconductivity of YH<sub>6</sub> within the SCDFT theory, which considers electron-electron interactions and does not need the introduction of any empirical parameters. Such calculations have been previously performed for LaH<sub>10</sub> [112, 121] and showed good convergence with the experimental data. We did our calculations using two exchange-correlation functionals, LM2005 [123] and SPG2020 [127], and the Eliashberg anharmonic function from Figure 30, as a result we received:  $T_{\rm C} = 156$  K (LM2005),  $T_{\rm C} = 181$  K (SPG2020). The new functional (SPG2020) shows better results than the old one, but the deviation from the experiment is rather large (- 43 K) and has the opposite sign than the calculations within the framework of the Migdal-Eliashberg theory give [120, 133].

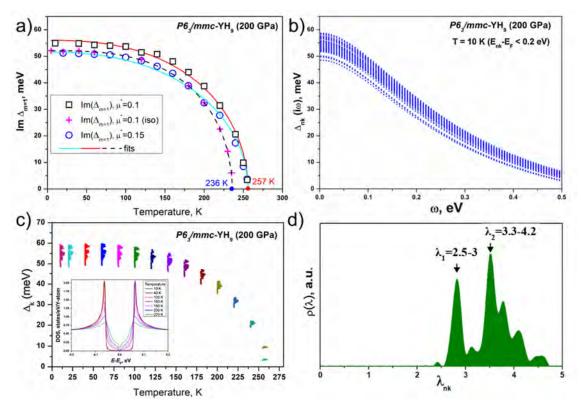
Thus, there is currently no theoretical model that satisfactorily explains the unexpectedly low value of the critical temperature of superconductivity in YH<sub>6</sub>. There must be some additional effect that suppresses superconductivity in yttrium hydrides but is absent in lanthanum hydrides. It is possible that this yet unexplored effect is related to spin fluctuations.

## 3.4 Synthesis and study of superconducting properties of yttrium nonahydride YH9

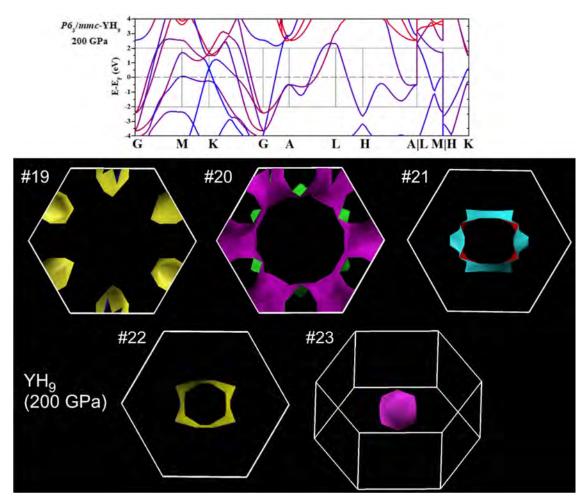
Studies of yttrium hexagonal hydride YH<sub>9</sub> were performed almost simultaneously with the discovery of YH<sub>6</sub> in 2019 [210] by the group of M. Eremets in Mainz, Germany. In this section, I will present the results of the theoretical calculations we performed for this compound in 2020-2021, and of our experimental studies confirming the data obtained by P.P. Kong et al. [31].

 $P6_3$ /mmc-YH<sub>9</sub> crystallizes in the hexagonal syngony and expectedly exhibits anisotropy in the superconducting gap as investigated by the EPW code [131-134] (k-mesh  $12\times12\times12$ , q-mesh  $4\times4\times4$ , Figure 31). Calculations in the harmonic approximation show that at 200 GPa, YH<sub>9</sub> has some small superconducting gap anisotropy ( $\Delta_1 = 50 \text{ meV}$ ,  $\Delta_2 = 55 \text{ meV}$ ) and should exhibit superconductivity at 257 K, which is 14 K higher than the experimental critical temperature (243 K [31]). At the same time, the isotropic approximation gives significantly lower  $T_C = 236$  K, which indicates the importance of a systematic study of the anisotropy.

The study of the electronic structure of YH<sub>9</sub> (Figure 32) shows the presence of the Van Hove singularity at the Fermi level (direction "M"). The same situation is observed for YH<sub>6</sub> [30]. The Fermi surface in YH<sub>9</sub> is mostly open, therefore we expect the manifestation of linear magnetoresistance [211, 212] in this compound in strong pulsed magnetic fields.



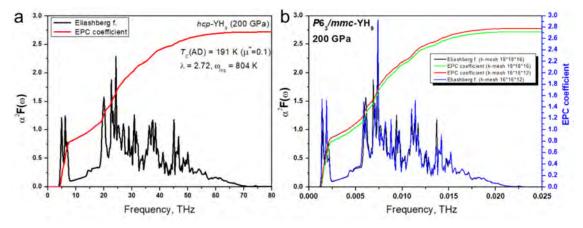
**Figure 31.** Anisotropy of the superconducting properties of YH<sub>9</sub> at 200 GPa. (a) Temperature dependence of the imaginary part of the superconducting gap for  $\mu^* = 0.1$  and 0.15, compared with the isotropic case (iso). (b) Frequency dependence of the imaginary part of the partial contributions to the superconducting gap. (c) Histogram of the contributions to the superconducting gap at different temperatures. Inset: dependence of the density of electronic states on the energy in the superconducting state at different temperatures. (d) Histogram of the contributions to the electron–phonon interaction coefficient from different zones of the Fermi surface (n = 0).



**Figure 32.** (a) Band structure of YH<sub>9</sub> at 200 GPa near the Fermi level. (b) Three-dimensional visualization of the Fermi surface for the electron levels crossing it (bands #19-23).

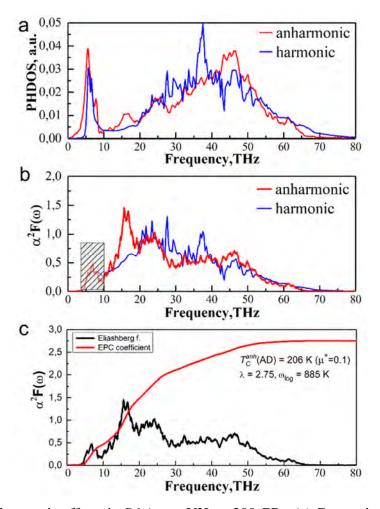
The first-principles calculations of the electron-phonon interaction in YH<sub>9</sub> show good convergence with respect to the number of k-points in the grid used for calculations (Figure 33), yielding  $\lambda = 2.72$ ,  $\omega_{log} = 804$  K. These values are very close to those obtained from the experiment and the Allen-Dynes formula [56] (see below,  $\lambda = 2.66$ ,  $\omega_{log} = 990$ -1093 K). Despite good agreement with the experimental data, this approach is not entirely correct, since we would have to proceed from the solution of the anisotropic Eliashberg equations (Figure 31) and introduce the anharmonic corrections to it. At the moment, such an approach has not been implemented. The numerical solution [118] of the isotropic

equations for the Eliashberg function of YH<sub>9</sub> (Figure 33) leads to a somewhat underestimated value of  $T_C(E) = 227$  K. Considering the anisotropy of the superconducting gap will increase calculated  $T_C$  and bring it closer to the experimental values.



**Figure 33.** Eliashberg functions for YH<sub>9</sub> calculated by tetrahedron integration over the Brillouin zone [213] with different k, q-grids. (a) k-mesh  $18\times18\times16$ , q-mesh  $4\times4\times2$ . (b) Comparison of the results obtained in (a) with calculations using the  $16\times16\times12$  k-mesh. There are virtually no differences.

We performed the SCDFT calculations for  $P6_3/mmc$ -YH<sub>9</sub> (200 GPa) using LM2005 and SPG2020 functionals (the Eliashberg function was taken from Figure 33), resulting in  $T_C = 179$  K (LM2005) and 246 K (SPG2020). Thus, for YH<sub>9</sub> the critical temperature can be calculated with good accuracy using the new SPG2020 functional, whereas the previously developed functional LM2005 gives a very large error and is practically unusable. We do not observe the anomaly that was found for Im-3m-YH<sub>6</sub> — in the case of YH<sub>9</sub>, all computational approaches and the experiment yield close results.

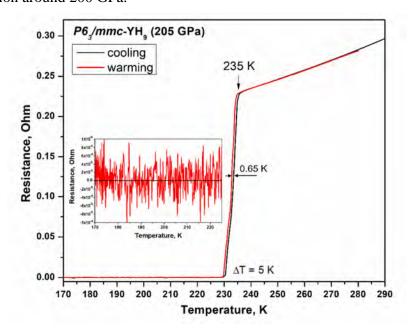


**Figure 34.** Anharmonic effects in  $P6_3$ /mmc-YH<sub>9</sub> at 200 GPa. (a) Comparison of harmonic and anharmonic phonon density of states. (b) Comparison of the harmonic and anharmonic Eliashberg function of YH<sub>9</sub> at 200 GPa calculated on a  $18\times18\times12$  k-point grid and a  $6\times6\times3$  q-point grid. The low-frequency part of the spectrum up to 10 THz was not changed. (c) Anharmonic Eliashberg function and the parameters of the electron-phonon interaction.

Anharmonic effects in YH<sub>9</sub> at 300 K and a pressure of 200 GPa were factored in using molecular dynamics. Machine-learning potentials (MTP) of interatomic interaction for Y and H were created, which allowed a dynamic simulation in a supercell of ~ 1000 atoms within tens of picoseconds. Analysis of the atomic velocities made it possible to construct a phonon density of states spectrum (Figure 34), which is not very different from the harmonic one. As a result of a simplified rearrangement of the Eliashberg function

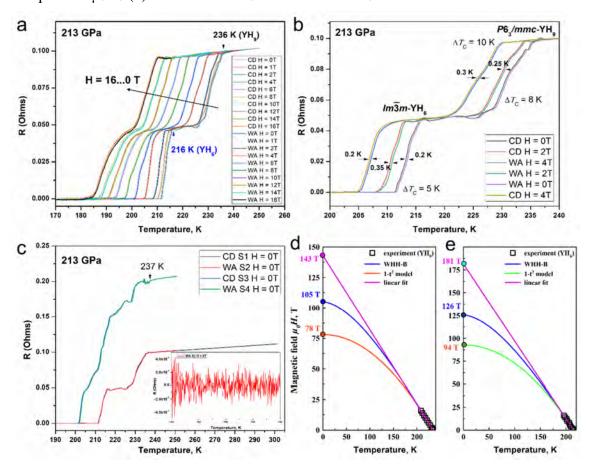
based on the idea of isolating the contribution from the electron-phonon interaction matrices  $\alpha^2(\omega) = \alpha^2 F(\omega) / g(\omega)$ , where  $g(\omega)$  – is the phonon density of states.  $g^{anh}(\omega)$  is close to the harmonic phonon density of states, therefore we can obtain the anharmonic Eliashberg function for the hydrogen sublattice as  $g^{anh}(\omega) \times [\alpha^2 F(\omega)/g(\omega)]$  (Figure 34). For the metal sublattice, this approach gives a very large error because of the abrupt changes of  $\alpha^2(\omega)$  on a short frequency interval. But this part of the Eliashberg function is practically unchanged. As the analysis of the obtained anharmonic  $\alpha^2_{anh}F(\omega)$  shows that the considered effects at 300 K (which is near experimental  $T_C$ ) even increase the critical temperature by 16 K due to the growth of  $\omega_{log}$ . It has previously been shown that anharmonic effects can not only worsen the superconducting properties, but also improve them [214, 215], but no confirmed examples have been found so far.

Experimental studies of YH<sub>9</sub> were performed for two samples at 205 GPa and 213 GPa. In the first case (Figure 35), a fairly pure, single-phase sample with a narrow transition at 235 K, the transition width  $\Delta T_{\rm C} = 5$  K, was used to confirm the YH<sub>9</sub>  $\rightarrow$  YH<sub>6</sub> transformation around 200 GPa.



**Figure 35.** Temperature dependence of the electrical resistance of YH<sub>9</sub> at 205 GPa in the cooling and heating cycles. The hysteresis does not exceed 0.65 K. Inset: residual resistance in the superconducting state, not exceeding 16  $\mu\Omega$ .

The second sample examined at 213 GPa was found to be two-phase, containing YH<sub>6</sub> and YH<sub>9</sub> (Figure 36). Despite this, the residual resistance of the sample in the superconducting state does not exceed 20  $\mu\Omega$ . The superconducting transitions exhibit very small hysteresis of 0.2–0.3 K. Studying the behavior of both yttrium hydrides in magnetic fields up to 16 T allows us to linearly extrapolate the upper critical magnetic fields for both compounds:  $\mu_0 H_{C2}(0) = 181$  T for YH<sub>6</sub> and 143 T for YH<sub>9</sub>.



**Figure 36.** Superconducting properties of yttrium hydrides YH<sub>6</sub> and YH<sub>9</sub> at 213 GPa. (a) Dependence of the resistance of the two-phase sample YH<sub>6</sub> + YH<sub>9</sub> R(T, H) on the temperature and applied magnetic field in the cooling (CD) and heating (WA) cycles. As the magnetic field increases, the critical temperatures for both hydrides converge as  $\mu_0H_{C2}(0)$  for YH<sub>9</sub> is smaller than for YH<sub>6</sub>. (b) Transition widths (8–10 K and 5 K) and hysteresis values (0.2–0.3 K) for sample heating/cooling cycles. (c) Residual resistance and the onset of the superconducting transition (237 K) for different pairs of electrodes. (d, e) Extrapolations of the upper critical magnetic field for YH<sub>6</sub> and YH<sub>9</sub>.

In the conclusion of this chapter, I briefly summarize the findings concerning  $P6_3/mmc$ -YH<sub>9</sub>, using the algorithm of analysis described earlier and supplemented by several theoretical points (e – experiment, t -theory):

**1e.** Analysis of  $R(300)/R(T_C)$ 

**YH<sub>9</sub>:**  $R(300)/R(T_C) = 1.33$  for the sample at 205 GPa.

**2e.** Analysis of R(T) in terms of the Bloch-Grüneisen formula [63, 64]

**YH9:** for YH9 (205 GPa) we got  $\theta_D = 1275 \pm 10$  K ( $\omega_{log} \approx 1093$  K). For the mixture YH6 + YH9 (213 GPa) we obtained slightly lower  $\theta_D = 1160 \pm 10$  K ( $\omega_{log} \approx 990$  K).

**3e.** Estimation of the sample thickness and resistivity using the EPW code [131-134].

**YH<sub>9</sub>:** R(300 K) = 0.3 Ω, R(235 K) = 0.225 Ω. Calculations in EPW give the following values for the resistivity:  $\rho$  (300 K) = 19.5  $\mu\Omega$ ·cm and  $\rho$  (235 K) = 12  $\mu\Omega$ ·cm. This allows us to make an estimate of the thickness of the sample:

h = [ $\rho$ (300 K) -  $\rho$ (235 K)] / [R(300 K) - R(235 K)] ~ 1  $\mu$ m, which is close to the results of the optical measurements.

**4e.** Estimation of the electron-phonon interaction constant ( $\lambda$ ) using the well-known Allen-Dynes formula (A-D) [56].

**YH<sub>9</sub>:** 
$$\lambda = 2.66 \ (\mu *=0.1), T_{\rm C}(\exp) = 235 \ {\rm K} \ {\rm at} \ 205 \ {\rm GPa}.$$

**5e.** Analysis of the residual resistance, hysteresis loops in cooling and heating cycles, and superconducting transition width.

**YH<sub>9</sub>:** R(SC) = 16  $\mu\Omega$ , R( $T_{\rm C}$ ) = 0.225  $\Omega$ , the resistance drop by a factor of 14,000, transition width  $\Delta T_{\rm C}$  = 5 K, hysteresis up to 0.2 K. The residual resistivity  $\rho(SC)$  = 1.6  $n\Omega$ ·cm.

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**6e.** Broadening of superconducting transitions in a magnetic field.

**YH9:** at 213 GPa in fields up to 16 T the superconducting transition practically does not broaden.

**1t**. Analysis of anisotropy  $T_{\text{C}}^{\text{aniso}} - T_{\text{C}}^{\text{iso}}$  by solving the anisotropic Eliashberg equations using the EPW program.

**YH<sub>9</sub>:**  $T_{\text{C}}^{\text{aniso}} - T_{\text{C}}^{\text{iso}} = 21 \text{ K}$  at 200 GPa, there is a significant influence of anisotropy.

**2t**. Analysis of the anharmonic contribution  $\lambda_{anh}$ ,  $\lambda_{harm}$ ,  $T_C^{harm} - T_C^{anharm}$  performed using the SSCHA or molecular dynamics.

**YH9:**  $T_{\rm C}^{\rm harm} - T_{\rm C}^{\rm anharm} = -16$  K, unexpectedly, but anharmonic effects can enhance  $T_{\rm C}$  of YH9.

**3t.** SCDFT analysis using the SPG2020 functional, which allows us to isolate the contribution of the empirical Coulomb potential  $\mu^*$  and obtain the  $T_C(SCDFT)$  value.

**YH<sub>9</sub>:**  $T_{\rm C}({\rm SCDFT}) = 246$  K, close to the experimental value.

**4t**. Analysis within the framework of the Migdal-Eliashberg theory and Allen-Dynes formula in the isotropic harmonic approximation, allowing us to obtain the Eliashberg function, electron-phonon interaction coefficient, logarithmically averaged phonon frequency, etc.

**YH9:** 
$$T_C(E) = 231 \text{ K } (\mu^*=0.1), 238 \text{ K } (\mu^*=0.08), 248 \text{ K } (\mu^*=0.06).$$

$$\lambda = 2.75$$
,  $\omega_{log} = 884.5$  K,  $T_{C}(A-D) = 206$  K,  $\Delta(0) = 54.58$  meV

5t. EPW calculations of the electrical resistivity in the normal state.

**YH<sub>9</sub>:** the EPW calculations give the following values for the resistivity:  $\rho(300 \text{ K})$  = 19.5  $\mu\Omega$ ·cm and  $\rho(235 \text{ K})$  = 12  $\mu\Omega$ ·cm.

#### 3.5 Conclusions from studies of yttrium hydrides

- 1. High-pressure chemistry of the Y-H system differs significantly from that of the La-H system. The synthesized  $YH_6$  and  $YH_9$  polyhydrides exhibit high-temperature superconductivity at 224-243 K.
- 2. At present, there is no satisfactory theoretical explanation for the anomalous suppression of superconductivity in yttrium hexahydride YH<sub>6</sub>.
- 3. The upper critical magnetic field of YH<sub>9</sub> is lower than that of YH<sub>6</sub>. The latter has one of the highest upper critical magnetic field ( $\sim$ 180-200 T) among all polyhydrides, second only to the recently found hcp-(La, Ce)H<sub>9</sub> with the critical field up to 216 T [189].
- 4. For YH<sub>9</sub>, we demonstrated the importance of considering the anisotropy of the superconducting gap, which leads to a noticeable (20 K) increase in the critical temperature in comparison with the isotropic calculation.
- 5. Predicted room-temperature superconductor  $YH_{10}$  may have  $T_C$  of about 267 K, significantly lower than expected, which follows from the systematic error in the predictions of the superconducting properties for yttrium polyhydrides  $YH_6$  and  $YH_9$  and the fact that the cubic superhydride modifications have a  $T_C$  only 10% greater than that of hexagonal nonahydrides of the same metal. It also follows from the fact that  $T_C$  of the ternary hydrides of  $(La,Y)H_{10}$  differs only slightly from that of  $LaH_{10}$ .

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## Chapter 4. Synthesis and magnetic properties of europium superhydrides

# 4.1 Studies of lanthanide polyhydrides

This chapter is based on a series of papers on the synthesis and transport properties of cerium [28, 98, 99], praseodymium [25], neodymium [24], and europium [43] polyhydrides.

After the discovery of record superconductivity in LaH<sub>10</sub>, studies of polyhydrides at high pressures became mainstream, and during 2018-2020 Janthanide hydrides had been in the focus of high-pressure experiments. Pretty soon it has been found theoretically and experimentally that the superconducting properties of cerium hydrides were significantly inferior to those of lanthanide hydrides [98], whereas in hydrides of praseodymium, neodymium and europium, superconductivity was either completely absent or expressed very weakly ( $T_{\rm C}$  < 10 K). The crystal structure of all lanthanide hydrides, their hydrogen content, the volume of the unit cell, and the hydrogen-hydrogen distance d<sub>H-H</sub> were found to be very close to those of LaH<sub>10</sub>. For several years, there was no clear understanding of why lanthanide polyhydrides (Pr, Nd, Eu) are not superconductors in the presence of an atomic hydrogen sublattice. Studies of the magnetic ordering of metal atoms in hydrides partially clarified this question: because the magnetic field in matter suppresses superconductivity, the emergence of a magnetic order in hydrides could explain the absence of superconductivity in lanthanide polyhydrides.

Chemically, La, Ce, Pr, Nd, and, to a lesser extent, Eu are similar to each other and, under ordinary pressure, form cubic hydrides of different stoichiometry, close to XH<sub>2</sub> and XH<sub>3</sub> [216]. Increasing the pressure to 100-130 GPa leads to the formation of cubic and hexagonal polyhydrides Im-3m-XH<sub>6</sub>, F-43m-XH<sub>9</sub>, P63/mmc-XH<sub>9</sub>, and  $Fm\overline{3}m$ -XH<sub>10</sub>. For instance, in the Ce-H system, the formation of P63/mmc-CeH<sub>9</sub>,  $Fm\overline{3}m$ -CeH<sub>10</sub> [28, 189], and also, perhaps, Im-3m-CeH<sub>6</sub> [189], was experimentally confirmed in the Pr-H system, P63/mmc-PrH<sub>9</sub> and F-43m-PrH<sub>9</sub> (V = 31 Å $^3$ /Pr at 110-113 GPa) were found [25] in the Nd-H system, P63/mmc-NdH<sub>9</sub> and, probably, Pm-3n-NdH<sub>6-x</sub> were synthesized [24]. Finally, the Eu-H system was studied simultaneously and independently by two groups at

short of sentency preferred Jilin University. Both groups came to complementary conclusions using the same scheme of synthesis (ammonium borane and Eu metal): at pressures of about 90-170 GPa they obtained *P*6<sub>3</sub>/*mmc*-EuH<sub>9</sub>, *Im*-3*m*-EuH<sub>6</sub>, *Pm*-3*n*-EuH<sub>6-x</sub>, *F*-43*m*-EuH<sub>9</sub>, and *P*6<sub>3</sub>/*mmc*-EuH<sub>6</sub> [43, 217] (doubtful, interpretation ambiguous). Syntheses of higher samarium and gadolinium polyhydrides (*F*-43*m*-SmH<sub>9</sub> and GdH<sub>x</sub>) are also known from private communications (group of T. Cui and X. Huang, Jilin university) [178], but these studies have not yet been published.

an anomalous element with the lowest density and the highest chemical reactivity among the lanthanides, but also because of the Mossbauer isotope <sup>151</sup>Eu, which is present in a high concentration (50%) in the natural europium. This, in principle, makes it possible to study the magnetic structure of europium polyhydrides at moderate pressures using the resonant synchrotron radiation scattering (NRS). Such experiments have been successfully performed at the European synchrotron research facilities (ESRF) at the station ID18 using the iron isotope <sup>57</sup>Fe [218].

Thus, it was found that at high pressures the lanthanides form various cubic and hexagonal polyhydrides; in the Pr-H system, these polyhydrides are nonsuperconducting [25], whereas in the case of Nd and Eu polyhydrides, the temperature dependence of the electrical resistance contains features that can be attributed to magnetic transitions [24, 217].

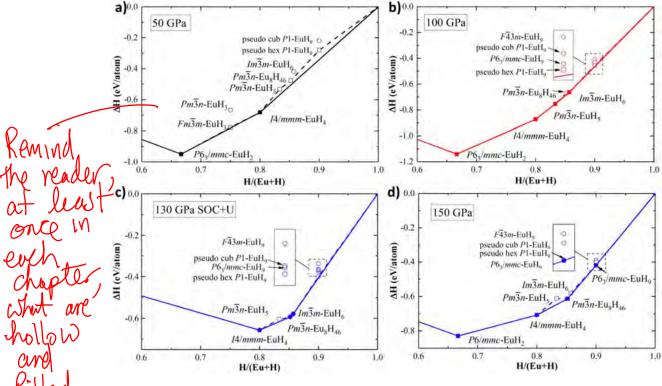
Another feature of lanthanide polyhydrides is the need to take into account the effect of a correlated f-electron behavior in the valence shells of atoms. It has been found in the studies of lanthanum polyhydrides that the existing pseudopotentials for DFT calculations give a large discrepancy in the unit cell volume of LaH<sub>10</sub> at high pressures, making it impossible to ascribe an exact chemical formula to this compound (LaH<sub>10±1</sub>). In the case of praseodymium hydrides [25, 219], it has been immediately observed that the consideration of the spin-orbit interaction (SOC) leads to a significant change in the enthalpy of formation, thermodynamic convex hull, equation of state, and the phonon band structure [25]. The situation becomes even more complicated in the case of neodymium,

where the correction for the spin-orbit interaction is insufficient, and to obtain the correct equation of state and consider the electronic correlations, it is necessary to introduce the Hubbard correction *U-J* [220, 221]. Nonzero values of magnetic moments on metal atoms also affect the enthalpy of Nd hydrides. As a result, a theoretical analysis of lanthanide hydrides must take into account (a) anharmonicity, (b) spin-orbit interaction (SOC), (c) ZPE and temperature effects, (d) magnetic ordering, and (e) the Hubbard *U-J* correction. All this makes the work with lanthanide polyhydrides very time-consuming and the determination of their H-content using the DFT methods very approximate.

Regarding calculations of the superconductivity parameters in lanthanide polyhydrides, as part of the study of *P*63/*mmc*-NdH<sub>9</sub>, we developed a method to account for the spin-splitting energy using the UppSC code [222]. The result of this study is quite trivial. The coupling energy in the Cooper pairs is ~10-20 meV, whereas the typical energy separating bands with opposite electron spins in NdH<sub>9</sub> is ~1 eV [24]. Thus, superconductivity cannot practically compete with magnetism in neodymium and europium polyhydrides. In the Pr-H system, the situation is somewhat different. Even before the experimental discovery of cubic and hexagonal modifications of PrH<sub>9</sub>, ab initio calculations of the superconducting properties [19, 36] gave contradictory results with *T*c from 50 to 4 K. We repeated these calculations and found that their results significantly depend on the Pr pseudopotential used in Quantum ESPRESSO. In fact, almost any result for *T*c(PrH<sub>9</sub>) can be obtained by changing the pseudopotential for Pr.

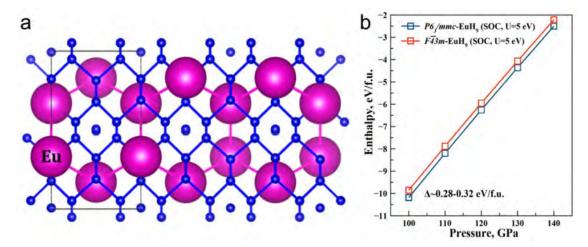
#### 4.2 Europium superhydrides: theory and experiment

Following the standard scheme of investigation, to interpret the experimental data obtained, we conducted calculations using the USPEX code for the Eu-H system at pressures of 50-150 GPa (Figure 37). The variable composition and fixed composition functions were applied. As a structure-optimizer code we selected VASP, which makes it possible to quite simply introduce the spin-orbit interaction (SOC), magnetic ordering, and Hubbard *U-J* corrections.



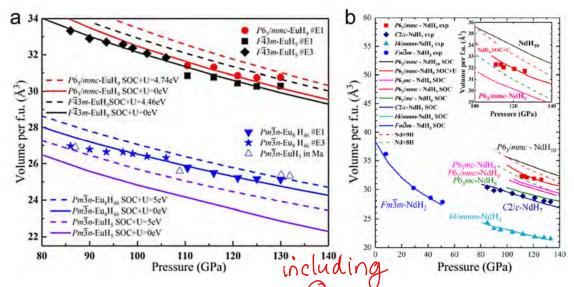
**Figure 37.** Calculated convex hulls of the Eu–H system at (a) 50 GPa, (b) 100 GPa, and (d) 150 GPa without SOC and U-J = 0, and (c) at 130 GPa with SOC and specific U-J.

The results of the computer search show that at low pressures around 50 GPa only EuH<sub>4</sub> formation is expected, whereas increasing the pressure to 100 GPa leads to stabilization of a large number of polyhydrides observed in the experiment: *Pm-3n-EuH<sub>5</sub>*, *Pm-3n-Eu<sub>8</sub>H<sub>46</sub>*, and *Im-3m-EuH<sub>6</sub>*. The disadvantage of this calculation is that the observed cubic and hexagonal EuH<sub>9</sub> phases are thermodynamically unstable even considering *U-J* and SOC. Taking into account the synthesis temperature (*-TS* contribution) also does not change the situation [43]. The use of small structure distortions by analogy with YH<sub>9</sub>, pseudohexagonal and pseudocubic (slightly less stable) *P*1-Eu<sub>4</sub>H<sub>36</sub> (Figure 38), which have lower enthalpy of formation at pressures below 150 GPa and are dynamically stable, somewhat improves the situation.



**Figure 38.** (a) Crystal structure of pseudohexagonal P1(hex)-EuH<sub>9</sub> (=Eu<sub>4</sub>H<sub>36</sub>) with the disordered hydrogen sublattice, visualized using VESTA software [223]. The hydrogen atoms are shown in blue. (b) Difference between the enthalpies of the cubic and hexagonal modifications of EuH<sub>9</sub>.

Relatively new in this work is the use of the *Pm*-3*n*-Eu<sub>8</sub>H<sub>46</sub> phase constructed by analogy with many Zintl phases of a similar structure, such as K<sub>4</sub>Ge<sub>23</sub>, Rb<sub>4</sub>Ge<sub>23</sub>, and Ba<sub>8</sub>Si<sub>46</sub>, which are also sometimes called Weaire-Phelan phases [81]. This was the first experience for us to search the structure using the databases of inorganic compounds. Once a prototype of the desired composition having between 5.5 and 6 hydrogen atoms per Eu atom and the desired symmetry (*Pm*-3*n*) was found, we performed the replacement of the atoms of the parent structure and its relaxation to the desired pressure (~100 GPa). We found that constructed *Pm*-3*n*-Eu<sub>8</sub>H<sub>46</sub> satisfies the experimental equation of state much better than the alternative explanation via *Pm*-3*n*-EuH<sub>5</sub> (Figure 39) proposed in Ref. [217]. It is interesting to note a very large difference in the unit cell volume of both europium and neodymium polyhydrides calculated with and without the SOC and *U-J* corrections (Figure 39).

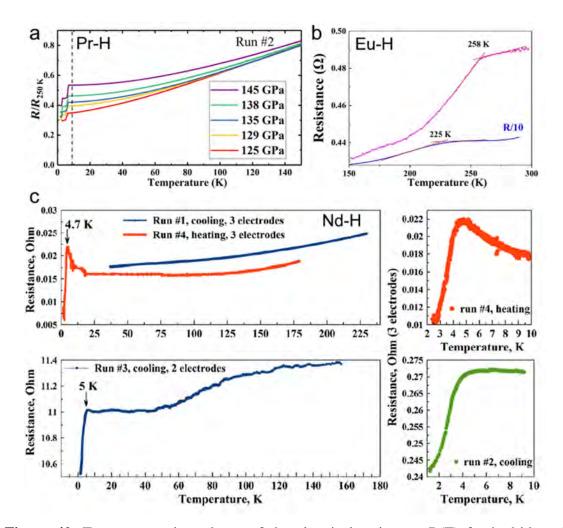


**Figure 39.** Equations of state, crystal structures, and magnetization of the synthesized Eu–H and Nd–H phases. (a) Pressure dependence of volumes of europium hydrides. The theoretical results considering SOC are shown by solid (U-J=0 eV) and dash-dot (U-J=5 eV) lines. The experimental data for EuH<sub>5</sub> from [217] are shown by hollow squares. (b) The equation of state of the synthesized Nd–H phases; theoretical results include spin–orbit coupling and magnetism. Inset: The distinction between the  $P6_3mc$ -NdH<sub>8</sub>,  $P6_3/mmc$ - and  $P6_3mc$ -NdH<sub>9</sub>, and  $P6_3/mmc$ -NdH<sub>10</sub> phases.

*Pm-3n-*Eu<sub>8</sub>H<sub>46</sub> is also thermodynamically more stable at pressures of 130-150 GPa than *Pm-3n-*Eu<sub>H5</sub>. Furthermore, using molecular dynamics methods with machine-learning potentials (MTP) of interatomic interaction in a supercell of 960 Eu and H atoms, we showed that *Pm-3n-*Eu<sub>8</sub>H<sub>46</sub> is dynamically stable at 300 K and 130 GPa for an interval of 40 picoseconds, which is equivalent to stability in the anharmonic approximation (see Supporting Information [43]). This was the first example where the calculation scheme with molecular dynamics and velocity autocorrelator was used to justify the dynamic stability of polyhydrides (EuH<sub>9</sub> and Eu<sub>8</sub>H<sub>46</sub>) in the anharmonic approximation at a high pressure and finite temperature (300 K). Subsequently, we have successfully used this calculation scheme in many other projects [118, 188]. The criterion of the dynamic stability within this approach is the preservation of the original structure of compounds when averaging the results of the dynamic simulation over a long time interval.

The study of the magnetic and transport properties of europium hydrides in our work was mainly theoretical. Polyhydrides of praseodymium [25], neodymium [24], and europium [217] have been studied experimentally in electrical DACs. No resistive transitions above 10 K were found. In some cases, a sharp drop in resistance was observed below 10 K, but we cannot state unequivocally whether this refers to the sample or to superconductivity in the electrodes (Mo,  $MoC_x$ ). A retrospective analysis of the Debye temperature for resistivity measurements of praseodymium hydrides (Figure 40a) [25] using the Bloch-Grüneisen formula [63, 64] gives the following results:  $\theta_D = 303 \pm 5 \text{ K}$  (145 GPa),  $\theta_D = 278 \pm 5 \text{ K}$  (138 GPa),  $\theta_D = 260 \pm 5 \text{ K}$  (145 GPa), which is not typical for polyhydrides. Therefore, the electrical resistance drop at 6-8 K observed in our experiments most likely does not relate to praseodymium polyhydrides.

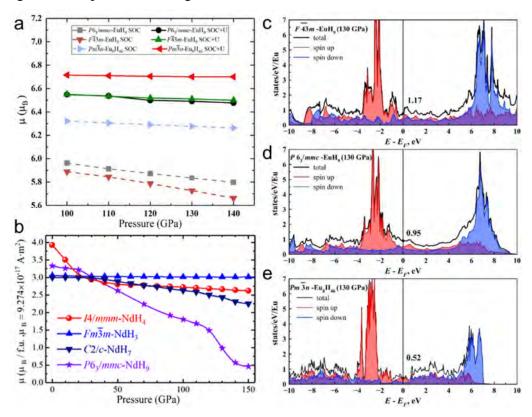
A similar analysis for NdH<sub>x</sub> sample (run #1, Figure 40, [24]) gives  $\theta_D = 760 \pm 10 \text{ K}$  (110 GPa), which corresponds to a typical Debye temperature for polyhydrides. For EuH<sub>x</sub> [217], such an analysis is not possible because of the nonmonotonic nature of the R(T) dependence. In a recent work on ternary La-Nd-H hydrides [188], we have encountered a low-temperature anomaly of the electrical resistance at 6-8 K, which may also be relevant to the manifestation of both magnetic ordering and superconductivity in electrodes or impurities.



**Figure 40.** Temperature dependence of the electrical resistance R(T) for hydrides of different lanthanides: (a) praseodymium hydrides at 125-145 GPa, (b) europium hydrides at 140 GPa [217], and (c) neodymium hydrides at 110 GPa (runs 1-4).

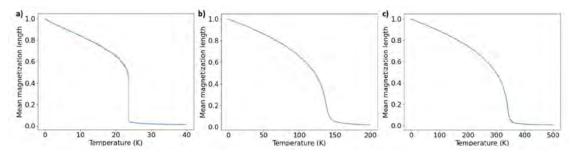
At normal pressure, europium shows antiferromagnetic ordering below  $T_{\rm N} = 90~{\rm K}$  [224-227], accompanied by the tetragonal distortion of the cubic (bcc) lattice [228]. Measurements of the electrical resistivity and the position of the kink on the R(T) curve indicate that when the pressure is increased to 15 GPa,  $T_{\rm N}$  decreases to 80 K. At higher pressures, new features appear on the R(T) around 140 K, persisting up to 42 GPa [229]. The X-ray magnetic circular dichroism (XMCD) measurements indicate that this feature corresponds to a ferromagnetic ordering and up to about 50 GPa europium remains magnetic [230].

The first step in our study of the magnetic properties was to establish the absolute value of the equilibrium magnetic moments on the metal atoms in polyhydrides as a function of pressure (Figure 41). The calculations show that the magnetic moment on the europium atoms is weakly dependent on the pressure and is about 6-7 µB (Bohr magneton). The comparison with the Nd-H system shows that for NdH<sub>9</sub>, this dependence is more significant and increasing the pressure and density of the Nd atoms suppresses the magnetization of this polyhydride (Figure 41b). This is also evident from the density of states plot for different electron spin directions. For europium hydrides, the positions of the electron state density maxima for the different spins are at 7-8 eV, implying the emergence of a spontaneous magnetic order in the material.



**Figure 41.** (a) Magnetic moments ( $\mu_B$  per 1 Eu atom) of all synthesized Eu-H compounds with and without U–J and SOC. (b) Same for the Nd-H phases with U-J and SOC. (c–e) Electron density of states (DOS) of different europium hydrides at 130 GPa, with contributions of different spin orientations to the total DOS.

After establishing the absolute value of the magnetic moments, we considered various options of their direction distribution in supercells of europium polyhydrides up to 8 Eu atoms (hydrogen excluded). Analyzing the enthalpy of antiferromagnetic and ferromagnetic structures, we found that cubic EuH<sub>9</sub> is antiferromagnetic at temperatures below 24 K, whereas hexagonal EuH<sub>9</sub> and cubic Eu<sub>8</sub>H<sub>46</sub> are ferromagnetic at temperatures below 137 and 336 K, respectively (Figure 42). Of course, the calculation was limited by the size of the supercell, and we did not consider the possibility of a complex (e.g., spiral [231]) magnetic ordering. All of the calculations performed are illustrative and estimative, because no experimental investigations of the magnetic order in polyhydrides at pressures of 100 GPa and higher are known at present time. Only recently the first experiments on the Mossbauer effect have been made for lower europium <sup>151</sup>EuH<sub>2+x</sub> hydrides at pressures up to 15 GPa [232]. Therefore, studies of the magnetic structure of polyhydrides, primarily europium and iron, are a matter of the future.

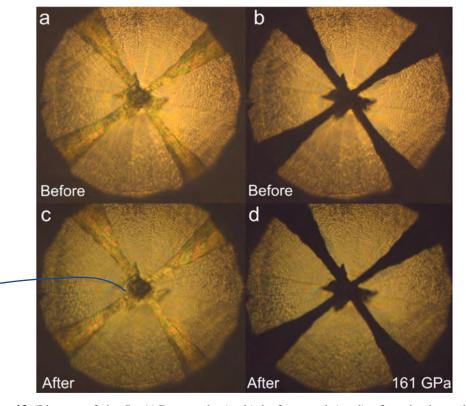


**Figure 42.** Normalized mean magnetization lengths with respect to temperature in (a)  $F4\overline{3}m$ -EuH<sub>9</sub>, (b) P63/mmc-EuH<sub>9</sub>, and (c)  $Pm\overline{3}n$ -Eu<sub>8</sub>H<sub>46</sub> from the Monte Carlo simulations. For antiferromagnetic  $F4\overline{3}m$ -EuH<sub>9</sub> the mean magnetization length of the spin-up channel is displayed.

In 2021, several theoretical papers have been published predicting high-temperature superconductivity in polyhydrides of heavy lanthanides, such as Yb and Lu. This refers primarily to Im-3m-LuH<sub>6</sub> and Im-3m-YbH<sub>6</sub> hexahydrides [233]. Because of the occupancy of the f-electron shell, the Lu atom should behave as an analog of Y, La, Ac, in other words, as a d<sup>1</sup>-element, and form symmetric clathrate nonmagnetic polyhydrides LuH<sub>6</sub>, LuH<sub>9</sub>, and LuH<sub>10</sub> [197], with the critical temperature close to 270 K. Indeed, Lu itself is a superconductor with  $T_C$  growing as the pressure increases (Figure 45). In a recent

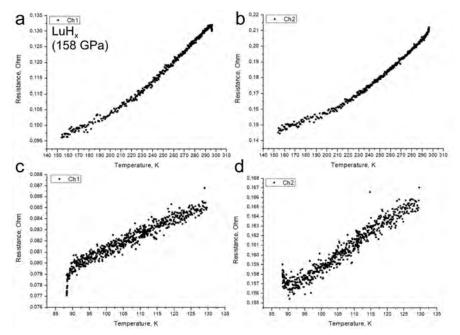
experimental work, our colleagues from Jilin University registered the superconducting transition in  $Fm\overline{3}m$ -LuH<sub>3</sub> at a pressure of 120 GPa and temperature  $T_C = 12.4$  K [234]. This, in principle, proves the possibility of superconductivity in other lutetium hydrides as well.

To test this hypothesis, we assembled a high-pressure diamond anvil cell loaded with Lu and ammonium borane at about 161 GPa (Figure 43). As a result of the first laser heating, the pressure decreased to 158 GPa. The examination of the temperature dependence of the electrical resistance (Figure 44) showed a smooth metallic R(T) from 310 to 155 K without any indication of a superconducting transition, R(310K)/R(155K) = 1.4, which is typical for polyhydrides. Interpolation using the Bloch-Grüneisen formula gives the Debye temperature  $\theta_D = 1278 \pm 5 \text{ K}$  (158 GPa), which is common for polyhydrides at megabar pressures. Thus, the electrical measurements are in favor of the successful synthesis of lutetium polyhydrides.



**Figure 43**. Photos of the Lu/AB sample (a, b) before and (c, d) after the laser heating at 161 GPa.

The obtained data shows that superconductivity in lutetium hydrides is not observed at temperatures above 90 K, which contradicts the published theoretical predictions [197, 233]. In fact, back in the article on praseodymium hydrides (Supporting Information to [25]), we noticed that the results of calculations of the superconducting properties for  $PrH_x$ , giving  $T_C$  from 50 to ~0 K, depend significantly on the chosen pseudopotential for Pr. We believe that the same situation will be observed for other lanthanides because of impossibility to accurately factor in correlation interactions of f-electrons. This factor fundamentally limits the predictive ability of DFT calculations of the electron-phonon interactions for polyhydrides of lanthanides, including Lu. Also, a simple way to test  $LuH_{10}$  for high-temperature superconductivity is to synthesize a lanthanumlutetium decahydride ( $La_1Lu$ ) $H_{10}$  with a small lutetium concentration of 5-15 atom %. If an appreciable suppression of superconductivity in  $LaH_{10}$  is found in this experiment, it would be a direct indication that  $T_C(LuH_{10})$  is significantly lower than in theoretical predictions.



**Figure 44.** Temperature dependence of the electrical resistivity of the LuH<sub>x</sub> sample synthesized at 158-161 GPa for 2 channels (ch1, ch2, cooling). In the interval from 155 to 130 K, there is a gap in the data because of an error of a recording device. A possible superconducting transition begins around 90 K.

## 4.3 Analogy of properties of polyhydrides and pure metals

In this section, we will describe an approach to the qualitative description of the properties of both magnetic and superconducting metal polyhydrides based on an analogy with the properties of hydride-forming metals. The physical density of matter itself is related to the unit cell volume and the metal-to-metal distance in polyhydrides. For most of the hydrogen-rich compounds studied, the contribution of hydrogen to the physical density is insignificant, but its presence in the unit cell significantly increases the volume and the metal-to-metal distance in the crystal structure. If we neglect the redistribution of the electron density and change in the valence state of metal atoms in hydrides, we can find certain parallels between the properties of pure metals at normal or low pressures and polyhydrides at megabar pressures. In particular, the hydrogen sublattice itself is nonmagnetic (see also [235]), which we verified by direct DFT calculations: even at a pressure of several TPa, structures with a magnetic moment on hydrogen atoms are much higher in energy than nonmagnetic structures. Therefore, when considering the magnetic properties of polyhydrides, we can neglect the "invisible" hydrogen sublattice, which only changes the distance between the metal atoms.

The density of praseodymium nonahydrides is  $8.06 \text{ g/cm}^3$  at 110 GPa, whereas the density of the metal under ambient conditions is  $6.77 \text{ g/cm}^3$ , and even at a small pressure of 5-10 GPa the distances d(Pr-Pr) in pure Pr become similar to those in PrH<sub>9</sub> at 110 GPa. Considering that praseodymium remains paramagnetic up to the lowest temperatures, and the known anomalies in its electrical properties are associated only with phase transitions [236, 237], we do not expect the manifestation of magnetic ordering in praseodymium polyhydrides at megabar pressures.

The density of neodymium nonahydride NdH<sub>9</sub> is 7.93 g/cm<sup>3</sup>, whereas that of Nd metal under ambient conditions is 7.0 g/cm<sup>3</sup>. Therefore, it is expected that the magnetic ordering of pure neodymium at low temperatures can also be observed in neodymium polyhydrides at high pressures. Indeed, as the results of the rather old experimental work [238] show, under a pressure of up to 1.6 GPa the magnetic properties of neodymium are enhanced, the Neel temperature *T*<sub>N</sub> and Curie temperature *T*<sub>C</sub> increase. Hexagonal Nd sites

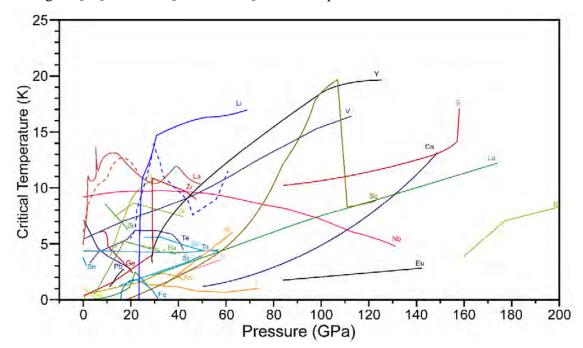
show antiferromagnetic ordering, whereas cubic Nd sites show ferromagnetic ordering, which also corresponds to our calculations for *P*63/*mmc*-NdH<sub>9</sub> at 110-130 GPa.

The density of europium is  $5.24 \text{ g/cm}^3$ , that of europium nonahydrides is  $8.65 \text{ g/cm}^3$  at 115 GPa. Increasing the pressure for metallic europium leads to an increase in the density, which reaches a value of  $\rho(\text{EuH}_9)$  at about 10 GPa [239]. At these values of pressure and density, metallic europium (II) exhibits pronounced antiferromagnetic properties with  $T_N \approx 85 \text{ K}$  [240]. Thus, for europium polyhydrides we can also expect the manifestation of pronounced magnetism in this range of physical densities.

Let us now consider superconducting polyhydrides. There is an idea that the maximum  $T_{\rm C}$  in metal polyhydrides is reached approximately in the same density range as for pure hydride-forming metals. This idea is based on the fact that because of the large difference in the atomic masses of hydrogen and metal atoms, the vibrations (optical and acoustic phonons) of their sublattices practically do not interact, occupying separate regions in the phonon spectrum. This means that the Eliashberg function of metal polyhydrides can be represented as the sum of the acoustic and optical components coming from metal and hydrogen:  $\alpha^2 F = \alpha^2 F_{\rm metal}$  ( $\omega < 10$  THz) +  $\alpha^2 F_{\rm H}$  (H,  $\omega > 10$  THz). Moreover, the metallic sublattice provides a very significant contribution to the electron-phonon interaction coefficient  $\lambda$ , whereas the contribution of the hydrogen sublattice is the high Debye temperature and the logarithmically averaged phonon frequency  $\omega_{\rm log}$ . Both contributions are critical to achieve high-temperature superconductivity.

It is currently known that in superhydrides at megabar pressures, the contribution of metal and hydrogen to the total density of electron states at the Fermi level (DOS) is comparable. It is believed that the greater the contribution to the DOS from the hydrogen sublattice, the more promising this polyhydride is as a superconductor. Importantly, the introduction of hydrogen into the metal sublattice in many cases does not lead to any dramatic changes in the electronic band structure: the density of polyhydrides at megabar pressures and metal-metal distance, as we have seen, are very close to the density and  $d_{\text{Me-Me}}$  in pure metals. This, of course, does not apply to the alkali and alkaline earth elements, where the introduction of hydrogen is accompanied by a loss of metallic

properties due to a very strong charge transfer. But for d¹-d² elements (Sc, Ti, Zr, Y, La, Hf, Th, U), the metal contribution to the DOS remains significant even after formation of a polyhydride. This allows us to hope for a correlation between the behavior of superconductivity in pure metals and superhydrides under pressure. An illustration of this idea is shown below (Figure 45). For example, lanthanum has one of the highest  $T_C$  at pressures up to 20 GPa. Li, Y, V, Sc, S, Ca, Lu, and Zr are also promising elements for superhydride synthesis. Indeed, it has been recently shown experimentally that H<sub>3</sub>S [5], LaH<sub>10</sub> [7], YH<sub>6</sub> [30, 31], CaH<sub>6</sub> [108, 143], and YH<sub>9</sub> [31] are high- $T_C$  superconductors; Li<sub>2</sub>MgH<sub>16</sub> [32] and ScH<sub>9</sub> [19, 241-243] have been predicted to be so.



**Figure 45.** Pressure dependence of the critical temperature of superconductivity for various metals [244, 245].

It is known that superconductivity in metallic thorium disappears with increasing pressure (see Chapter 2). Formally, maximum  $T_{\rm C}$  for pure Th is in the "negative pressure" region. Thorium density at 0 GPa is 11.78 g/cm<sup>3</sup>, at the same time ThH<sub>10</sub> at 125 GPa has a unit cell volume of 35 Å<sup>3</sup>/f.u. and a density of 11.52 g/cm<sup>3</sup>. As the pressure rises, the

density of ThH<sub>10</sub> will grow and, according to our DFT calculations,  $T_C$ (ThH<sub>10</sub>) will decrease along with  $T_C$  of pure metal.

The density of La at ambient conditions is 6.16–6.18 g/cm<sup>3</sup>, whereas that of LaH<sub>10</sub> at 150 GPa is 6.97 g/cm<sup>3</sup>, which corresponds to the position of the maximum  $T_{\rm C}$  in pure La at 5-10 GPa [246]. Indeed, the physical density of lanthanum increases rapidly during compression and reaches 7 g/cm<sup>3</sup> just in this pressure range.

The density of YH<sub>6</sub> is 6.91 g/cm<sup>3</sup> at 165 GPa, which is significantly higher than that of yttrium at normal pressure – 4.47 g/cm<sup>3</sup>. To achieve a similar density, yttrium must be compressed to about 65 GPa [247]. Indeed, as the pressure rises,  $T_{\rm C}({\rm Y})$  increases very rapidly and exceeds maximum  $T_{\rm C}({\rm La})$  at this pressure. As a rule, increasing the hydrogen content leads to decreasing the density. However, in the case of yttrium we need to increase the density. This leads to the need to use higher and higher pressures to stabilize higher yttrium polyhydrides and achieve high-temperature superconductivity in them. The maximum  $T_{\rm C}$  for the metal is observed at 100-120 GPa. At this pressure, the density of metallic yttrium is 10.6 g/cm<sup>3</sup> [247, 248]. This density is very difficult to achieve in Y hydrides. Thus, we believe that in the Y-H system greater  $T_{\rm C}$  can be achieved by increasing the pressure and physical density of the hydrogen-rich compounds.

# 4.4 Conclusions from the studies of lanthanide hydrides

- 1. At present, the magnetic structure of polyhydrides at megabar pressures has not been practically investigated in experiment. There are only several theoretical works, including those of the author, which lead to a conclusion that polyhydrides of most lanthanides are magnetic.
- 2. All lanthanide polyhydrides have similar structures at high pressures. Clathrate structures, such as  $F-43m-XH_9$ ,  $P6_3/mmc-XH_9$ ,  $Fm\overline{3}m-XH_{10}$ ,  $Im-3m-XH_6$ ,  $Pm-3n-X_8H_{46}$ ,  $Pm-3n-XH_5$ , and  $I4/mmm-XH_4$ , predominate.
- 3. Calculations of the physical properties of lanthanide polyhydrides are extremely difficult because of the need to take into account strong correlations of *f*-electrons. The simultaneous consideration of the spin-orbit interaction, magnetism, the Hubbard *U-J* correction (which is individual for each phase and should be calculated separately by the linear response method), ZPE, and the entropy factor leads to a large amount of computations and low accuracy in predicting the stable structures of polyhydrides and their equations of state.
- 4. A supposed crossover of magnetism and superconductivity can be realized in lanthanide polyhydrides at low temperatures, where unexpected sharp drops in the electrical resistance are often observed.
- 5. Suppression of conventional BCS superconductivity in lanthanide hydrides is not due to magnetic ordering, which does not appear in all lanthanides, but caused by the scattering of Cooper pairs on magnetic atoms with spin flipping of one of the electrons and the destruction of the pair.
- 6. Despite the difficulty of theoretical calculations, polyhydrides of heavier lanthanides represent an extremely tempting target for experimental research, because almost all of these metals form higher hydrides at moderate pressures of about 100-150 GPa.

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## Chapter 5. Lanthanum-yttrium ternary polyhydrides. Synthesis and properties.

#### 5.1 Studies of ternary polyhydrides

This chapter is based on the studies of the structure and superconductivity of the La-Y-H [118], La-Nd-H [188], and La-Ce-H [189, 198] ternary hydride systems.

Immediately after the discovery of outstanding superconducting properties of binary polyhydrides of sulfur, lanthanum, and yttrium, the desire to improve their superconducting properties by doping arose. The simplest approach was based on selecting such a dopant that would increase the density of electronic states in the vicinity of the Fermi level, which should proportionally increase the electron-phonon interaction strength ( $\lambda$ ) without changing any other properties. This idea owes its origin to the considerable experience gained with unconventional superconductivity in Fe-containing pnictides and cuprates, for which the degree and type of doping is one of the most important characteristics [249, 250].

A large number of additives have been proposed for LaH<sub>10</sub> [251], including Al and Si. Phosphorus has been suggested for doping of H<sub>3</sub>S [57, 252]. After the resonant publication by E. Snider et al. on room-temperature superconductivity in the C-S-H system [37], several attempts have been made to explain such high *T*<sub>C</sub> through the idea of doping H<sub>3</sub>S with carbon. It would have to lead to a shift of the van Hove singularity, present in the theoretically calculated H<sub>3</sub>S band structure, exactly to the Fermi level [253]. Subsequently, more accurate calculations have completely disproved the possibility of raising the critical temperature in H<sub>3</sub>S by carbon doping [254-258].

Experimental observations very soon have changed the concept of doping of known superhydrides. It has been found that in hydrides of the most interesting ternary system La-Y-H, no increase in the critical temperature was observed despite the presence of yttrium in the structure of the room-temperature superconductor YH<sub>10</sub>. Similarly, the introduction of aluminum and carbon in amounts of 3-5 atom % was found to have very little effect on the superconducting properties of LaH<sub>10</sub> [138]. However, the introduction of magnetic atoms, such as Ce and Nd, leads to significant suppression of superconductivity in ternary

undear? Is Ytho a room temperature superconduct topical?

hydrides [188, 189, 198]: in the case of Nd,  $T_{\rm C}(({\rm La,Nd}){\rm H}_{10})$  decreases by 10-11 K for each atomic percentage of Nd. This is fully consistent with Anderson's theorem [201] and Gorkov's theory of Cooper pair scattering on isotropic and anisotropic centers [69]. As early as 1959, it had been shown that doping cannot in principle increase the critical superconductivity temperature within the framework of the classical Bardin-Cooper-Schrieffer (BCS) theory, although impurities may significantly increase the upper critical magnetic field (see also Appendix, "Details of the upper critical magnetic field calculations"). Thus, the current experimental results confirm that polyhydrides are conventional BCS superconductors and their critical temperature cannot be increased by doping.

In parallel with the doping concept, researchers developed the theoretical approach to true ternary polyhydrides with an ordered arrangement of atoms of all types. In this case, the unit cell of compounds appears quite compact and accessible for ab initio calculations. Many such calculations have been made and led to encouraging results, for instance CaYH<sub>12</sub> [34], MgCaH<sub>12</sub> [35], Li<sub>2</sub>MgH<sub>16</sub> [32], and ScYH<sub>6</sub> [259]. Many of these ternary compounds have been predicted to have much higher critical temperatures than the corresponding binary hydrides. However, experiments made significant corrections. It has been found that the synthesis from alloys (A,B) of the corresponding metals always yields not true ternary hydrides but solid solutions with a disordered arrangement of A and B atoms in the metal hydride sublattice [118, 188, 189, 198]. In other words, we return to the case of doping of polyhydrides. Calculations of the migration enthalpy (~1-10 μeV/atom) of atom A to the position of atom B (Supporting Information to [188]) show that metal atoms can easily migrate along the metal sublattice even at room temperature. Thus, in most cases true ternary polyhydrides simply do not form, giving way to solid solutions of the (A,B)H<sub>x</sub> type, which are very difficult to calculate using ab initio methods because of the large size of the model supercells.

But there is still light at the end of the tunnel. The introduction of impurities can lead to several interesting effects in superhydrides. First of all, an impurity can destabilize one of the crystal modifications and favor the formation of another one. For example, it is

likely that in the ternary system (Ce,La)H<sub>9</sub>, the addition of cerium stabilizes the hexagonal modification of nonahydride LaH<sub>9</sub>, whereas for pure lanthanum, the main product under similar conditions will be cubic LaH<sub>10</sub>. Second, the large difference in the properties and atomic radii of the metals A and B makes the formation of the A-B solid solutions impossible, and instead true ternary polyhydrides are formed. We observed this in the Ca-Y-H system (Figures 54-56) at 177-119 GPa. Another approach is to use lower hydrides or intermetallides with ordered A and B sublattices as starting compounds. Then, if the synthesis proceeds without too much heating, hydrogen will fill the voids in the metal sublattices without mixing them, and we will come to true ternary polyhydrides. Impurities increase the resistance of hydrides in the normal state, widening the superconducting transition to several tens of degrees, but also increase the upper critical magnetic field.

According to the Ginzburg-Landau-Abrikosov-Gorkov (GLAG) theory [203], the upper critical magnetic field for dirty superconductors is related to the normal resistance:

$$-\left.\frac{\mathrm{d}H_{C2}}{\mathrm{d}T}\right|_{T_C} = 3565.1 \cdot \gamma \cdot \rho(T_C),\tag{2}$$

where  $dH_{c2}/dT$  is in T/K, the Sommerfeld parameter  $\gamma$  is in J/m<sup>3</sup>K<sup>2</sup>, the resistivity at the transition point  $\rho(T_c)$  is in  $\Omega \times m$  (see also [260]). Using the definition

$$\gamma = 1/3 \ \pi^2 k_B^2 (1 + \lambda) \times (N_F/V_{cell}),$$
 (3)

where  $N_F$  – is the total density of electronic states per unit cell (mostly, per metal atom) in states/eV/f.u., we can write

$$-\left.\frac{\mathrm{d}H_{C2}}{\mathrm{d}T}\right|_{T_C} = \frac{1.4 \cdot 10^7 \cdot (1+\lambda) \cdot \rho(T_C) \cdot N_F}{V_{cell}},\tag{4}$$

where  $V_{\text{cell}}$  – is the unit cell volume in Å<sup>3</sup>. Then, within the WHH model, we obtain the upper critical field in Tesla

$$H_{C2}^{WHH}(0) = T_C \frac{0.97 \cdot 10^7 \cdot (1+\lambda) \cdot \rho(T_C) \cdot N_F}{V_{cell}},$$
 (5)

or, expressing in terms of sample resistance,

$$H_{C2}^{WHH}(0) = T_C \frac{0.97 \cdot 10^7 \cdot (1+\lambda) \cdot R(T_C) \cdot h \cdot N_F}{V_{cell}}.$$
 (6)

In this case, the thickness of the sample (h) is most correctly calculated through the resistance drop at a certain temperature interval  $h = [R(300) - R(T_C)] / [\rho_{EPW}(300) - R(T_C)]$ 

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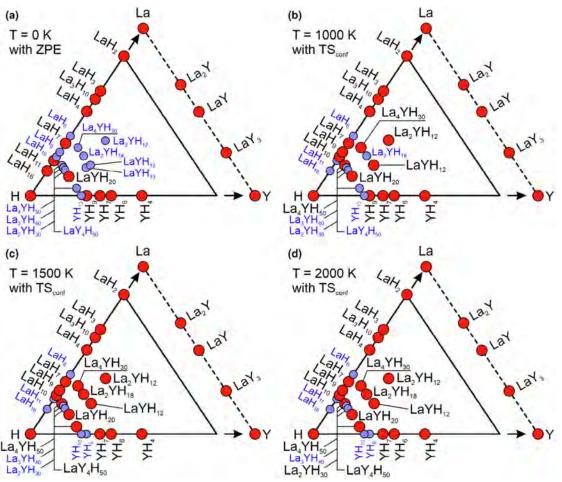
from calculation

 $\rho_{\rm EPW}(T_{\rm C})$ ], because EPW only considers phonons as a scattering factor. Thus, by introducing more impurities into a sample and increasing its normal resistivity we can significantly increase the upper critical field of a polyhydride (see also Appendix, "Details of the upper critical magnetic field calculations"). From these formulas it also becomes clear why YH<sub>6</sub> has such a high  $\mu_0H_{\rm C2}$ : One factor in this GLAG-type model is the unit cell volume, which is significantly lower for YH<sub>6</sub> than for YH<sub>9</sub> or LaH<sub>10</sub>, at a comparable critical temperature.

In conclusion, a few words about the difficulty of preparing precursors for the synthesis of ternary polyhydrides. For metals with similar properties (Sc-Y, La-Y, La-Ce, etc.), the most convenient precursors are the corresponding alloys. However, the hydrides synthesized from them usually belong to the class of solid solutions. The preparation of alloys becomes extremely difficult in the case of large differences in the melting temperatures and volatility for the two metals to be alloyed. Thus, the preparation of promising alloys of La and Y with magnesium, calcium, and strontium is hampered by the extremely high volatility of these metals at high temperatures, and their high chemical reactivity. For example, for the synthesis of ternary Mg-Y and Ca-Y polyhydrides, it is much more convenient to use mechanochemical process of grinding MgH<sub>2</sub>, CaH<sub>2</sub>, and YH<sub>3</sub> in a hydrogen atmosphere, followed by loading the resulting product in a high-pressure diamond anvil cell.

### 5.2 Synthesis of lanthanum- yttrium polyhydrides

The theoretical analysis of the La-Y-H system was performed using the USPEX code [9-12] at a pressure of 200 GPa and temperatures from 0 to 2000 K (Figure 46). Despite the fact that we considered only ordered ternary hydrides, thermodynamic calculations give a good reference point for the region of stability of  $(La,Y)H_x$  solid solutions.

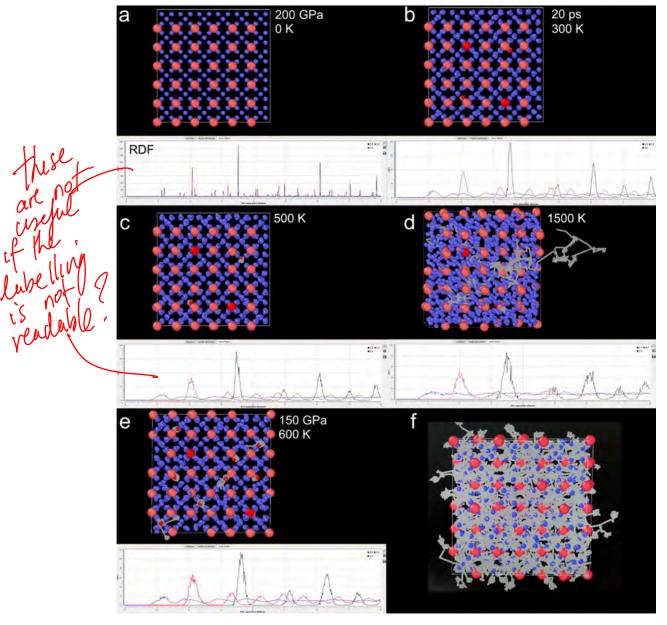


**Figure 46.** Ternary convex hulls of the La–Y–H system at a pressure of 200 GPa and temperatures of (a) 0 K, (b) 1000 K, (c) 1500 K, and (d) 2000 K, calculated with the contribution of the configurational entropy TS<sub>conf</sub> and the zero-point energy (ZPE) under the assumption of the crystalline state of all phases. The invisible Z axis, perpendicular to the plane of the figure, corresponds to the enthalpy of formation. Stable and metastable phases are shown in red and blue, respectively.

Calculations show that at a low temperature (0 K), there is only one stable ternary polyhydride: the high- $T_{\rm C}$  superconductor R-3m-LaYH<sub>20</sub> [118]. At higher temperatures (1000 K), the set of stable ternary polyhydrides expands significantly: LaYH<sub>12</sub> and La<sub>4</sub>YH<sub>30</sub> hexahydrides, La<sub>2</sub>YH<sub>12</sub> tetrahydride, new La<sub>4</sub>YH<sub>50</sub> and Y<sub>4</sub>LaH<sub>50</sub> decahydrides form. The appearance of more and more of ternary hydrides indicates that laser heating promotes the formation of ternary compounds with ordered and disordered (solid solutions)

structures. It should be noted that all calculations were made under the assumption of the crystalline state of all phases. However, melting of the hydrogen sublattice at 200 GPa is a very likely scenario at temperatures around 1000-1500 K [261, 262]. In ternary and quaternary hydrides with a large number of defects and impurities, the melting of the hydrogen sublattice can occur even earlier. In such a case, the thermodynamic calculations performed above become incorrect because the hydrogen atoms no longer have definite positions and the enthalpy cannot be calculated in the static approximation.

Here we would like to make a small digression and comment on the recent work of A.D. Grockowiak et al. [208], where the authors claim to have found superconductivity at 550 K in doped LaH<sub>10</sub>. Molecular dynamics simulations with machine-learning potentials for La and H atoms at 150 and 200 GPa (NVT, 20-40 ps) show that complete melting of the lanthanum sublattice requires very high temperatures above 2000-2500 K (Figure 47), whereas the hydrogen sublattice melts completely already at 1500 K. However, even earlier, around 1000 K, the hydrogen atoms begin to migrate to neighboring positions and their diffusion capacity increases significantly. Impurities (B, N, C) in the LaH<sub>10</sub> structure and disorder will lower the melting temperature even further. At 150 GPa, the melting of the hydrogen sublattice occurs already at 800 K. It is known that molecular modeling overestimates the melting temperature because of the limitation of modeling time by tens and hundreds of picoseconds [263].

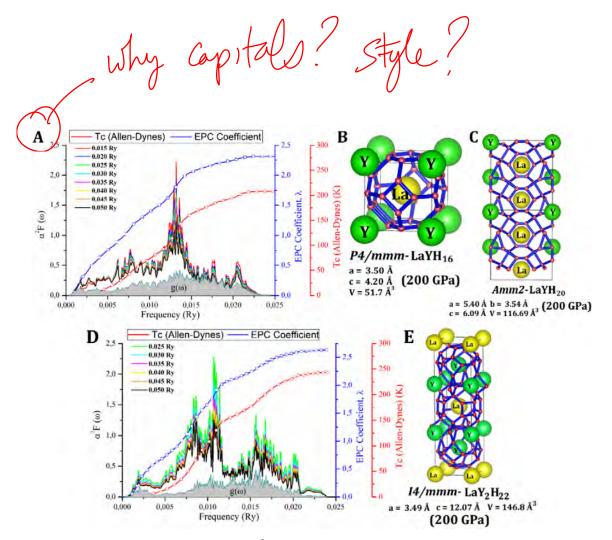


**Figure 47.** Molecular modeling of hydrogen behavior in the structure of LaH<sub>10</sub> at (a-d) 200 and (e-f) 150 GPa and temperatures (a-d) from 300 to 1500 K and (e-f) 600 K. Simulations were performed using machine-learning potentials (MTP) of the interatomic interaction for La and H for 20 ps in the group of Dr. I. Kruglov (VNIIA, Moscow). The figure shows the averaged structures, hydrogen trajectories, and radial distribution functions (RDF) for the La-La, La-H, and H-H bonds.

Melting or amorphization (formation of hydrogen glass) of the hydrogen sublattice will lead to a sharp increase in the electrical resistance of the samples, which will look similar to a superconducting transition [264]. Thus, we believe that the abovementioned study of the LaH<sub>10</sub> sample [208] represents the first detection of the hydrogen sublattice melting in superhydrides, which should be considered when doing thermodynamic calculations.

We also carried out calculations of the stable phases of the La-Y-H system with fixed compositions (fixcomp mode, Figure 48). We decided to search for stable phases of the LaYH<sub>16</sub> and LaYH<sub>20</sub> compositions, which are the formal combinations of well-known high- $T_C$  binary compounds LaH<sub>10</sub> + YH<sub>6</sub> and LaH<sub>10</sub> + YH<sub>10</sub>, respectively. Stable crystal structures were searched at a pressure of 200 GPa as it was predicted by our neural network. Even such a simple approach yielded two thermodynamically stable compounds with the ratio La:Y = 1:1, namely P4/mmm-LaYH<sub>16</sub> and Amm2-LaYH<sub>20</sub>. LaYH<sub>16</sub> structure has a tetragonal unit cell, where each La and Y atom is surrounded by a cage of 32 hydrogen atoms (Figure 48B). LaYH<sub>20</sub> has an orthorhombic unit cell consisting of one La and one Y atoms with nine symmetrically inequivalent hydrogen atoms (Figure 48C). The calculations of the electron-phonon coupling in P4/mmm-LaYH<sub>16</sub> at 200 GPa indicate that this ternary hydride is a potential high- $T_C$  superconductor (Figure 48A) with  $\lambda$  = 2.31 and  $T_C$  = 208 K ( $\mu$ \* = 0.1).

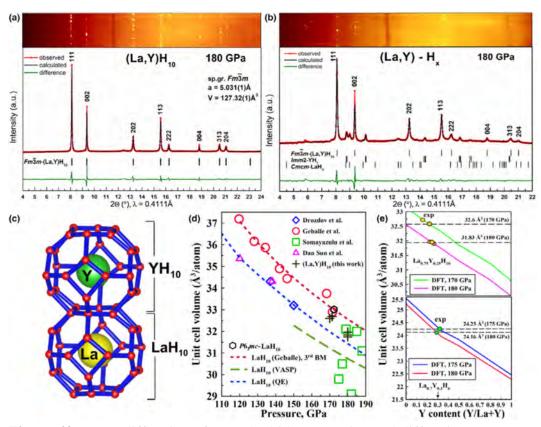
Another stable compound was predicted to have the LaY<sub>2</sub>H<sub>22</sub> composition. Investigation of the ratio La:Y = 1:2 revealed the possibility of existence of tetragonal I4/mmm-LaY<sub>2</sub>H<sub>22</sub> at 200 GPa. This structure has each La atom surrounded by an H<sub>32</sub> cage, and each Y atom — by an H<sub>24</sub> cage (Figure 48E). The compound has very high parameters of electron-phonon coupling (Figure 48D), with  $\lambda = 2.6$  and  $T_C = 243$  K ( $\mu^* = 0.1$ ).



**Figure 48.** A) Eliashberg function  $\alpha^2 F$  of P4/mmm-LaYH<sub>16</sub> for different smearing values ( $\sigma = 0.015$ -0.05 Ry) calculated using Quantum ESPRESSO at 200 GPa. The phonon density of states is shown in gray; B) crystal structure and unit cell parameters of P4/mmm-LaYH<sub>16</sub> at 200 GPa; C) crystal structure of  $1\times1\times2$  supercell of Amm2-LaYH<sub>20</sub> superhydride at 200 GPa; D) Eliashberg function  $\alpha^2 F$  of I4/mmm-LaY<sub>2</sub>H<sub>22</sub> for different smearing values ( $\sigma = 0.025$ -0.05 Ry); E) crystal structure and unit cell parameters of I4/mmm-LaY<sub>2</sub>H<sub>22</sub> at 200 GPa. The atoms of lanthanum, yttrium and hydrogen are shown in yellow, green, and red, respectively.

Experimental synthesis of lanthanum-yttrium hydrides was performed using La-Y alloys prepared by different methods (alloying in a crucible and long annealing at 1000 °C, as well as synthesis by arc melting), with ammonium borane used as a hydrogen source. It has been shown that La-Y alloys at ambient pressure have hexagonal *dhcp* and *hcp* structures whose unit cell volume increases along with the lanthanum content [118]. Despite the hexagonal structure of the initial alloy, the main product of the reaction with hydrogen under pressure are cubic hydrides (La,Y)H<sub>10</sub> and (La,Y)H<sub>6</sub> (Figures 49, 50). No

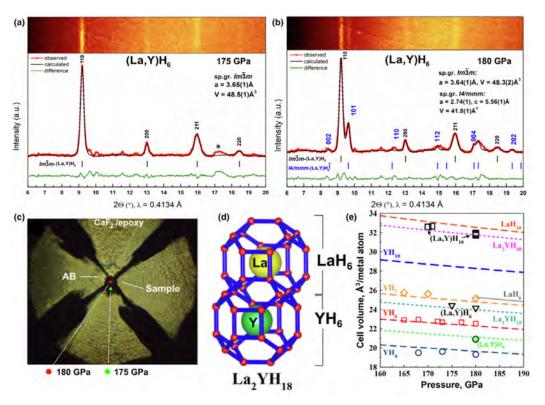
superstructural diffraction peaks were detected. Therefore, the obtained hydrides should have a metal sublattice of the La-Y solid solution type, existing in a wide concentration range of both components (from 20 to 75 atom % of yttrium).



**Figure 49.** X-ray diffraction of La-Y hydrides. Experimental diffraction patterns and Le Bail refinements of the crystal unit cell parameters of (a)  $Fm\overline{3}m$ -(La,Y)H<sub>10</sub> (DAC SL1) and (b) Imm2-YH<sub>7</sub> and Cmcm-LaH<sub>3</sub> (DAC M1). The experimental data, fit, and residues are shown in red, black, and green, respectively. (c) Fragment of crystal structure of (La,Y)H<sub>10</sub> where Y and La are neighbors (for illustrative purposes). (d) Pressure—unit cell volume diagram for fcc LaH10: circles, squares, rhombuses, triangles, and crosses show the experimental data, lines depict the theoretical calculations. (e) Estimates of the Y content in (La,Y)H<sub>10</sub> and (La,Y)H<sub>6</sub> obtained using the experimental unit cell volumes.

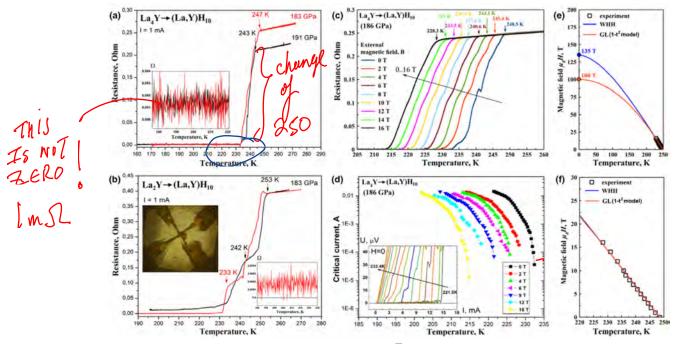
In a series of other experiments with the  $L_{0.66}Y_{0.33}$  alloy, another cubic hexahydride (La,Y)H<sub>6</sub> (Figure 50), whose structure is similar to YH<sub>6</sub>, was obtained [30]. In addition to (La,Y)H<sub>6</sub>, a lower tetrahydride (La,Y)H<sub>4</sub> was found in another region of the sample in the

same run, indicating a probable lack of hydrogen in this DAC. Because the Y atom occupies a smaller volume in the space than La, the cell volume of the (La,Y)H<sub>x</sub> hydrides is between LaH<sub>x</sub> and YH<sub>x</sub> for all synthesized phases (Figure 50e). The volume of the (La,Y)H<sub>6</sub> phase is very close to that of *Im*-3*m*-LaH<sub>6</sub>, which cannot be obtained by direct synthesis from lanthanum and hydrogen, but a small addition of yttrium helps to stabilize this compound.



**Figure 50.** X-ray diffraction study of the La–Y hydrides in DAC M2\_S. (a, b) Experimental diffraction patterns and the Le Bail refinements of the cell parameters of *Im-3m-*(La,Y)H<sub>6</sub> and tetragonal *I4/mmm-*(La,Y)H<sub>4</sub> at 175 and 180 GPa. The experimental data, fit, and residues are shown in red, black, and green, respectively. (c) Optical microscopy of the loaded DAC: sample, NH<sub>3</sub>BH<sub>3</sub> medium, and four Ta/Au electrodes. (d) Fragment of the crystal structure of (La,Y)H<sub>6</sub> where Y and La are neighbors (for illustrative purposes). (e) Pressure–unit cell volume diagram of the studied La–Y–H phases. In the original paper [118], there is a mistake in the designation of the reflective planes of *Im-3m-*(La,Y)H<sub>6</sub> (panels a, b) pointed out by Prof. A. Abakumov (Skoltech). The mistake has been corrected in this thesis.

All synthesized (La,Y)H<sub>x</sub> phases show superconducting properties only slightly better or worse than LaH<sub>10</sub>. One exception is (La,Y)H<sub>6</sub>, whose critical temperature is  $237 \pm 5$  K, noticeably higher than  $T_C(YH_6)$ . This is due to the fact that the lanthanum atoms in (La,Y)H<sub>6</sub> occupy an inherently unstable *Im-3m* structure in its pure form. A distinctive feature of superconductivity in ternary polyhydrides is a very broad superconducting transition  $\Delta T_C = 15\text{-}20$  K, which limits the use of these compounds in electronic devices because of the insufficient steepness of the current-voltage characteristic. This disadvantage is common to all ternary polyhydrides because of the increased concentration of defects and fluctuations in the concentration of the constituent elements. In other respects, La-Y hydrides exhibit practically the same properties as binary polyhydrides.



**Figure 51.** Superconducting transitions in  $Fm\overline{3}m$ -(La,Y)H<sub>10</sub>: (a) Temperature dependence of the electrical resistance for the sample obtained from La<sub>4</sub>Y. Inset: residual resistance after cooling below  $T_{\rm C}$ . (b) Temperature dependence of the resistance for the sample obtained from La<sub>2</sub>Y. Insets: residual resistance after cooling below  $T_{\rm C}$  and a photo of the DAC culet with electrodes. (c) Dependence of the electrical resistance of (La,Y)H<sub>10</sub> on the external magnetic field (0–16 T) at 186 GPa and 0.1 mA current. The critical temperatures were determined at the onset of the resistance drop. (d) Dependence of the critical current on the temperature and external magnetic field (0–16 T). The critical currents were measured near  $T_{\rm C}$ . Inset: current–voltage characteristic near a superconducting transition.

(e) Extrapolation of the upper critical magnetic field using the Werthamer–Helfand–Hohenberg theory [202] (WHH) and Ginzburg–Landau theory (GL) [203]. (f) Dependence of the critical temperature  $T_{\rm C}$  on the applied magnetic field.

We will analyze the superconducting properties of  $(La,Y)H_{10}$  using the previously described algorithm with some simple additions. This algorithm will be used later to collect statistical data and to compare different hydrides with each other.

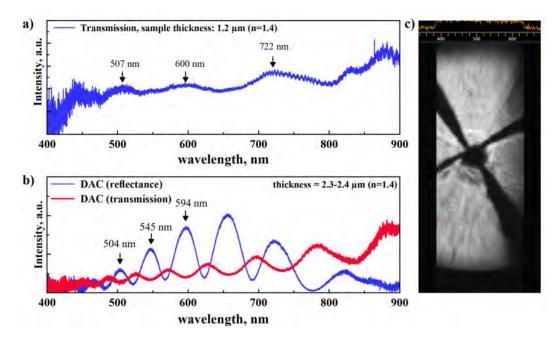
**1e.** Analysis of  $R(300)/R(T_C)$ 

(**La,Y)H**<sub>10</sub>:  $R(300)/R(T_C) \sim 1.05-1.08$ , typical for polyhydrides, the resistance of the sample is almost entirely due to structural defects and impurities.

**2e.** Analysis of R(T) in terms of the Bloch-Gruneisen formula [63, 64]. (**La,Y)H**<sub>10</sub>:  $\theta_D = 1022 \pm 5$  K for La<sub>0.8</sub>Y<sub>0.2</sub>H<sub>10</sub>, 182-185 GPa.

**3e.** Estimation of the sample thickness and resistivity with calculations in EPW [131-134].

(La,Y)H<sub>10</sub>: calculations in EPW were not carried out, however, the thickness of the sample,  $h \sim 1.2$ -2.4  $\mu m$ , was investigated using optical methods (Figure 52, interference of light between diamond anvils). Given this sample thickness, we can make an estimate for the resistivity before and after the superconducting transition:  $\rho_n = 48$ -96  $\mu\Omega$ ·cm and  $\rho_{SC} = 7.2$ -21.6  $\times 10^{-4}$   $\mu\Omega$ ·cm (averaged). In other words, the resistivity at the superconducting transition changes by a factor of about 70,000.



**Figure 52.** Light interference patterns for (La,Y) samples loaded in an electrical DAC: after (a) and before (b) compression. Taking into account the approximate refractive index of ammonia borane (n  $\approx$  1.4) the measurements of an anvil-anvil distance show that the thickness of the (La,Y) samples does not exceed 1–1.5  $\mu$ m. Similar values were obtained using the scanning electron microscopy of (La,Y) samples before loading DACs.

**4e.** Estimation of the electron-phonon interaction constant ( $\lambda$ ) by using the well-known Allen-Dynes formula (A-D) [56].

(La,Y)H<sub>10</sub>:  $\lambda = 3.63$  ( $\mu$ \*=0.1),  $\omega_{log} = 845$  K, close enough to the calculated values. But the temperature range from 280 to 250 K for extraction of the Debye temperature is clearly insufficient. In the future, in high-temperature superconducting hydrides we need to perform the resistance measurements at up to 350-400 K.

**5e.** Analysis of the residual resistance, hysteresis loop in cooling and heating cycles, and superconducting transition widths.

(La,Y)H<sub>10</sub>: superconducting transitions are broadened to  $\Delta T_{\rm C} = 15\text{-}20$  K, which is typical for ternary polyhydrides [188, 189, 198]. The average residual resistivity depends on the sample and is about 6-9  $\mu\Omega$  with an initial resistivity of about 0.2-0.4  $\Omega$  (La<sub>0.8</sub>Y<sub>0.2</sub>H<sub>10</sub>).

**6e.** Broadening of superconducting transitions in magnetic fields.

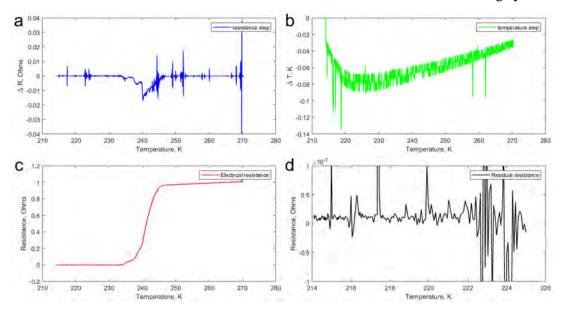
(**La,Y)H**<sub>10</sub>: there is no broadening in the fields up to 16 T (Figure 51c). It is necessary to perform the pulse measurements in strong magnetic fields. Estimation of  $\mu_0H_{-c2}(0)$  using the linear interpolation shows that superconductivity in (La,Y)H<sub>10</sub> will persist up to 180 T.

**7e.** Investigation of R(T) in a wide temperature range to search for low-temperature anomalies, possibly associated with the manifestation of magnetic ordering, and high-temperature anomalies associated with the melting of the hydrogen sublattice.

 $(La,Y)H_{10}$ : future plans.

**8e**. Investigation of the resistance and cooling steps to identify anomalies in the measuring system.

(La,Y)H<sub>10</sub>: there are sharp spikes in the resistance ( $\Delta R$ ) and temperature ( $\Delta T$ ) steps (Figure 53), but they are not associated with the region of the superconducting transition. No other anomalies were found in the electrical circuit or measuring system.



**Figure 53.** Searching for anomalies in the  $(La,Y)H_{10}$  sample. (a) Resistance step vs. temperature; (b) temperature step in the cooling cycle vs. temperature; (c) temperature dependence of the sample resistance, and (d) residual resistance in the superconducting state for  $La_{0.8}Y_{0.2}H_{10}$  at 182-185 GPa.

**1t**. Analysis of anisotropy  $T_{\text{C}}^{\text{aniso}} - T_{\text{C}}^{\text{iso}}$  via solving the anisotropic Eliashberg equations in the EPW program.

(La,Y) $H_{10}$ : future plans, the contribution of anisotropy is assumed to be similar to La $H_{10}$  [65].

**2t**. Analysis of the anharmonic contribution  $\lambda_{anh}$ ,  $\lambda_{harm}$ ,  $T_C^{harm} - T_C^{anharm}$  performed using SSCHA or molecular dynamics.

(La,Y)H<sub>10</sub>: the contribution of anharmonic effects was analyzed using the molecular dynamics. Machine-learning potentials of interatomic interactions were created by dynamic modeling of the LaYH<sub>20</sub> structure at 180 GPa and 300 K. In the original paper, vibrations of the metal sublattice were also included in the calculation of the Eliashberg function, which leads to a very large increase in the electron-phonon interaction coefficient. I believe that it is more correct to exclude the low-frequency region from consideration in the case of dynamically unstable compounds (see Table 9).

**Table 9.** Comparison of results of harmonic and anharmonic approaches to the superconducting properties of R-3m-LaYH $_{20}$  at 180 GPa ( $\mu$ \* = 0.1). The low-frequency region was excluded from the calculations.

Parameter	λ	$\omega_{\log}$ , K	$\omega_2$ , K	$T_{\rm C}({\rm A-D})$ , K	$T_{\rm C}({\rm E})$ , K
harmonic	3.87	868	1208	266	300
anharmonic	3.76	804	1211	247	292

Table 9 shows that the anharmonic effects reduce the  $T_{\rm C}(E)$  by 8 K and  $T_{\rm C}(A-D)$  by 19 K. It is expected that the anharmonic corrections in the case of LaYH<sub>20</sub> will be of the same order of magnitude as for LaH<sub>10</sub> [112].

**3t.** SCDFT analysis, which allows us to isolate the contribution of the empirical Coulomb pseudopotential  $\mu^*$  and obtain the critical temperature  $T_C(SCDFT)$ .

(**La,Y**)**H**<sub>10</sub>: detailed calculations were performed using the old LM2005 SCDFT functional for the most interesting ordered polyhydrides: the new phase *Im*-3*m*-LaH<sub>6</sub>, *Pm*-

3m-LaYH<sub>12</sub> and R-3m-LaYH<sub>20</sub>. As a result, it was found that LM2005 gives a severely

underestimated  $T_{\rm C}({\rm SCDFT}) \sim 176 - 191$  K for hexahydrides LaH<sub>6</sub>, LaYH<sub>12</sub> and YH<sub>6</sub> [30].

Nevertheless, the introduction of La into hexahydrides increases T<sub>C</sub> by 16-32 K compared

to YH<sub>6</sub>. For La-Y decahydride the LM2005 gives (see also [112]) better result T<sub>C</sub>(SCDFT)

= 252 K, very close to experiment. For this reason, the Coulomb pseudopotential for this

compound can be taken from the generally accepted range  $\mu^* = 0.1\text{-}0.15$ .

4t. Analysis within the Migdal-Eliashberg theory and the Allen-Dynes formula in

the isotropic harmonic approximation, allowing one to obtain the Eliashberg function,

electron-phonon interaction coefficients, logarithmically averaged phonon frequency, etc.

(La,Y)H<sub>10</sub>: ab initio calculations of the electron-phonon interaction parameter for

La-Y decayydrides and hexahydrides give  $\lambda(\text{LaYH}_{20})$  up to 3.87, whereas  $\lambda = 2.68-2.82$ 

for (La,Y)H<sub>6</sub>. The logarithmically averaged phonon frequencies are in the range of 850-

1050 K. The solution of the isotropic Eliashberg equations for decahydrides gives a

somewhat overestimated result (as for LaH<sub>10</sub>):  $T_c(E) = 281-300 \text{ K}$  ( $\mu^* = 0.1-0.15$ ), whereas

the Allen-Dynes formula fits the experimental data better  $T_{\rm C}({\rm A-D}) = 232-266~{\rm K.~A~similar}$ 

situation is observed for hexahydrides La-Y:  $T_{\rm C}(E) = 223-241 \, {\rm K}$  is close to the

experimental data.

**5t**. EPW calculations of electrical resistivity in normal state.

(La,Y)H<sub>10</sub>: future plans

This pioneering work on the synthesis of La-Y superhydrides outlined the scheme

for their future research. We showed the need for a detailed study of the structure and

composition of the initial La-Y alloys for the subsequent preparation of polyhydrides. It is

very important that the alloy sample is homogeneous, because only a microscopic amount

of the prepared substance is loaded into a diamond anvil cell. Before loading the metal

particle, we also performed its preliminary compression on diamond anvils to obtain the

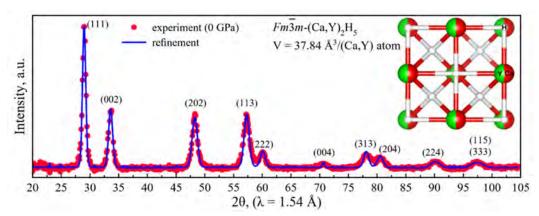
desired thickness (~1 μm).

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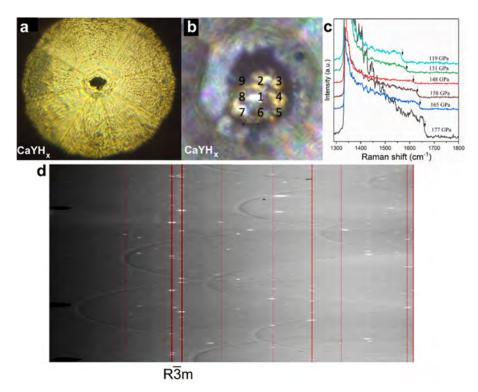
### 5.3 Is it possible to synthesize ordered ternary hydrides?

This natural question arises at the moment for all researchers dealing with hydride superconductivity, and the answer should be positive. Such a situation is observed if the properties of two metal atoms (electronegativity and atomic radius) significantly differ from each other. Recently we found an example of this, investigating the formation of calcium-yttrium polyhydrides using the lowest cubic hydride (Ca,Y)<sub>2</sub>H<sub>5</sub> as a starting material. (Ca,Y)<sub>2</sub>H<sub>5</sub> or (Ca,Y)H<sub>2.5</sub> was synthesized using the mechanochemical process of grinding a 1:1 mixture of CaH<sub>2</sub> and YH<sub>3</sub> powders at normal pressure in a hydrogen atmosphere in a ball mill. A powder X-ray diffraction pattern of the synthesis product is shown in Figure 54. In this compound, the metal sublattice appears to be a solid solution of Ca and Y.

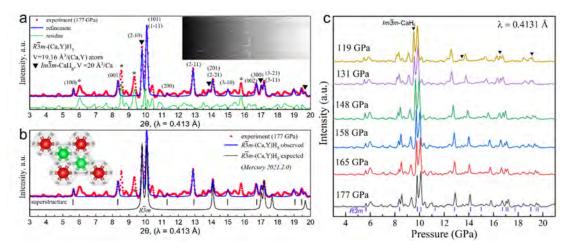
The prepared precursor (Ca,Y)<sub>2</sub>H<sub>5</sub> was loaded into a high-pressure diamond cell along with ammonium borane, compressed to 177 GPa, and heated by IR laser. The product is a mixture of several coarse-grained phases (Figure 55). All phases were found to be stable in the investigated pressure range of 119-177 GPa. The analysis of the diffraction patterns shows that the main product of the reaction of cubic (Ca,Y)<sub>2</sub>H<sub>5</sub> with hydrogen is a new polyhydride  $R\bar{3}m$ -(Ca,Y)H<sub>5</sub> (V = 19.16 Å<sup>3</sup>/metal atom at 177 GPa), whereas the impurity phases are  $Im\bar{3}m$ -CaH<sub>6</sub> (additional reflection intensity at (2 $\bar{1}0$ ) in Figure 56a, V = 20.02 Å<sup>3</sup>/Ca at 177 GPa) and Cc-YH<sub>7</sub> (diffraction peaks at 8-10°, Figure 56a, Table 10), which we have previously discovered in the study of the Y-H system [30]. The experimental parameters of the unit cell are given in Tables 10-11. The cell volume of the impurity, cubic CaH<sub>6</sub>, is in excellent agreement with the previously published data [108] (Figure 57).



**Figure 54**. Experimental X-ray diffraction patterns of cubic  $(Ca,Y)_2H_5$  precursor at 0 GPa. The experimental data and fitted line are shown in red and blue, respectively. Inset: proposed candidate structure  $Fm\bar{3}m$ - $(Ca,Y)_2H_5$ .



**Figure 55.** Optical photography of diamond anvils of (a) DAC MgY at 175 GPa and (b) DAC CaY at 177 GPa. Numbers indicate the area of the X-ray diffraction analysis of the CaYH<sub>x</sub> sample. (c) Raman spectra of the DAC CaY recorded during decompression. Arrows indicate the frequency points that were used to calculate the pressure. (d) Typical diffraction pattern ("cake") of a CaYH<sub>x</sub> sample at 177 GPa. Red lines correspond to the XRD pattern of CaYH<sub>10</sub>.



**Figure 56.** XRD patterns of sample CaYH<sub>x</sub> obtained from DAC CaY at 177 GPa (a, b) and in 119-177 GPa pressure range (c) during decompression of the cell. The experimental data and fitted line are shown in red and blue, respectively. In panel (a), the refinement was carried out only for the phases CaH<sub>6</sub> and (Ca,Y)H<sub>5</sub>. In panel (b) an example of the structure of CaYH<sub>10</sub> is shown, which could explain the appearance of superstructure reflections in addition to the expected reflections of the  $R\bar{3}m$  phase.

**Table 10.** Experimental cell parameters of  $Im\bar{3}m$ -CaH<sub>6</sub>(Z=2) and  $R\bar{3}m$ -(Ca,Y)H<sub>5</sub>(Z=3).

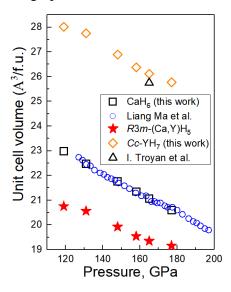
a, Å	V, Å <sup>3</sup>	o Å	- 8	T7 13
	. ,	a, A	<b>c</b> , <b>A</b>	$V, A^3$
3.421	40.04	4.841	2.832	57.48
3.433	40.46	4.857	2.841	58.05
3.443	40.81	4.873	2.851	58.63
3.467	41.67	4.905	2.869	59.78
3.505*	43.06	4.957	2.900	61.69
3.515	43.80	4.972	2.909	62.27
(c = 3.545)*				
	3.421 3.433 3.443 3.467 3.505* 3.515	3.421 40.04 3.433 40.46 3.443 40.81 3.467 41.67 3.505* 43.06 3.515 43.80	3.421       40.04       4.841         3.433       40.46       4.857         3.443       40.81       4.873         3.467       41.67       4.905         3.505*       43.06       4.957         3.515       43.80       4.972	3.421       40.04       4.841       2.832         3.433       40.46       4.857       2.841         3.443       40.81       4.873       2.851         3.467       41.67       4.905       2.869         3.505*       43.06       4.957       2.900         3.515       43.80       4.972       2.909

<sup>\*</sup> Tetragonal distortion

The main reaction product needs to be discussed. The hydrogen content in it is below the level of hexahydrides (CaH<sub>6</sub> and YH<sub>6</sub>), and in the first approximation can be described as ~5 H atoms per metal atom. Usually metal atoms in ternary hydrides are randomly mixed in the metal sublattice of such compounds (e.g., La-Y-H [[118], La-Nd-H[188], Ca-Nd-Zr-H [265]). A black line marked  $R\bar{3}m$  in Figure 56b shows how the  $R\bar{3}m$ -(Ca,Y)H<sub>5</sub> phase should look if it was a case of a solid solution of Ca and Y atoms.

Only several broad reflections (such as  $2\overline{1}0$ , 101,  $1\overline{1}1$ , etc.) would have to appear, as was observed for the initial hydride (Ca,Y)<sub>2</sub>H<sub>5</sub>. However, we see well-defined, narrow, superstructural reflections (100), (001), (200), ( $2\overline{1}1$ ), ( $3\overline{1}0$ ), and (002), which correspond to single crystal point reflections (Figures 55d, 56a).

All these facts indicate that the main phase can be a true ternary polyhydride CaYH<sub>10</sub> (instead of (Ca,Y)H<sub>5</sub>, Ca:Y ratio is expected to be close to 1:1) with certain positions of Ca and Y atoms and their individual sublattices, reflections from which we observe in the XRD patterns. This compound could be the first example of such true ternary polyhydride synthesized at a high pressure.



**Figure 57.** Pressure—unit cell volume diagram for Ca,Y polyhydrides: blue circles - CaH<sub>6</sub> [108], black squares – CaH<sub>6</sub> in this work, red stars -  $R\bar{3}m$ -(Ca,Y)H<sub>5</sub>,orange diamonds – Cc-YH<sub>7</sub>.

**Table 11.** Experimental unit cell parameters of Cc-YH<sub>7</sub> (Z=4, for simplicity,  $\beta = 90^{\circ}$ ).

1	1		, I	J / 1
Pressure, GPa	a, Å	b, Å <sup>3</sup>	c, Å	$V, Å^3$
177	3.264	5.693	5.547	103.08
165	3.279	5.718	5.571	104.45
158	3.290	5.737	5.590	105.50
148	3.311	5.774	5.626	107.56
131	3.346	5.835	5.685	111.0
119	3.356	5.853	5.703	112.04

### 5.4 Conclusions from studies of ternary metal polyhydrides



- 1. Synthesis of ternary polyhydrides from alloys of metals with similar properties leads to formation of compounds with a solid solution type of metal sublattice. Anderson's theorem, which states the impossibility of increasing the critical temperature of superconductivity in binary hydrides by doping them, is applicable to such compounds.
- 2. The introduction of magnetic atoms, such as Nd and Ce, significantly reduces the superconducting properties of the corresponding ternary hydrides because of scattering of Cooper pairs with spin flipping.
- 3. Doping can lead to stabilization of new crystal structures that are not stable themselves in the parent binary system. In other words, when element A is introduced into the B-H system, crystal structures specific only for the A-H system, but not for the B-H system, appear among the reaction products (A,B)H<sub>x</sub>. Examples: stabilization of *Im-3m-*(La,Y)H<sub>6</sub>, although *Im-3m-*LaH<sub>6</sub> does not exist [118]; appearance of *P63/mmc-*(Ce,La)H<sub>9</sub>, whereas *P63/mmc-*LaH<sub>9</sub> is unstable [189].
- 4. Last, atoms having different properties can form true ternary hydrides with ordered sublattices of each type of atoms, which are characterized by the appearance of superstructural peaks on X-ray diffraction patterns. Example: calcium-yttrium polyhydrides.
- 5. At the moment, the effect of the initial precursor structure and synthesis temperature on resulting ternary polyhydrides is an open question.

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# Chapter 6. Distribution of superconducting properties in binary and ternary metal polyhydrides

## 6.1 Distribution of superconducting properties in binary polyhydrides

This chapter is based on the data from Ref. [36], where we have investigated the distribution of superconductivity in binary metal-hydrogen systems under pressure.

The distribution of the superconducting properties in a certain class of materials is key information for a materials scientist. It is well known that the Bardin-Cooper-Schrieffer (BCS) theory of conventional phonon-mediated superconductivity, developed in 1957, does not provide an answer to the question of which materials will be good superconductors and which will be bad ones. If we know the structure of the compound, then by doing some calculations within the framework of the BCS theory we can say approximately whether the material is promising or not. The BCS theory cannot tell us the kind and number of atoms that should be taken and how they should be arranged in space to provide good superconducting properties. This can be illustrated by several examples.

First, superconductivity with  $T_C = 39 \text{ K}$  in P6/mmm-MgB<sub>2</sub> [266], which remained unknown until 2001, and a recent discovery of hexagonal MoB<sub>2</sub>, superconducting below 32 K at a pressure of 100 GPa [267]. None of the widely known theories and models were able to predict superconductivity in these borides before their experimental discovery, although the DFT calculations almost immediately after their discovery confirmed the electron-phonon mechanism of coupling in these compounds. Surprisingly, the theoretically predicted  $T_C$  is in excellent agreement with experimental data for MgB<sub>2</sub> and MoB<sub>2</sub>.

Second, the BCS theory does give some (ambiguous) indications of where to look for good superconductors. For example, light elements are preferred because of the higher frequency of vibrations in the crystal lattice and the phonon energy associated with them. J. Hirsch [268] has repeatedly used this predictive tool to criticize the BCS theory because at ambient pressure among simple metals and their alloys (intermetallics), the best superconducting properties belong to rather heavy elements (Nb, Pb, Pb-Bi, Nb<sub>3</sub>Sn, V<sub>3</sub>Ge,

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etc.). Of course, in the BCS theory, the critical temperature is determined by two factors: 1) the electronic band structure and the electron-phonon interaction matrices, and 2) the phonon averaged frequency. For simple metals,  $T_{\rm C} \sim (1/{\rm m_A})^{0.5}$ , where  $m_{\rm A}$  is the mass of an atom. One way to change the electronic structure of matter is to compress it. Indeed, as Figure 45 shows, many light elements (Li, Ca, Ti, B, S, etc.) exhibit record superconductivity at high pressures in accordance with the ideas of the BCS theory. The superhydrides described in this thesis are a prime example of the application of the atomic mass reduction principle for the purpose of increasing the critical temperature of matter.

From the Matthias rules [269, 270], we also know that crystal symmetry plays an important role for superconductivity. For cubic and hexagonal crystals, the energy levels of phonons are degenerate in direction, so several phonon modes have the same energy at once. If this energy coincides with the energy of electronic transitions near the Fermi level, we would expect the superconducting properties in the compound. If the symmetry of a crystal is low, then even if some phonons have a suitable energy, other phonons will have energy outside the resonant band due to anisotropy. This rule is actively used nowadays for the design of new superconductors using structural templates. For instance, the well-known cubic superconductor Nb<sub>3</sub>Sn ( $T_C = 18 \text{ K}$ ) of type AX<sub>3</sub> (or A15), on the basis of which many other superconductors have been obtained by atom substitution, such as V<sub>3</sub>Si and V<sub>3</sub>Ge. The following templates are popular for hydrides: I4/mmm-XH<sub>4</sub> (for low pressures [183]), Im-3m-XH<sub>6</sub>,  $P6_3/mmc$ -XH<sub>9</sub>, and  $Fm\overline{3}m$ -XH<sub>10</sub>. There are numerous papers on this topic [19, 36]. In this approach, the superconducting properties of such clathrate polyhydrides are analyzed separately from their thermodynamic and dynamic stability, the establishment of which is a much more difficult task.

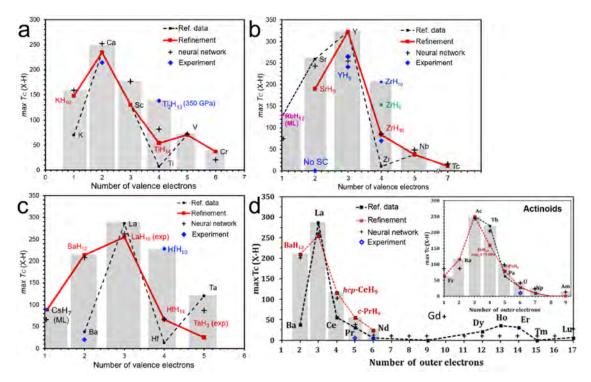
Indeed, this approach proved to be quite fruitful and many outstanding hydride superconductors were predicted long before the experimental discovery: H<sub>3</sub>S, YH<sub>6</sub>, YH<sub>9</sub>, LaH<sub>10</sub>, ThH<sub>10</sub>, YH<sub>4</sub> [182], etc. In most cases, prediction and discovery are separated by 1-3 years, but for CaH<sub>6</sub>, about 10 years passed between the prediction [107] and experimental [108] synthesis! Thus, the field of hydride superconductivity is one of the first branches of

superconductivity physics where B. Matthias's last rule, "stay away from theorists", has (partially) lost its significance, and where theoretical calculations received a carte blanche.

We have performed a large number of ab initio calculations of thermodynamically stable phases and their superconducting properties [36] for most of the binary metal-hydrogen systems of the periodic table. By combining these results with experimental data and calculations of other groups, we constructed the distribution diagrams (Figure 58) of the maximum achievable critical temperatures in the pressure region convenient for experiments ( $\leq$ 200 GPa). It was understood quickly that the maximum critical temperatures of superconductivity in metal polyhydrides are observed just for a narrow band (d-belt or "superconductivity belt") of metals with 2-4 valence electrons ( $s^2d^0 - s^2d^2$ , Figure 58). When we move away from this area in both directions, the superconducting properties disappear because of:

- 1) the dominance of molecular semiconducting polyhydrides in systems with alkali metals;
- 2) the absence of higher polyhydrides for the middle and heavier d-elements and the strengthening of the covalent component of metal-hydrogen bonds in them;
  - 3) the paramagnetism of the lanthanide and some d-element (Fe, Co, Ni) atoms.

Regarding the second reason, we should make the following remark, which will be developed below when we talk about electronegativity. It can be shown that superhydrides can exist and be stable in a discharged plasma in the form of charged clusters, in chemical complexes with electron donors (e.g., tungsten polyhydrides with organic ligands WH<sub>4</sub>L-WH<sub>6</sub>L), and in electrochemical processes [271-274]. In this case, the hydrogen shell is stabilized by a local electric field. A similar electric field exists in 3D polyhydride crystals. Obviously, the stronger the charge on the hydride-forming atom, the stronger the stabilization of hydrogen shell will be. However, with a small difference in electronegativity between the hydrogen and the metal atom, the charge transfer will be negligible and the formation of a superhydride with a high hydrogen content is unlikely.



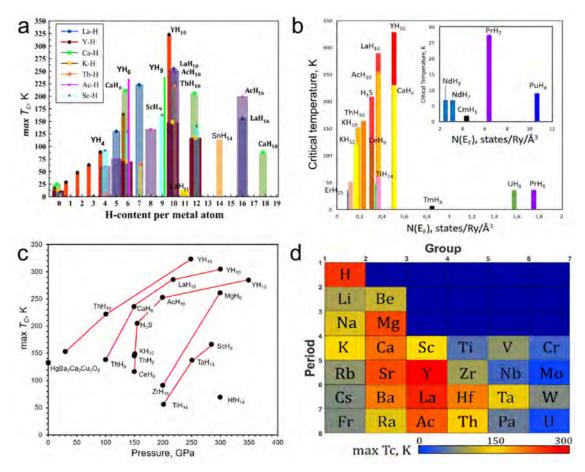
**Figure 58.** Distribution of superconducting properties among metal polyhydrides under pressure. (a) Maximum  $T_{\rm C}$  of hydrides of metals from 4th period. (b) Maximum  $T_{\rm C}$  of hydrides of metals from 5th period. (c) Maximum  $T_{\rm C}$  of hydrides of metals from 6th period. hcp-HfH<sub>10</sub> was taken from Ref. [197]. (d) Maximum critical temperature (max  $T_{\rm C}$ ) in lanthanoid and actinoid hydrides as a function of the number of outer electrons in the metal atom. One can see predicted by the neural network "secondary wave" of superconductivity in hydrides of heavy lanthanoids. All ab initio calculations shown here were non-magnetic.

In addition to the distribution of superconductivity in hydrides, we also investigated the optimal composition of polyhydrides. As the statistical analysis shows (Figure 59a), the best superconducting properties are found in hydrides with a high hydrogen content of 6 to 12 hydrogen atoms per metal atom. Moreover, the maximum  $T_C$  is observed for the composition XH<sub>n</sub>, where n = 10. Indeed, since 2019, when the paper [36] was published on ArXiv, the studies conducted for metal hydrides have shown the validity of the bell-shaped dependence of the maximum achievable critical temperature on the stoichiometry of compounds:  $T_C(\text{LaH}_{10}) > T_C(\text{YH}_9) > T_C(\text{LaH}_6) > T_C(\text{YH}_4)$ . On the slopes of this bell-shaped dependence,  $dT_C/dn$  can reach rather large values of ~70 K per hydrogen atom, whereas near the maximum the efficiency of the next introduced hydrogen atom

decreases to 5-15 K per hydrogen atom. Thus, the room temperature of superconductivity can most likely be achieved just in deca- and nonahydrides XH<sub>9</sub> and XH<sub>10</sub>. On the other hand, this pattern limits the possibilities of pressure reduction for superhydrides, because the pressure reduction leads to a loss of some hydrogen and a sharp decrease in superconducting  $T_{\rm C}$ . This is well illustrated by the yttrium polyhydride sequence: YH<sub>3</sub> (P = 0 GPa) - YH<sub>4</sub> - YH<sub>6</sub> - YH<sub>9</sub> (P = 200 GPa), in which maximum  $T_{\rm C}$  takes values of 0, 88, 227, and 243 K, respectively.

The bell-shaped dependence of  $T_{\rm C}$  on the hydrogen content allows us to indirectly estimate the critical temperatures of the not yet synthesized or "impossible" polyhydrides. For instance, knowing that  $T_{\rm C}({\rm CaH_6}) < T_{\rm C}({\rm YH_6})$ , we can assume that hypothetical CaH<sub>9</sub> and CaH<sub>10</sub> [275] will likely have lower  $T_{\rm C}$  than YH<sub>9</sub> and YH<sub>10</sub>. Similarly, as has been shown recently, ScH<sub>3</sub> has a higher  $T_{\rm C}$  than LuH<sub>3</sub> [234], which allows us to assume that the  $T_{\rm C}({\rm LuH_{9-10}})$  will also not reach room temperatures when the hydrogen content is increased. Another argument is the fact that superconductivity is suppressed by impurities of Lu in YBCO [276], La [277] and ZrB<sub>12</sub> [278]. Moreover, in the latter case, the valence state of lutetium changes, as a result its atoms acquire the magnetic moment (see also [279] and Appendix).

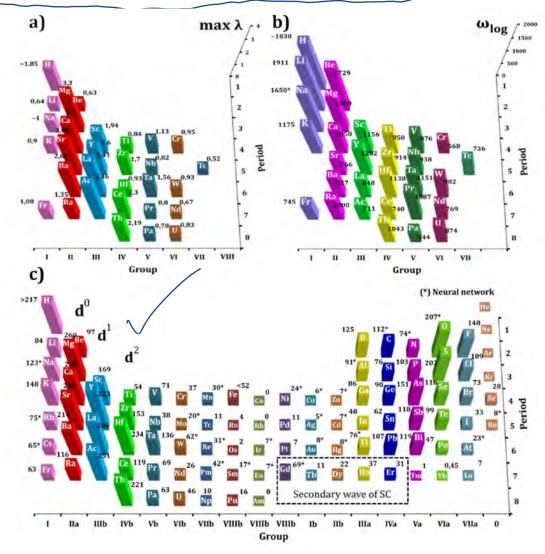
The study of the electron density of states at the Fermi level  $N_F$  for superhydrides indicates the existence of some optimal value for high-temperature superconductivity, close to 1 state per Ry per 1 hydrogen atom (Figure 59b). As the density of states increases, spin splitting in the band structure appears, leading to scattering of the Cooper pairs with spin flipping, their destruction and, as a consequence, suppression of the superconducting state. This corresponds to B. Matthias's rule No. 2: "high density of electronic states is good". Superconductivity in hydrides is facilitated by the maximum density of electronic states  $N_F$  and the contribution of the hydrogen sublattice to  $N_F$ , which does not cross the critical limit of spin splitting and magnetization.



**Figure 59.** Maximum  $T_C$  for a given hydrogen content per metal atom in the XH<sub>n</sub> hydrides, calculated using the Allen-Dynes formula. (b) Maximum predicted  $T_C$  versus the electronic density of states at the Fermi level for the studied hydrides. (c) Ashby plot of the maximum  $T_C$  versus pressure for the best-known calculated metal hydrides. (d) The distribution of max $T_C$  of metal hydrides in the left part of Mendeleev's table.

As we know from the BCS theory, the critical temperature of superconductivity is influenced by two main factors: the electron-phonon coupling strength  $\lambda$  and the average phonon frequency (e.g., logarithmically averaged  $\omega_{log}$ ). For each of these factors, we found a distribution over the periodic table using the data for the best superhydrides described in the literature or predicted using the neural network developed in [36] (Figure 60b). As expected,  $\omega_{log} \sim (1/m_A)^{0.5}$  (more precisely,  $\sim 1/[V_{cell}^{1/3} \times (m_A)^{0.5}]$ ): an increase in the average phonon frequency of polyhydrides is observed for light elements with a small atomic radius and unit cell volume, and with increased electronegativity (Li, Sc, Be, Mg, Y), whose

polyhydride stabilization requires pressures above 200 GPa. Practically, the highest average phonon frequency is expected for metallic hydrogen. Generally, the average phonon frequency increases along with the density and pressure in the matter when the steepness of walls dU/dx of potential wells in which individual atoms are located increases,



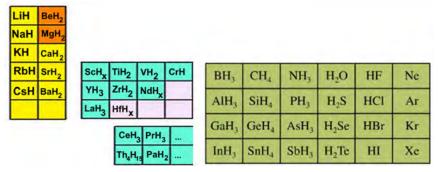
**Figure 60.** Distribution of (a) the EPC coefficient  $\lambda$  and (b) logarithmic average frequency  $\omega_{\log}$  corresponding to the max $T_{\rm C}$  points over Mendeleev's Table [36]; the data for Na-H were estimated using the developed neural network [36]. (c) Mendeleev's Table with already studied binary hydrides. The maximum values of  $T_{\rm C}$  were calculated using ab initio methods or were predicted by the developed neural network (marked by \*).

The situation with the electron-phonon coupling parameter ( $\lambda$ ) is not as straightforward. There is no simple expression that allows us to identify the most promising elements in terms of high values of  $\lambda$ . At the moment, the experimentally measured values of  $\lambda$  are limited to  $\sim 2.5$  - 3 achieved in very soft (at room temperature) alloys like Bi-Pb and in Ga due to low-frequency phonon modes [281]. A further increase in  $\lambda$  is not formally restricted, but does not occur in any of the known compounds. For high- $T_C$  hydrides, the analogy is the melting/destruction of the hydrogen sublattice at the superconducting transition temperature (see also Chapter 5), since the hydrogen sublattice is the most easily meltable component of polyhydrides.

As the pressure increases/decreases,  $\lambda(P)$  changes nonmonotonically, reaching local maxima in the vicinity of phase transitions, where various kinds of structural instabilities arise. In Figure 60a, we can see that the maximum  $\lambda$  is reached in metal polyhydrides belonging to the "superconductivity belt" ( $d^0$ - $d^2$  elements). The hydrogen sublattice in such hydrides is an intermediate between the molecular lattice of polyhydrides of alkali and alkaline earth elements and unstable polyhydrides of elements of groups IV-VIII, which cannot be stabilized by the weak charge of the central atom forming covalent bonds with hydrogen. Stabilization of the hydrogen shell for such atoms can be observed only with the introduction of various donor atoms (in complexes with organic phosphines). If there are no other possibilities to stabilize the hydrogen shell, the compound decomposes to form two different phases: molecular hydrogen and metal.

Thus, given the two scenarios described above: 1) formation of a semiconducting molecular polyhydride (e.g., CsH<sub>17</sub>, SrH<sub>22</sub>); 2) formation of a separate phase of molecular hydrogen and metal (decomposition), we can represent the d<sup>0</sup>-d<sup>2</sup> elements as an unstable transition zone between these two variants. Local lattice oscillations in superhydrides of metals from the "superconductivity belt" can cause both local formation of H<sub>2</sub> molecules (as observed, for instance, in the higher polyhydrides of LaH<sub>11</sub>-LaH<sub>12</sub>) and decomposition of a compound with loss of H<sub>2</sub> with decreasing pressure, as observed in LaH<sub>10</sub> below 140 GPa.

It is interesting to note that the "superconductivity belt" in compressed metal polyhydrides also coincides with the series of metals having the highest hydrogen content in lower hydrides at ambient pressure (YH<sub>3</sub> - LaH<sub>3</sub> - CeH<sub>3</sub> - Th<sub>4</sub>H<sub>15</sub>, Figure 61).



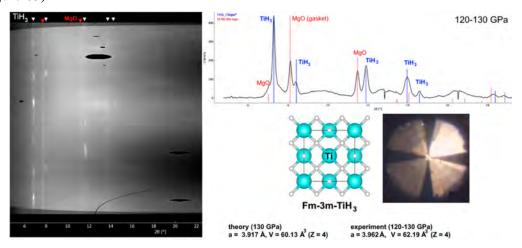
**Figure 61.** Fragment of the Mendeleev Table with known lower hydrides formed by elements and hydrogen at ambient pressure.  $AB_x$  - corresponds to non-stoichiometric hydrides.

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18
H 2.2	V.	sem	ico	ndu	cto	rs					(	ova	len	t hy	dric	les	Не
1.0	Be 1.6		n	neta	ls							B 2.0	C 2.5	N 3.0	0	F 4.0	Ne
Na 0.9	Mg 1.3	×										AI 1.6	Si 1.9	P 2.2	S 2.6	CI 3.1	A
0.8	(Ca 1.0	Sc 1.3	Ti 1.5	V 1.6	Cr 1.6	Mn 1.6	Fe 1.8	Co 1.9	Ni 1.9	Cu 1.9	Zn 1.7	Ga 1.8	Ge 2.0	As 2.2	Se 2.6	Br 2.9	Kı
8b 0.8	1.0	γ 1.2	Zr 1.3	Nb 1.6	Mo 2.1	Tc 1.9	Ru 2.2	Rh 2.3	Pd 2.2	Ag 1,9	Cd 1.7	in 1.8	Sn 1.8	Sb 2.0	Te 2.1	2,6	Xe
0.8	Ва 0,3	La* 1.1	Hf 1,3	Ta 1,5	W 2.3	Re 1.9	Os 2.2	1r 2.2	Pt 2,3	Au 2,5	Hg 2.0	TI 1.6	Pb 1.9	Bi 2.0	Po 2.0	At 2.2	Ri
Fr	Ra	Ac ** 1.1	4	dl la	A	L. Alf	red, J.	Inorg	. Nuc	. Cher	Chem n., 19	61, V.	17, P.	215	, 1960	), Itha	ca
			(Ce 1.1)	Pr 1.1	Nd 1.1	Pm 1.1	Sm 1.2	Eu 1.2	Gd 1.2	Tb 1.1	Dy 1.2	Ho 1.2	Er 1.2	Tm 1.3	Yb 1.1	Lu 1.3	
		**	Th 1.3	Pa 1.5	U 1.4	Np 1.4	Pu 1.3	Am 1.1	Cm 1.3	Bk 1.3	Cf 1.3	Es 1.3	Fm 1.3	Md 1.3	No 1.3	Lr 1.3	

**Figure 62.** Mendeleev Table with values of electronegativity according to Pauling [282, 283]. Those elements that form semiconducting polyhydrides under pressure are crossed out. Blue circles highlight the most promising hydride-forming metal elements. Red circles highlight the nonmetals that may be of interest for the design of ternary superhydrides.

Finally, there is a correlation between the superconducting properties of hydrides and the electronegativity ( $\chi$ ) of the hydride-forming element (Figure 62). For instance, alkali and alkaline earth elements with low electronegativity form molecular polyhydrides

with semiconducting properties (Li, Na, K, Rb, Cs, Sr, Ba), in which the hydrogen sublattice often has a disordered (glass-like) structure and can rather be described as a hydrogen shell [44]. Elements with electronegativity  $\chi = 1-1.3$  under pressure form metallic superhydrides with an atomic hydrogen sublattice and a strong electron-phonon interaction. An increase in electronegativity leads to an increase in the degree of covalent bonding in the hydrides. As a result, p-elements and late d-elements do not form higher polyhydrides with some exceptions (SnH<sub>14</sub> [46]). This approach allows us to discuss the possibility of forming some hydrides for which we do not yet have reliable experimental data. For example, the electronegativity of beryllium is  $\gamma = 1.57-1.6$ , which speaks in favor of the essentially covalent nature of the Be-H bond, and does not allow us to expect the formation of higher polyhydrides in the Be-H system even at high pressure. To date, only BeH<sub>3</sub> has been obtained [284]. Similarly, titanium has a higher electronegativity ( $\chi = 1.5$ ) than Zr and Hf, so we expect that the formation of polyhydrides, including superconducting compounds, will also be difficult in this case despite theoretical predictions of TiH<sub>12</sub> and TiH<sub>14</sub> stability [285]. So far, only the TiH<sub>2.5-3</sub> compound has been obtained at 120 GPa (see Figure 63).



**Figure 63.** X-ray diffraction pattern of a titanium hydride sample obtained by heating the TiH<sub>2</sub>/H<sub>2</sub> target at 120-130 GPa. MgO was used as a gasket. The obtained data indicate the formation of the previously predicted  $Fm\overline{3}m$ -TiH<sub>3</sub> [285], the sample of which does not exhibit superconducting properties. This experiment was carried out jointly with Dr. M. Kuzovnikov.

Finding out the distribution law of superconductivity in polyhydrides can also play an important role in a search for similar distribution laws for maximum  $T_{\rm C}$  in metal borides, carbides and nitrides (under pressure), allowing us to further extrapolate the laws found to all classes of superconducting compounds with the conventional electron-phonon coupling. As we have seen, B. Matthias's rules are also valid for superhydrides. The need for high Debye temperature and average phonon frequency, following from the BCS theory, excludes obtaining superconducting polyhydrides at low pressures. For most superhydrides, average  $T_{\rm C} \sim P$  (in GPa) is numerically equal to the pressure in GPa, and does not exceed 2P:  $T_{\rm C} < 2P$  (in GPa).

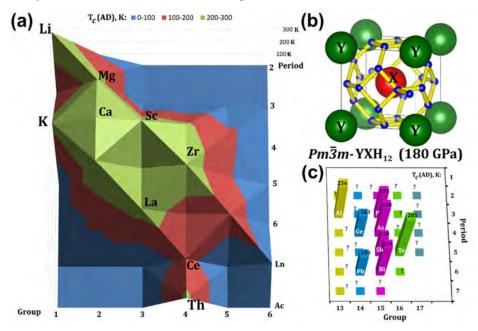
### 6.2 Distribution of superconducting properties in ternary polyhydrides

By now (2022), the majority of the most promising binary metal-hydrogen systems have already been investigated and the hopes of researchers have turned to the synthesis of ternary polyhydrides. Specialists in hydride superconductivity are now pursuing two main goals: 1) reducing the stabilization pressure while maintaining outstanding superconducting properties of hydrides, and 2) achieving room-temperature superconductivity.

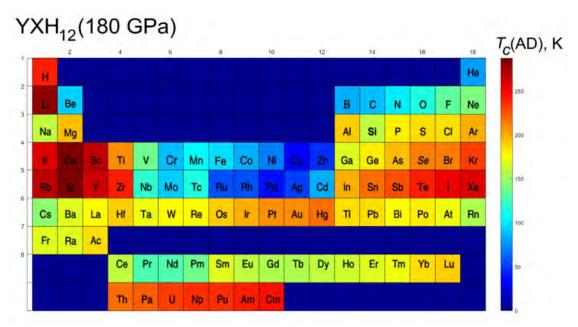
One of the simplest methods of constructing ternary superhydrides is replacing one of the metal atoms in the supercell of a known superhydride with another element. This preserves the high symmetry of the crystal, the complexity of calculations increases insignificantly, but we, of course, know nothing about whether such an artificial structure will exist in experiment. In this thesis, we would like to illustrate such "template" calculations using three examples:  $Pm-3m-YXH_{12}$  (derived from  $Im-3m-Y_2H_{12}$ ),  $R-3m-YXH_{20}$  (derived from  $Fm\bar{3}m-YH_{10}$ ), and  $R-3m-LaXH_{20}$  (derived from  $Fm\bar{3}m-LaH_{10}$ ) at 180 GPa. In this approach, it is important to keep the calculation parameters constant. We used the tetrahedral method (tetrahedra\_opt) with a  $16\times16\times16$  grid of k-points and a  $2\times2\times2$  grid of q-points. For X=Y, we obtained  $T_C(Y_2H_{12})=206$  K, lower than the experimental value (Table 12). The accuracy of such calculations is not great, but the computing speed allows us to cover a large number of systems and obtain a map of

distribution of the superconducting properties, the gaps of which can then be filled using neural networks (see below).

Figure 62 shows the graphical distribution of critical temperatures in *Pm-3m-YXH*<sub>12</sub> hydrides for the area of the "superconductivity belt" (Figure 62a) and for nonmetals (Figure 62c). Although structures like *Pm-3m-YXH*<sub>12</sub> may be unstable for many of the X atoms listed in Table 12, we still find these formal calculations useful as they show the combination of yttrium with which atoms can lead to the best SC properties. As a side result, we will also get the information on which X<sub>2</sub>H<sub>12</sub>-type hydrides would be good superconductors (if stable). In particular, the Li-Y-H, Ca-Y-H, Sr-Y-H, and Sc-Y-H systems would be the most promising in terms of superconductivity. For all these systems, the cubic XYH<sub>12</sub> hydride has a significantly higher calculated *T*<sub>C</sub> than the prototype *Im-3m-*YH<sub>6</sub>. However, despite considerable efforts, the extrapolation with the neural network to the other elements of the periodic table shows unsatisfactory results (Figure 63). For instance, the neural network erroneously ignores the suppression of superconductivity for the heavy lanthanides and actinides, and gives a low *T*<sub>C</sub> value for LaYH<sub>12</sub>.

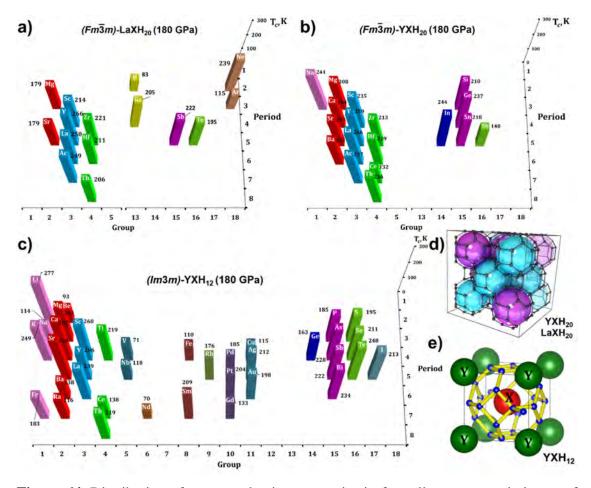


**Figure 62.** Distribution of the superconducting properties in the formally constructed class of  $YXH_{12}$  hydrides at 180 GPa for (a) metals with  $d^0$ - $d^2$  number of valence electrons, and (c) nonmetals.



**Figure 63.** Distribution of the critical temperature of superconductivity in cubic  $(Im\bar{3}m)$  ternary hydrides YXH<sub>12</sub> obtained by replacing 50 % of Y atoms by X atoms at 180 GPa.

Calculation results for the systems R-3m-LaXH<sub>20</sub> and R-3m-YXH<sub>20</sub> at 180 GPa are shown in Figure 64. The promising system is La-Y-H, however, the experiment [118] does not confirm a significant increase in  $T_{\rm C}$  in this system. The most promising system is Y-Sr-H ( $T_{\rm C}$  = 297 K), which also gives good results for YSrH<sub>12</sub>.



**Figure 64.** Distribution of superconducting properties in formally constructed classes of hydrides: (a) *R*-3*m*-LaXH<sub>20</sub>, (b) *R*-3*m*-YXH<sub>20</sub> and (c) *Pm*-3*m*-YXH<sub>12</sub>.

**Table 12.** Parameters of the superconducting state of structures Pm-3m-YXH<sub>12</sub> with various X atoms. Calculations were performed using the tetrahedral method in Quantum ESPRESSO with the same grid  $k = 16 \times 16 \times 16$ ,  $q = 2 \times 2 \times 2$  at 180 GPa. These calculations were performed together with Dr. Di Zhou.

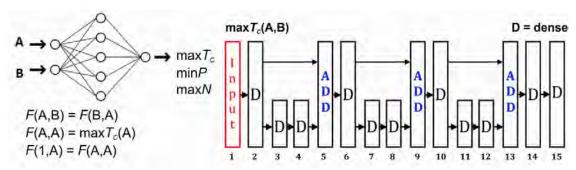
Atom X	λ	ω <sub>log</sub> , K	$T_{\rm C}({ m A-D}),$	Atom X	λ	ω <sub>log</sub> , K	$T_{\rm C}({ m A-D}),$
			K				K
Li	3,32	1012	277	Sc	2,35	837	260
Na	1,04	1447	114	Y	2,24	926	206
K	3,39	829	249	La	2,89	712	239
Be	1,09	1084	93	Ce	1,45	919	138
Mg	2,47	636	242	Nd	1,2	720	70
Ca	1,97	1090	282	Sm	3,48	704	209

Sr	1,98	1100	297	${f V}$	1,19	795	125
Ba	1,5	1059	138	Nb	1,54	705	110
Ti	3,01	880	219	Ta	3,15	935	168
Zr	3,33	930	255	Al	2,64	1052	236
Hf	2,97	659	170	Fe	1,92	636	110
Th	2,69	750	219	Ge	2,57	724	163
Pb	3,3	610	159	P	2,74	771	185
Te	3,68	849	248	As	3,57	782	228
Bi	3,54	826	234	Sb	3,42	792	222

The number of possible ternary hydrides is very large ( $\sim$ 4608), which excludes the possibility of systematic studies by *ab initio* calculations or with similar methods and by experimental techniques. The main goal of this chapter is to apply artificial intelligence methods to define ternary A-B-H systems with an optimal combination of the following parameters: maximum  $T_C$  reached in the pressure range 0-300 GPa (max $T_C$ ), and minimum stabilization pressure (*minP*). This will allow us to narrow the region of ternary high- $T_C$  superconductors (HTSC) for further detailed theoretical and experimental searches. We also monitored the maximum hydrogen content as a chemically important characteristic.

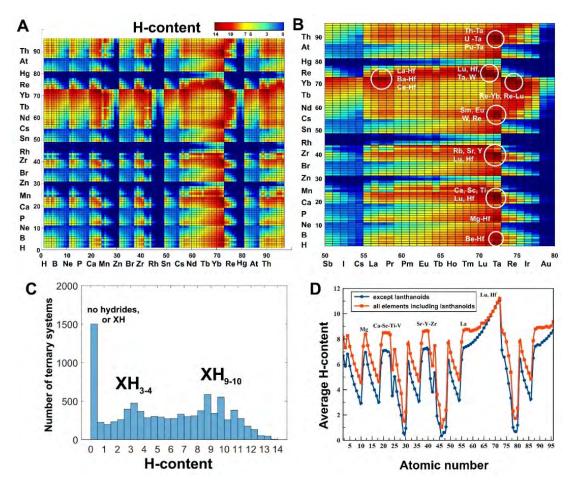
To predict  $\max T_C$ ,  $\min P$  and  $\max N_H$  of ternary A-B-H systems a regression model  $F_i(A,B)$  was created on the basis of a fully connected neural network (each next layer was connected with all outputs of the previous one). It was found empirically that the optimal topologies consist of 15 layers with 16 neurons in a layer for  $\max T_C$  (Figure 65), 7 layers with 4 neurons each for  $\max N$ , and 14 layers with 20 neurons per layer for  $\min P$ . The number of s-, p-, d, and f-electrons in an atom and atomic number were used as inputs for the network. The neural network was trained on a set of 180 reference  $\max T_C$  values of binary (A-A-H, A-H-H) and ternary hydrides using the RMSProp (Root Mean Square Propagation) method in 10000 steps. The boundary condition F(A,1) = F(1,A) = F(A,A) and symmetry condition F(A,B) = F(B,A) were included in the training set. The symmetry rule was also implemented by averaging the results of symmetrical inputs. The *tensorflow* and *keras* libraries were applied to create the model. After the training, the network was validated on 20% of randomly selected points, which were not used in the training set. Test data set was prepared using linear congruential generator (variation of pseudorandom

number generator algorithms). The standard deviation for  $\max T_C$ ,  $\min P$  and H-content (defined for  $A_xB_yH_z$  as z/(x+y)) was 33.5 K, 70 GPa and 1.7 respectively (see Supporting Information).



**Figure 65.** Developed neural network topology and boundary conditions used for predicting the critical parameters of ternary hydrides. "ADD" means summation layer, "Dense" (D) means fully-connected layer of neurons. This neural network was developed together with Dr. Igor Savkin (MSU).

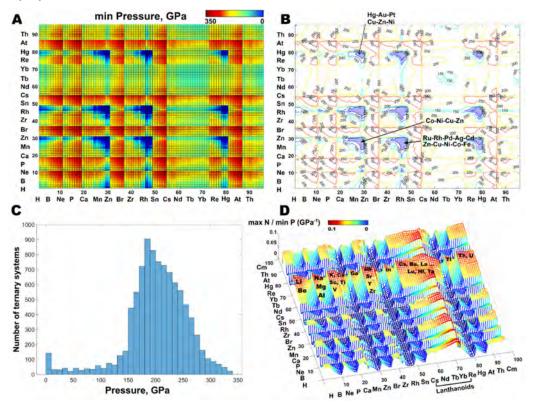
One of the prerequisites for high-Tc hydride superconductivity is a high hydrogen content in the compound [36], more than dedicated by atomic valences. Analysis of the results obtained after applying our neural network to an array of parameters of the experimentally known or *ab initio* predicted binary and ternary hydrides stable in the pressure range of 0-300 GPa is shown in Figures 66A and B. The pronounced maximum of the hydrogen content ([AB]H<sub>12-14</sub>) is observed for ternary compounds containing heavy lanthanoids and 6d-elements (Hf, Ta, W, Re), see Figure 66B. The statistical analysis of the hydrogen content in ternary compounds shows the majority of elements cannot form superhydrides in this range of pressure, see Figure 66C. A relatively high population of hydrides observed for the [AB]H<sub>3-4</sub> and [AB]H<sub>8-10</sub> compositions has many experimental evidences. Since studies of higher (n > 16) polyhydrides are currently quite rare, the neural network erroneously predicts very low or zero statistics of their formation.



**Figure 66.** A) Distribution of the maximum hydrogen content per (defined as the ratio of hydrogen atoms to the sum of other atoms); B) zoom-in of a group of ternary lanthanoid hydrides. The most promising combinations of elements are marked by circles; C) number of possible ternary systems depending on the hydrogen content; D) maximum hydrogen content in ternary hydrides  $A_xB_yH_z$  average over all elements B as a function of element A.

The dependence of the maximum hydrogen content, averaged over all possible hydrides of a chemical element (i.e.  $\Sigma_B \max N_H(A,B) / 96$ ), on the atomic number of the element is shown in Figure 66D. Heavy lanthanoids form higher polyhydrides much easier than all other elements. The elements from the  $d^0$ ,  $d^1$  and  $d^2$ -belts (Mg, Ca, Sr, Sc, Y, La, etc.) can be used in combination with heavy lanthanoids to increase the H-content. We unexpectedly observed many promising ternary polyhydrides of Tm, Yb, Lu, Ta, Zr, W,

and other elements located in the vicinity of  $d^0$  and  $d^1$ -belts, which have no promising binary hydrides [36, 286].

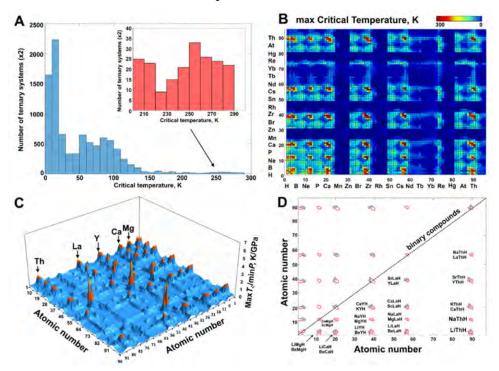


**Figure 67.** A) Distribution of stabilization pressure over ternary superhydrides; B) isobaric map of stability of ternary hydrides. Combinations of elements forming stable hydrides at the lowest pressure are shown explicitly; C) number of ternary systems, which potentially may form superhydrides at various pressures (area histogram of A); D) distribution of the ratio of maximum hydrogen content to the stabilization pressure of ternary hydrides (divergences are excluded).

One of the most important parameters for the synthesis of a particular metal hydride is the pressure needed for its stabilization. The training of our neural network on the published minimum values of the stabilization pressure for highest binary and ternary hydrides leads to the distribution of stabilization pressures for all ternary hydrides (Figure 67). To be thermodynamically stable, almost 90% of ternary hydrides with  $\max N_H$  content require a pressure above 120 GPa (Figure 67C). The remaining 10%, late 3d-5d metals corresponding to the "wells" on the stabilization map (Figure 67A), do not form

superhydrides (see Figure 66A), and, thus, they have little chance to possess high- $T_C$  superconductivity. The highest stabilization pressures (> 300 GPa) correspond to the hydrides of noble gases.

The most interesting regions of the obtained map (Figure 67A) are related to the superhydrides, having the lowest possible stabilization pressure and maximum H-content. To define these regions, we obtained the distribution of the ratio of maximum hydrogen content to minimum stabilization pressure  $\max N / \min P$  (Figure 67D, divergences are excluded). Physically this ratio means the average molar content of hydrogen per 1 GPa of applied pressure. The maximum value of  $\max N/\min P$  reaches ~0.08-0.1 for lanthanoids and 5d elements (Cs, Ba...Hf, Ta) in combination with alkaline and alkaline earth metals. This means that pressures of ~100 GPa (or more) are needed to stabilize superhydrides with H-content 10, and at least 60 GPa pressure is needed to achieve H-content 6.



**Figure 68.** A) Number of ternary systems as a function of the critical temperature; B) map of the maximum  $T_C$  over the ternary hydrides; C) distribution of the  $\max T_C / \min P$  ratio showing the efficiency of applied pressure to certain systems; D) map of the most promising high- $T_C$  ternary hydrides.

The most interesting parameter predicted using this neural network is the critical temperature of superconductivity ( $T_C$ ). The number of interesting high- $T_C$  ternary hydrides (like LaH<sub>10</sub> or better) is quite small (Figure 68B-D). More than 95% of ternary hydrides have  $T_C$  smaller than 150 K (Figure 68A). The total number of promising systems with  $T_C > 250$  K is about 50-60, see Table 13.

Another important parameter is the  $\max T_C / \min P$  ratio showing the efficiency of the pressure applied to a particular system in order to form a high- $T_C$  superhydride (Figure 68C, the points corresponding to  $\min P \sim 0$  are discarded). All promising regions are related to the combinations of elements from  $d^0$ ,  $d^1$  and  $d^2$ -belts of the Periodic Table. The maximum predicted values of  $\max T_C / \min P$  are 1.3-1.5 K/GPa. Other peaks related to the ternary hydrides of Cu, Zn, Rh, Pd, Au, and other elements mainly come from the existence of lower hydrides stable at almost zero pressure. Such compounds do not possess high- $T_C$  superconductivity.

The most promising directions of further studies of high- $T_C$  hydrides are listed in Table 13. For instance, it was found that ternary hydrides containing Th and an alkali metal (e.g., Li, Nb or K) have better  $T_C$  than binary hydrides of ThH<sub>10</sub> <sup>12,13</sup> or alkali metals, and in fact, we expect for these ternary hydrides  $T_C$  comparable with that of LaH<sub>10</sub> <sup>4,5</sup> at pressures lower than 200 GPa. On the other hand, the most efficient way to increase  $T_C$  in LaH<sub>10</sub> is to have ternary hydrides, where Li, Mg, Ca and Y play the role of the second metal, which could potentially lead to  $T_C$  of around 285 K. The results obtained using our neural network show that ternary hydrides of Y, where the role of the second metal is played by Li, Mg or Ca, will have  $T_C$  of ~290 K, which is better than  $T_C$  of YH<sub>6</sub> [30].

**Table 13.** Most promising high- $T_C$  ternary A-B-H systems. The hydrides of short-live radioactive Ac, Pa and Pm were discarded. The systems suggested for the experimental synthesis are underlined.

Entry No	First atom (A)	Second atom (B)	Expected $T_C$ , K	Expected stabilization pressure, GPa	Expected H- content (per A+B)
1	Th	<u>Li</u>	257	195	10
2	1 11	<u>Na</u>	265	196	9.5

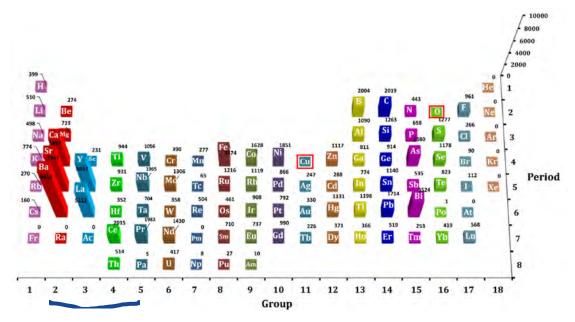
Entry	First	Second	Expected	Expected	Expected H-
No	atom	atom (B)	$T_C$ , K	stabilization	content (per
	<b>(A)</b>			pressure, GPa	$\mathbf{A} + \mathbf{B}$ )
3		<u>K</u>	258	195	9.5
4		Ca	265	209	10.5
5		Sr	271	208	$10.5 \sim H_{10}$
6		Y	240	214	11
7		Ba	260	206	10.5
8		La	276	213	11.5
9		Sc	251	203	10
10	Ra	Y	229	201	10
11		Zr	257	207	10.5
12		<u>Li</u>	<u> 267</u>	<u>190</u>	<u>9</u>
13		Be	250	204	9.5
14		Na	259	190	9
15		<u>Mg</u>	<u>281</u>	<u>205</u>	<u>9.5</u>
16	La	K	228	189	9
17		<u>Ca</u>	<u>280</u>	<u>204</u>	<u>10</u>
18		Sc	271	210	10.5
19		Sr	268	203	10
20		<u>Y</u>	<u>286</u>	<u>209</u>	<u>10.5</u>
21		Be	253	199	9
22		Mg	241	199	9
23	Ba	<u>Sc</u>	<u>275</u>	<u>204</u>	<u>10</u>
24		Y	268	203	10
25		Zr	265	208	10.5
26		<u>Li</u>	<u>269</u>	<u>188</u>	<u>9</u>
27		Be	231	203	9.5
28		<u>Na</u>	<u>269</u>	<u>189</u>	<u>9</u>
29	$\mathbf{Y}$	$\underline{\mathbf{Mg}}$	<u>280</u>	<u>204</u>	<u>9.5</u>
30		K	253	189	9
31		<u>Ca</u>	<u>285</u>	<u>205</u>	<u>10</u>
32		Sc	248	210	10.5
33		Be	278	199	9
34	C	Mg	274	200	9
35	Sr	Ca	260	200	9
36		<u>Sc</u>	<u>282</u>	<u>205</u>	<u>10</u>

Entry	First	Second	Expected	Expected	<b>Expected H-</b>
No	atom	atom (B)	$T_C$ , K	stabilization	content (per
	<b>(A)</b>			pressure, GPa	A+B)
37		Li	252	182	8
38	Ca	<u>Be</u>	<u>280</u>	<u>197</u>	<u>9</u>
39		$\underline{Mg}$	<u>283</u>	<u>199</u>	<u>9</u>
40		Li	247	186	9
41		Na	264	188	9
42	Sc	Mg	264	203	9.5
43		K	254	188	9
44		<u>Ca</u>	<u>280</u>	<u>205</u>	<u>9.5</u>
45		Li	257	180	8
46	Mg	<u>Be</u>	<u>271</u>	<u>195</u>	<u>9</u>
47		Na	237	182	8

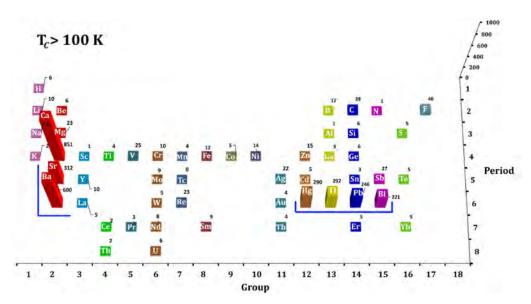
It is necessary to say a few words about the limitations of this approach to predicting the properties of ternary hydrides. Every month, 3-4 new papers with ab initio calculations of ternary metal-hydrogen systems appear. This leads to the need for regular retraining of existing neural networks and reanalysis of their predictions. For this reason, it makes sense to highlight only the most promising direction in the study of ternary polyhydrides, without dwelling on details, which change with the emergence of more and more new data. Another point is that the constructed neural network cannot take into account the crystal symmetry of compounds, their thermodynamic and dynamic stability, whereas all calculations from the literature are made using different methods, with different pseudopotentials, accuracy, and at different pressures. To train the neural network, a large amount of homogeneous data obtained under the same conditions is required. To date, out of more than 4,500 ternary hydrides, the DFT methods have been applied to only about 100 of them. Under these conditions, we cannot speak about any accuracy of the neural network predictions. Therefore, the results obtained should be treated with caution.

## 6.3 Concluding remarks and ways to increase the critical temperature

Many experts in the field of superconductivity have noticed that the cuprate superconductors and the best hydride superconductors have the same elements in their composition. This opens up the possibility of a statistical analysis based on the known literature (the database [287] was used), assuming that the systems with the best superconducting properties have always attracted the most attention of researchers. As can be seen from Figures 69-70, such elements as Be, Mg, Sc, alkali metals, Ti, Zr, Hf, have not attracted much attention of researchers, whereas Ca, Sr, Ba, La, Y, Ce, Bi and As - are the most important elements for superconductor design. The same situation is observed for polyhydrides - all attention is focused on the "superconductivity belt" of d<sup>0</sup>-d<sup>2</sup> elements.



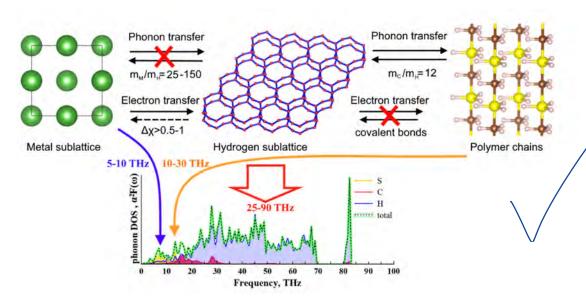
**Figure 69.** Number of entries containing the selected element of the Mendeleev table in the database of superconducting compounds [287]. Data for copper and oxygen are not shown, since these elements are present in all compounds of the cuprate series.



**Figure 70.** Statistics of records (compounds) with the critical temperature  $T_C > 100$  K that contain the selected element of the periodic table in the database [287].

A set of the most used elements for obtaining superconducting materials with different mechanisms of superconductivity has significant similarities with the set of elements from the "superconductivity belt". Analysis of the  $T_C$  distribution in ternary hydrides using neural networks (see paragraph 6.2) also shows that the most promising are the ternary hydrides constructed from the elements of the "superconductivity belt". Thus, I believe that the synthesis of ternary hydrides with combinations of  $d^0$ - $d^2$  elements, despite the initial failures with solid solutions, is still one of the most promising directions in hydride superconductivity.

Another interesting direction to increase the critical temperature is the construction of hybrid structures containing covalent and metal bonds simultaneously (e.g., the Y-Te-H and Y-Bi-H systems), atomic and molecular hydrogen (which increases  $\omega_{log}$ ), the introduction of polymer chains of light atoms to stabilize molecular hydrogen (Figure 71), and condensed fullerenes with metal atoms inside, which are full analogues of clathrate polyhydrides [288-290]. In the latter case, the light atoms solve the problem of filling the intermediate frequency region in the Eliashberg function between the low-frequency zone of the metal sublattice and the high-frequency vibrations of the hydrogen sublattice (Figure 71).



**Figure 71.** Scheme of the possible electron-phonon interaction of the metal sublattice, molecular hydrogen layers, and polymer chains of light atoms (-CH<sub>3</sub>-SH<sub>3</sub>-) in the formation of the Eliashberg function.

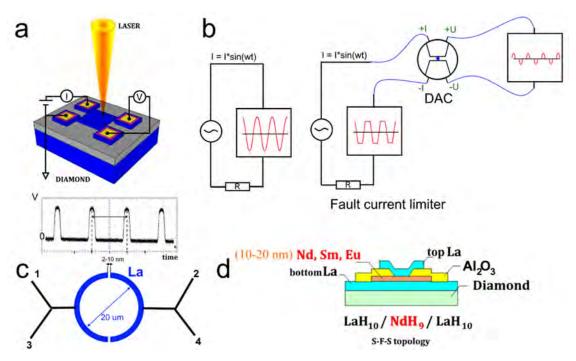
Tremendous recent progress in achieving near-room temperature superconductivity is primarily due to the use of a new material, metallic hydrogen, stabilized in superhydrides at high pressures. Using the elementary approach  $T_C \sim m_A^{0.5}$ , it is possible to achieve  $T_C = 29$  K and more in simple metals, for instance, in calcium at 216 GPa [291]. Considering hydrogen as an ordinary metal,  $T_C(H) \sim T_C(Ca) \times m_{Ca}^{0.5} = 183$  K, not so far from  $T_C$  in polyhydrides. It means that, by the order of magnitude, superconductivity in polyhydrides is limited just as it is limited in ordinary metals. Considering a sequential pressure rise for simple metals such as La, it is easy to verify by direct calculation that  $\lambda(P \rightarrow \infty)$  is 0. For example, for pure lanthanum,  $\lambda(100 \text{ GPa}) \approx 1$ ,  $\lambda(130 \text{ GPa}) \approx 0.65$  [246], whereas at 1000 GPa we have  $\lambda(1 \text{ TPa}) = 0.17$ ,  $\omega_{log} = 870$  K, and  $T_C = 0$  K (see also a similar work on superconductivity in sulfur [292]). The idea that superconducting polyhydrides do not differ in their properties from ordinary metals is a red thread running through my thesis, so there must be

Style

Asymptotic theorem:  $T_C(P \rightarrow \infty) = 0$  for any material. The superconductivity temperature of any material tends to zero as the pressure increases starting from some pressure value.

A few words about possible applications of superconducting polyhydrides. At the moment, it is impossible to create more or less long polyhydride samples when synthesizing on diamond anvils. Therefore, the only application area open to polyhydrides is their use as sensors. First of all, we are talking about the application of micron samples of polyhydrides for photon counting as single-photon detectors operating in a wide range of incident light wavelengths. Directly, the scheme for implementing such a detector is reduced to adding a focusing lens to the diamond cell design, cooling the system below the critical temperature, and using a near-critical current with detection of the resulting potential difference in a 4-contact circuit (Figure 72a). When a single photon hits the polyhydride sample, it is absorbed by the highly dispersed hydride powder with destruction of the superconducting state and the appearance of a finite potential difference between the electrodes.

Another application of superhydrides is their use for the design of SQUID magnetometers on diamond anvils that do not require deep cooling. However, because of the small loop size (d~20-40  $\mu$ m, Figure 72c) such a SQUID will not have a record sensitivity ( $\Phi_0 \times S \approx 6 \mu$ T). Narrow superconducting transitions ( $\Delta T_C = 3$ -4 K) also allow detection of changes in external parameters: temperature, magnetic field and current, by recording the electrical resistance in 10  $\mu$ Ω steps (typical resistance noise level). With this approach, we can expect to confidently detect changes in the magnetic field  $\Delta H = 10^{-5}$  T and in the temperature of  $5\times10^{-5}$  K. Temperature detection is of greatest interest, because in this case the superconductor can be used to study the thermal effects of phase transitions in DACs. Such a sensor can be placed directly on a diamond anvil, next to the object under study. Despite the narrowness of the phase transition region, it can be shifted to the desired region by using higher electric current, applying an external magnetic field, changing the pressure or by introducing magnetic impurities into the superconductor.



**Figure 72**. Possible applications of superhydrides as (a) single-photon detectors; (b) current limiters and nonlinear electronic elements; (c) SQUID magnetometers and magnetic field sensors; (d) gates controlled by magnetic field in SFS contacts.

Superconductors with high  $T_{\rm C}$ , a sharp superconducting transition, and a low upper critical magnetic field are very important for applications. For such materials we can control the SC transition by readily available magnetic fields. Alternatively, such control can be achieved by fabricating S-F-S contacts (Figure 72d), where a magnetic superhydride layer (GdH<sub>x</sub> or EuH<sub>x</sub>) will be placed between thin layers of superconducting hydrides. Such contacts in high-pressure diamond cells can also be used as a nonlinear electronic element, together with the use of superhydrides as current limiters (Figure 72b).

The problem here, however, will be the large resistance of the feeding wires ( $\sim$  60-80  $\Omega$ ) compared to the resistance of the sample itself (0.1-1  $\Omega$ ). This factor also limits the study of electrochemical processes in high-pressure DACs: in order to apply a potential difference of 0.5-1 V to the sample, a current of at least 0.5-1 A should pass through the wires, which is fraught with destruction of copper contacts.

## 6.4 Conclusions of Chapter 6

- 1. Most of the high-temperature superconducting metal hydrides are concentrated in the "superconducting belt" of the periodic table. The metals forming the high- $T_C$  hydrides are Sc-Y-La-Ac ( $d^l$  belt), Mg-Ca-Sr-Ba-Ra ( $d^0$  belt), Ce ( $s^2f^ld^l$ ), and Zr-Hf-Th ( $s^2d^2$ )
- 2. The optimal hydrogen content in superconductors corresponds to XH<sub>n</sub> ( $n = 10 \pm 4$ ), a composition that can be formed at pressures of about 150–250 GPa. Both  $T_{\rm C}(P)$  and  $T_{\rm C}(H)$  are dome-shaped dependencies. If the composition deviates from the optimal values, the superconductivity parameters rapidly decrease.
- 3. The superconducting properties of hydrides greatly diminish as the number of *d*-and *f*-electrons increases because of electron scattering on anisotropic centers (metal atoms) with a change in spin and appearance of magnetic ordering.
- 4. The pressure required for the stabilization of polyhydrides decreases going down the periodic table along with a decrease in electronegativity and an increase in the atomic radius. The importance of the averaged phonon energy makes it difficult to reduce the pressure without losing the SC properties of thermodynamically stable hydrides. Numerically,  $T_{\rm C}$  [K] ~ P [GPa] and does not exceed 2P [GPa].
- 5. The rules of B. Matthias [270, 293], developed for the search of low-temperature BCS superconductors, remain valid for polyhydrides:
  - The best superconducting polyhydrides have cubic and hexagonal crystal structures.
  - High density of electronic states, which does not go into spin splitting and magnetization, contributes to superconductivity in hydrides. The electronic density of states at the Fermi level *N*<sub>F</sub> of the highest-*T*<sub>C</sub> superconducting hydrides is ~1 state/Ry/H atom.
  - Magnetic atoms suppress superconductivity. Lanthanide polyhydrides are mostly non-superconducting.
  - Molecular polyhydrides are bad superconductors.

6. The study of superconductivity in ternary hydrides shows that the most interesting properties should be exhibited by compounds composed of elements of the "superconductivity belt". The most promising are cubic and hexagonal ternary hydrides with a hydrogen content expressed as  $(X,Y)H_n$   $(n = 10 \pm 4)$ , obtained by regular substitution of metal atoms in clathrate binary superhydrides at pressures of 200-250 GPa.

(n) 23 fuly 22 (2) 24 fuly 22

## **Conclusions**

Over the six years of research (2015-2021) following the prediction and subsequent experimental discovery of the unique properties of H<sub>3</sub>S [5], polyhydrides have become an established new class of superconducting materials with record critical parameters. There is no doubt that many more exciting discoveries await us in this field. Hydrogen is an ideal element for the realization of high-temperature superconductivity with the electron-phonon mechanism of coupling. We just need to find polyhydrides that require minimal pressure for their stabilization and maintain record-high critical temperatures. Ternary hydrides of metals from the "superconductivity belt" and hybrid metastable materials that combine both covalent bonds and a metal-stabilized hydrogen atom sublattice have a great potential in this regard.

The progress in the field of hydride superconductivity would not have been so fast and bright without the well-developed Bardeen-Cooper-Schrieffer-Migdal-Eliashberg strong electron-phonon interaction theory, evolutionary search methods for thermodynamically stable crystal structures (such as USPEX), and convenient Quantum ESPRESSO and EPW packages for ab initio calculations of the critical parameters of superconductors. The developers of the SSCHA package are noted for their contribution to understanding the importance of anharmonic effects in polyhydrides at ultrahigh pressures.

In this thesis, we tried to emphasize the importance and productivity of the interpenetration of theoretical calculations and experiments with DACs, which complement each other. We always use experiment to better understand theory, and theory better describe experiment.

Analyzing the data of the measurements in high-pressure diamond anvil cells in Chapter 1, we found that polyhydrides have all the properties of conventional BCS superconductors: the isotope effect, a sharp drop in the electrical resistance at the transition temperature, and  $T_{\rm C}$  dependence on the external magnetic field, current, and presence of magnetic impurities. However, given the very limited set of experimental techniques available for investigating matter at extreme pressures, the main parameters of the superconducting state of hydrides — the EPC parameter  $\lambda$ , superconducting gap  $\Delta$ ,

Eliashberg function  $\alpha^2 F(\omega)$ , and lower critical magnetic field  $\mu_0 H_{C1}$  — have yet to be determined.

The investigation of thorium polyhydrides at high pressures (Chapter 2), which played an important role in the formation of the Moscow high-pressure collaboration (Crystallography Institute RAS, Skolkovo Institute, and Lebedev Physical Institute), showed that high-temperature superconductivity in polyhydrides is not a unique property of H<sub>3</sub>S [5], discovered in 2015, but is inherent to a wide class of compounds. Our work allowed us to form an idea of individual families of polyhydrides (XH<sub>10</sub>, XH<sub>9</sub>, XH<sub>6</sub>, XH<sub>4</sub>), which were subsequently augmented by other members. Here, for the first time, we also encountered deviations of the experimental superconducting properties (*T*<sub>C</sub>) from their predicted values.

The study of the Y-H system at high pressures (Chapter 3) showed that despite the similarity of the chemical properties of lanthanum and yttrium, their interaction with hydrogen at high pressures proceeds differently. Instead of La-type hydrides, such as XH<sub>10</sub>, we observed formation of *Im-3m-YH*<sub>6</sub> and *P*6<sub>3</sub>/*mmc-YH*<sub>9</sub>. In our work, we pointed out the importance of considering anisotropy in calculations of the critical parameters of hexagonal polyhydrides (YH<sub>9</sub>, CeH<sub>9</sub>), as well as the anomalous properties of YH<sub>6</sub>: an extremely high upper critical magnetic field and unexpected suppression of superconductivity, which cannot be explained within the known models.

In Chapter 4, we characterized a group of magnetic lanthanide superhydrides (Pr, Nd, Eu) which, despite the presence of a metallic hydrogen sublattice, do not display superconducting properties (because of the scattering of Cooper pairs on the magnetic moments of atoms) but can exhibit magnetic ordering at low temperatures. Lanthanide hydrides are extremely difficult for theoretical studies because of the need to take into account the strong correlations in the *f*-shell electrons. This group of metals is very convenient for controlling the properties of superconductors. Small additions of lanthanides make it possible to significantly reduce the critical temperature of superconductivity where necessary. In addition, on the basis of lanthanides (e.g., Gd), it is possible to create S-F-S interfaces that are very sensitive to an external magnetic field.

In addition to binary hydrides, in Chapter 5 we considered the properties of ternary polyhydrides of the La-Y-H, La-Nd-H, and La-Ce-H systems. It turned out that the synthesis of ternary hydrides often leads to formation of solid solutions in the metal sublattice, which have "trivial" properties and obey Anderson's theorem for superconductors. However, there are at least two scenarios in which the introduction of an additional atom into a binary hydride leads to new results: (1) stabilization of "impossible" compounds that cannot be obtained in binary metal-hydrogen systems, and (2) formation of true ternary polyhydrides, in case the properties of the two metals differ greatly from each other.

In the last part of this thesis, we answered the key question of the materials science of superconducting materials: what elements, in what crystal structure, and under what conditions should be combined to obtain a superconductor with the best properties. For binary and probably ternary hydrides the question is solved by introducing the so-called "superconductivity belt" – a series of elements with d<sup>0</sup>-d<sup>2</sup> electrons (13 metals: Mg, Ca, Sr, Ba, Sc, Y, La, Th, Ce, Ti, Zr, Hf, and Lu) which, by themselves and in combinations, form high-temperature superconducting polyhydrides at 150-250 GPa with a cubic or hexagonal crystal structure containing from 6 to 14 hydrogen atoms per metal atom and having an electronic state density of about 1 Ry<sup>-1</sup> per H atom. This reduces the search space for the best ternary hydrides from >4600 systems to about 60-70 most promising ones that are expected to be investigated experimentally.

Within this work, we developed a useful algorithm for analyzing experimental and theoretical data, which makes it possible to extract maximum information from published transport measurements for superhydrides.

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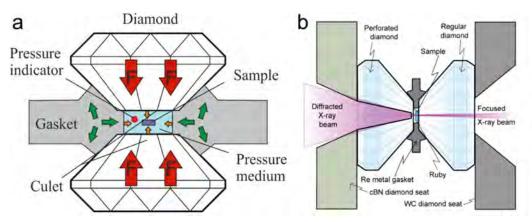
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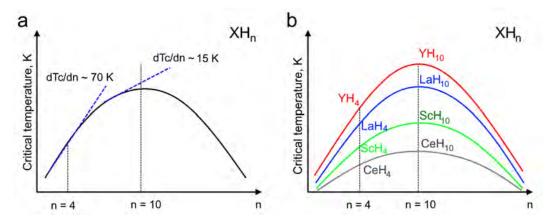
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## **Appendix**



**Figure A1.** High-pressure diamond anvil cell design and X-ray diffraction study scheme.



**Figure A2.** Illustrations (qualitative) for the dependence of the critical temperature of binary hydrides on the number of hydrogen atoms in the chemical formula. (a) Bell-shaped  $T_{\rm C}(n)$  dependence, which illustrates the impossibility of a significant increase in  $T_{\rm C}$  when going from XH<sub>9</sub> to XH<sub>10</sub> superhydrides. (b) Illustration of the absence of  $T_{\rm C}(n)$  intersections for different metals. This idea allows one to search for the most promising superhydrides by comparing their lower hydrides at moderate pressures.

## Calculations of the basic parameters of the superconducting state. To

calculate the isotope coefficient α, the Allen–Dynes interpolation formulas were used:

$$\beta_{McM} = -\frac{d \ln T_C}{d \ln M} = \frac{1}{2} \left[ 1 - \frac{1.04(1+\lambda)(1+0.62\lambda)}{\left[\lambda - \mu^*(1+0.62\lambda)\right]^2} \mu^{*2} \right]$$
 (A1)

$$\beta_{AD} = \beta_{McM} - \frac{2.34 \mu^{*2} \lambda^{3/2}}{(2.46 + 9.25 \mu^{*}) \cdot ((2.46 + 9.25 \mu^{*})^{3/2} + \lambda^{3/2})} - \frac{130.4 \cdot \mu^{*2} \lambda^{2} (1 + 6.3 \mu^{*}) \left(1 - \frac{\omega_{\log}}{\omega_{2}}\right) \frac{\omega_{\log}}{\omega_{2}}}{\left(8.28 + 104 \mu^{*} + 329 \mu^{*2} + 2.5 \cdot \lambda^{2} \frac{\omega_{\log}}{\omega_{2}}\right) \cdot \left(8.28 + 104 \mu^{*} + 329 \mu^{*2} + 2.5 \cdot \lambda^{2} \left(\frac{\omega_{\log}}{\omega_{2}}\right)^{2}\right)}$$
(A2)

where the last two correction terms are usually small ( $\sim 0.01$ ).

The superconducting transition temperature  $T_C$  was estimated using the Allen–Dynes formula in the following form:

$$T_{\rm C} = \omega_{\rm log} \frac{f_1 f_2}{1.2} \exp\left(\frac{-1.04(1+\lambda)}{\lambda - \mu^* - 0.62\lambda\mu^*}\right)$$
 (A3)

where

$$f_1 f_2 = \sqrt[3]{1 + \left(\frac{\lambda}{2.46(1 + 3.8\mu^*)}\right)^{\frac{3}{2}}} \cdot \left(1 - \frac{\lambda^2 (1 - \omega_2/\omega_{\log})}{\lambda^2 + 3.312(1 + 6.3\mu^*)^2}\right)$$
(A4)

The EPC constant  $\lambda$ , logarithmic average frequency  $\omega_{log}$ , and mean square frequency  $\omega_2$  were calculated as

$$\lambda = \int_{0}^{\omega_{\text{max}}} \frac{2\alpha^{2} F(\omega)}{\omega} d\omega$$
 (A5)

$$\omega_{\log} = \exp\left(\frac{2}{\lambda} \int_{0}^{\omega_{\max}} \frac{d\omega}{\omega} \alpha^{2} F(\omega) \ln(\omega)\right)$$

$$\omega_{2} = \sqrt{\frac{1}{\lambda}} \int_{0}^{\omega_{\max}} \left[\frac{2\alpha^{2} F(\omega)}{\omega}\right] \omega^{2} d\omega$$
(A6)

where  $\mu^*$  is the Coulomb pseudopotential, for which we used widely accepted lower and upper bounds of 0.10 and 0.15.

The Sommerfeld parameter was found as

$$\gamma = \frac{2}{3}\pi^2 k_{\rm B}^2 N(0)(1+\lambda) \tag{A7}$$

where N(0) is the total density of electronic states at the Fermi level **per spin**. It was used to estimate the upper critical magnetic field and superconducting gap using the known semiempirical equations of the BCS theory (see Ref. [A1], eq 4.1 and 5.11), working satisfactorily for  $T_{\rm C}/\omega_{\rm log} < 0.25$ :

$$\frac{\gamma' T_{\rm C}^2}{(\mu_0 H_{\rm C_2}(0))^2} = 0.168 \left[ 1 - 12.2 \left( \left( \frac{T_{\rm C}}{\omega_{\rm log}} \right)^2 \ln \left( \frac{\omega_{\rm log}}{3T_{\rm C}} \right) \right) \right] \tag{A8}$$

$$\frac{2\Delta(0)}{k_B T_C} = 3.53 \left[ 1 + 12.5 \left( \frac{T_C}{\omega_{\log}} \right)^2 \ln \left( \frac{\omega_{\log}}{2T_C} \right) \right]$$
 (A9)

where  $\gamma' = 2\gamma$ .

Regarding eq A8, the upper critical field naturally depends on the amount of impurities and defects and changes significantly from sample to sample. Only the lower limit for  $\mu_0H_{C2}$ , which corresponds to an ideal crystal, can be estimated. However, eq A8, originally designed to calculate the thermodynamic critical field  $\mu_0H_C$  of superconductors, also gives a good estimate of the upper critical field of hydrides if the full DOS expressed per mole of a hydride is used in the Sommerfeld parameter ( $\gamma$ '). In this case,  $\mu_0H_{C2}$  is expressed in teslas in eq A8.

The lower critical magnetic field was calculated according to the Ginzburg–Landau theory [A2]

$$\frac{H_{C1}}{H_{C2}} = \frac{\ln \kappa}{2\sqrt{2}\kappa^2}, \quad \kappa = \frac{\lambda_L}{\xi}$$
 (A10)

where  $\lambda_L$  is the London penetration depth, which can be estimated as

$$\lambda_L = 1.0541 \cdot 10^{-5} \sqrt{\frac{m_e c^2}{4\pi n_e e^2}} \tag{A11}$$

where c is the speed of light, e is the electron charge,  $m_e$  is the mass of an electron, and  $n_e$  is the effective concentration of charge carriers expressed via the average Fermi velocity  $V_F$  in the Fermi gas model:

$$n_e = \frac{1}{3\pi^2} \left(\frac{m_e V_F}{\hbar}\right)^3 \tag{A12}$$

The average Fermi velocity can be estimated as

$$V_F = \frac{\pi \cdot \Delta(0)}{\hbar} \xi \tag{A13}$$

where  $\xi$  is the coherence length calculated from the experimental upper critical magnetic field as  $\xi = \sqrt{\hbar/2e(\mu_0 H_{C2})}$ . The average Fermi velocity can also be calculated directly from the band structure:

$$\langle V_{\rm F} \rangle = \sqrt{\frac{\sum_k \delta(E_k - E_F) V_k^2}{\sum_k \delta(E_k - E_F)}} = \frac{a}{\pi \hbar} \sqrt{\frac{\sum_k \delta(E_k - E_F) (dE_k/dt)^2}{\sum_k \delta(E_k - E_F)}}$$
(A14)

where  $dE_i/dk$  ( $k = -\pi/a \dots \pi/a$ ) was replaced with  $dE_i/dt$  ( $t = -1 \dots 1$ ).

It is possible to estimate the scattering time  $\tau$  and scattering length L in discovered superhydrides in the dirty and strong-coupling limits using the interpolation formula proposed by Carbotte [A1] (eq 7.11)

$$\mu_0 H_{C2}(0) = \frac{\pi k_B T_C(1+\lambda)}{3.561(eD)} \left[ 1 + 3.3 \left( \frac{T_C}{\omega_{\log}} \right) - 4.8 \left( \frac{T_C}{\omega_{\log}} \right)^2 \ln \left( \frac{\omega_{\log}}{T_C} \right) \right]$$
(A15)

where e is the electron charge,  $D = 1/3eV_F^2\tau$  is the diffusion coefficient, and  $\tau$  is the scattering time ( $\tau \sim L/V_F$ ).

To process the results of the measurements of the critical temperatures in external magnetic fields, we used the Werthamer–Helfand–Hohenberg (WHH) model simplified by Baumgartner et al. [A3]

$$\mu_0 H_{C2}(T) = \frac{\mu_0 H_{C2}(0)}{0.693} \left( \left( 1 - \frac{T}{T_C} \right) - 0.153 \cdot \left( 1 - \frac{T}{T_C} \right)^2 - 0.152 \cdot \left( 1 - \frac{T}{T_C} \right)^4 \right) \tag{A16}$$

More accurate fit to the WHH model for  $\mu_0H_{C2}(T)$  was applied to validate the results of the approximate formula of Baumgartner et al. (eq A16):

$$\ln \frac{T_{\rm C}}{T} = \sum_{k=-\infty}^{\infty} \left\{ \frac{1}{|2k+1|} - \frac{1}{|2k+1| + h\frac{T_{\rm C}}{T} + \left(h\frac{T_{\rm C}}{T}\right)^2 \frac{\alpha^2}{|2k+1| + (h+\lambda_{\rm SO})\frac{T_{\rm C}}{T}}} \right\}$$

$$h = -\frac{4}{\pi^2} \frac{B_{\rm C2}(T)}{T_{\rm C}({\rm d}B_{\rm C2}/{\rm d}T)_{\rm Tc}}$$
(A17)

where  $\alpha$  is the Maki parameter which is related to the spin-limited critical field, and  $\lambda_{SO}$  is the spin-orbit interaction parameter.

The critical temperature of the superconducting transition was calculated using the Matsubara-type linearized Eliashberg equations [A4]:

$$\hbar\omega_j = \pi(2j+1)k_BT, \qquad j = 0, \pm 1, \pm 2, \dots$$
 (A18)

$$\lambda(\omega_i - \omega_j) = 2 \int_0^\infty \frac{\omega \alpha^2 F(\omega)}{\omega^2 + (\omega_i - \omega_j)^2} d\omega$$
 (A19)

$$\Delta(\omega = \omega_i, T) = \Delta_i(T) =$$

$$= \pi k_{\rm B} T \sum_{i} \frac{\left[\lambda(\omega_i - \omega_j) - \mu^*\right]}{\rho + \left|\hbar\omega_j + \pi k_{\rm B} T \sum_{k} ({\rm sign} \, \omega_k) \cdot \lambda(\omega_i - \omega_j)\right|} \cdot \Delta_j(T)$$
(A20)

where T is the temperature in kelvins,  $\mu^*$  is the Coulomb pseudopotential,  $\omega$  is the frequency in hertz,  $\rho(T)$  is the pair-breaking parameter, the function  $\lambda(\omega_i - \omega_j)$  is related to an effective electron–electron interaction via the exchange of phonons [A5]. The transition temperature can be found as the solution of the equation  $\rho(T_C) = 0$ , where  $\rho(T)$  is defined as  $\max(\rho)$ , provided that  $\Delta(\omega)$  is not a zero function of  $\omega$  at a fixed temperature.

These equations can be rewritten in a matrix form as [A6]

$$\rho(T)\psi_m = \sum_{n=0}^{N} K_{mn}\psi_n \Leftrightarrow \rho(T) \begin{pmatrix} \psi_1 \\ \dots \\ \psi_N \end{pmatrix} = \begin{pmatrix} K_{11} & \dots & K_{1N} \\ \dots & K_{ii} & \dots \\ K_{N1} & \dots & K_{NN} \end{pmatrix} \times \begin{pmatrix} \psi_1 \\ \dots \\ \psi_N \end{pmatrix}$$
(A21)

where  $\psi_n$  relates to  $\Delta(\omega, T)$  and

$$K_{mn} = F(m-n) + F(m+n+1) - 2\mu^*$$

$$-\delta_{mn} \left[ 2m+1 + F(0) + 2\sum_{i=1}^{m} F(i) \right]$$
(A22)

$$F(x) = F(x,T) = 2 \int_0^{\omega_{\text{max}}} \frac{\alpha^2 F(\omega)}{(\hbar \omega)^2 + (2\pi k_{\text{B}} T x)^2} \hbar \omega d\omega$$
 (A23)

Copital

where  $\delta_{nn} = 1$  and  $\delta_{nm} = 0$  ( $n \neq m$ ), which is a unit matrix. Now we can replace the equation  $\rho(T_C) = 0$  with the vanishing of the maximum eigenvalue of the matrix  $K_{nm}$ :  $[\rho = \max_e \text{eigenvalue}(K_{nm}) = f(T), f(T_C) = 0].$ 

The calculations within the Migdal–Eliashberg (ME) approach can be done using the full ME equations on the imaginary axis [A4]:

$$\Delta_n = \frac{\pi}{\beta} \sum_{m=-\text{Max}}^{\text{Max}} \frac{\lambda(i\omega_n - i\omega_m) - \mu^* \theta(\omega_c - |\omega_m|)}{\sqrt{\omega_m^2 Z_m^2 + {\Delta_m}^2}} \Delta_m$$
(A24)

$$Z_n = 1 + \frac{\pi}{\beta \omega_n} \sum_{m = -\text{Max}}^{\text{Max}} \frac{\lambda(i\omega_n - i\omega_m)}{\sqrt{\omega_m^2 Z_m^2 + \Delta_m^2}} \omega_m Z_m$$
(A25)

$$\lambda(z) = \int_0^{\omega_c} \frac{2\alpha^2 F(\omega)}{\omega^2 - z^2} \omega d\omega$$
 (A26)

where  $\Delta_n$  is the order parameter function,  $Z_n$  is the wave function renormalization factor,  $\theta(x)$  is the Heaviside function,  $\omega_n = \pi k_{\rm B} T (2n-1)$  is the *n*th Matsubara frequency,  $\beta = k_{\rm B} T$ ,  $\mu^*$  is the Coulomb pseudopotential,  $\lambda(z)$  is the electron–phonon pairing kernel, and  $\omega_c$  is the cutoff energy.

In accordance with the Debye model [A7, 8], the Debye temperature  $\theta_D$  and both sound velocities were calculated:

$$\theta_{\rm D} = \frac{h}{k_{\rm B}} \sqrt[3]{\frac{9n_{\rm f.u.}V_{\rm f.u.}}{4\pi(v_{\rm l}^{-3} + 2v_{\rm t}^{-3})}} = \frac{h\sqrt{N_{\rm A}}}{k_{\rm B}} \left\{ \sqrt[3]{\frac{9n_{\rm f.u.}}{4\pi}} \cdot \frac{V^{1/6}_{\rm f.u.}}{\sqrt{M}} \cdot \frac{\sqrt{GB + (4/3)G^2}}{\sqrt[3]{2(B + (4/3)G)^{3/2} + G^{3/2}}} \right\}$$
(A27)

$$v_{\rm l}^{-3} = \left(\frac{\rho}{B + (4G/3)}\right)^{3/2} \tag{A28}$$

$$v_{\rm t}^{-3} = \left(\frac{\rho}{G}\right)^{3/2} \tag{A29}$$

**Details of the SCDFT calculations.** The gap equation in the density functional theory for superconductors (SCDFT) [A9, 10] for evaluating  $T_C$  is

$$\Delta_{nk}(T) = -Z_{nk}(T)\Delta_{nk}(T) - \frac{1}{2} \sum_{n'k'} K_{nkn'k'}(T) \frac{\tanh \beta E_{n'k'}}{E_{n'k'}} \Delta_{n'k'}(T)$$
(A30)

with  $E_{n'k'} = \sqrt{\xi_{n'k'}^2 + \Delta_{n'k'}^2}$ ,  $\beta = 1/k_B T$ ,  $Z_{nk} = Z_{nk}^{\text{ph}}$ ,  $K_{nkn'k'} = K_{nkn'k'}^{\text{ph}} + K_{nkn'k'}^{\text{el}}$ , where the screened Coulomb repulsion is described by  $K^{\rm el}_{nkn'k'}$ , the phonon-mediated electronelectron attraction is described by  $K^{\rm ph}_{nkn'k'}=K^{\rm ph}(\xi_{nk},\xi_{n'k'})$  , and the massrenormalization by the phonon exchange is described by  $Z_{nk}^{\rm ph}=Z^{\rm ph}(\xi_{nk})$ . The "order parameter"  $\Delta_{nk}(T)$ , which does not depend on the frequency  $\omega$  but on the eigenstate of  $\widehat{H}_e$ labeled by the band index n and crystal wavenumber k, is defined in a way different from that in the Eliashberg equation and is proportional to the thermal average  $\langle c_{nk\uparrow}c_{n-k\downarrow}\rangle$ , with  $c_{nk\sigma}$  being the annihilation operator of the spin state  $nk\sigma$  [A9].  $\xi_{nk}$  is the energy eigenvalue of state nk measured from the Fermi level, as calculated using the standard Kohn-Sham equation for the normal state.  $Z_{nk}(T)$  and  $K_{nkn'k'}(T)$  represent the electron-phonon and electron-electron Coulomb interaction effects, the formulas for which have been constructed so that the self-energy corrections are almost the same as those in the Eliashberg equations with the Migdal approximation [A4, 11-13]. We calculated the screened electron-electron Coulomb interaction within the random phase approximation [A14], the electronic density of states (DOS) of the normal state was used for solving eq A30. We generated dense  $\xi_{nk}$  data points entering eq A30 around  $E_F$  by a linear interpolation from the values on a dense k-point mesh. Differently from the Eliashberg equation, frequency ω is not in the SCDFT gap equation. It has been nevertheless shown that the retardation effect [A15] is approximately incorporated [A10]. The absence of ω enables us to treat all electronic states in a wide energy range of about  $\pm 30 \text{ eV}$  with a feasible computational cost, thanks to which we can estimate  $T_{\rm C}$  without the empirical Coulomb pseudopotential  $\mu^*$ . We used this gap equation only to estimate  $T_C$  as the temperature where its nontrivial solution vanishes.

The *nk*-averaged formulas for  $K^{\rm ph}$  [A10] and  $Z^{\rm ph}$  [A16] were employed to use calculated  $\alpha^2 F(\omega)$ . The *nk*-dependent formula for  $K^{\rm ph}$  [A17] was used, which includes the

dynamical and static screening effect on the Coulomb repulsion. The dielectric matrices  $\varepsilon(i\omega)$  were represented with the auxiliary plane wave energy cutoff of 12.8 Ry and calculated within the random-phase approximation [A14], and electron–electron Coulomb matrix elements were evaluated as

$$W_{nk,n'k'}(i\omega) = \langle \psi_{nk}\psi_{n-k}|\varepsilon^{-1}(i\omega)V|\psi_{n'k'}\psi_{n'-k'}\rangle$$
(A31)

where *V* is the bare Coulomb interaction. Low-energy Kohn–Sham states were accurately treated with the weighted random sampling scheme in solving the gap equation. The Kohn–Sham energy eigenvalues and matrix elements for the sampled states were evaluated using the linear interpolation from the data on equal meshes.

**Details of the magnetic calculations.** We explored the magnetic properties of polyhydrides, starting with determining their most stable collinear magnetic ordering — ferromagnetic (FM) or antiferromagnetic (AFM). The AFM configurations were generated using the derivative structure enumeration library enumlib. The converged parameters of our calculations were: 670 eV for the kinetic energy cutoff of the plane wave basis set, l = 30 for the automatic generation of Γ-centered Monkhorst–Pack grids as implemented in the VASP code, and the smearing parameter σ = 0.1 with the Methfessel–Paxton method of order 1. These parameters give us a maximum error of 1 meV/atom with respect to more accurate calculations. The Ising Hamiltonian was used to model the magnetic interactions, considering them only up to third nearest neighbors. We used the Ising Hamiltonian together with the relaxed enthalpies of the FM and AFM configurations to compute the coupling constants  $J_{1,2,3}$ . The critical temperature of our Heisenberg model was obtained from a Monte Carlo simulation as implemented in VAMPIRE software. The size of the simulation box was  $25 \times 25 \times 25$  nm after the convergence tests, the transition temperature was taken as the value where the normalized mean magnetization length goes below 0.25.

**Details of the molecular dynamics calculations.** The dynamic stability and phonon density of states of polyhydrides were studied using classical molecular dynamics and the interatomic potential based on machine learning. We used the Moment Tensor

Potential (MTP) [A18] whose applicability in calculations of the phonon properties of materials has been demonstrated previously. Moreover, within this approach we can explicitly take into account the anharmonicity of hydrogen vibrations. To train the potential, we first simulated polyhydrides in quantum molecular dynamics in an NPTensemble at 10, 100, and 300 K, with a duration of 5 ps using the VASP code [A19, 20]. We used the PAW PBE pseudopotentials for H, and  $2\pi \times 0.06 \text{ Å}^{-1}$  k-mesh with a cutoff energy of 400 eV. For training the MTP, sets of structures were chosen using active learning. We checked the dynamical stability of studied polyhydrides with the obtained MTPs via several runs of molecular dynamics calculations at 300 K. First, the NPT dynamics simulations were performed in a supercell with about 1000 atoms for 40 ps. During the last 20 ps, the cell parameters were averaged. In the second step, the coordinates of the atoms were averaged within the NPT dynamics with a duration of 20 ps and the final structures were symmetrized as implemented in T-USPEX method. Then, for the structures of polyhydrides relaxed at 10, 100, and 300 K, the phonon density of states (DOS) was calculated within the MTP using the velocity autocorrelator (VACF) separately for each type of atoms:

$$g(\theta) = 4 \int_0^\infty \cos(2\pi\theta t) \frac{\langle \overline{V(0)V(t)} \rangle}{\langle V(0)^2 \rangle} dt$$
 (A32)

where V is the velocity of atoms. The calculations were carried out in a  $20\times20\times20$  supercell. The velocity autocorrelator was calculated using molecular dynamics, then the phonon DOS was obtained.

The calculation of the anharmonic correction to the critical temperature of superconductivity is based on the constant DOS approximation [A21], which is applicable if the density of electronic states N(E) in the vicinity of the Fermi energy has no pronounced features (e.g., Van Hove singularities) and can be approximately represented as a constant. In this case, the main contribution to the shape of the Eliashberg function is made by the phonon density of states (denoted here for convenience as  $F(\omega)$ ), and an auxiliary function of the electron–phonon matrix elements contribution  $\alpha^2(\omega) = \alpha^2 F(\omega)/F(\omega)$  is close to a constant. The exceptions are those frequency zones where both functions  $\alpha^2 F(\omega)$  and

 $F(\omega) \sim 0$ , and where the calculation of their ratio is not sufficiently stable and accurate, as well as in the acoustic frequency region.

The procedure for calculating the Eliashberg anharmonic functions of polyhydrides consisted of several simple steps:

- **a.** Calculation of the harmonic Eliashberg function and phonon density of states in Quantum ESPRESSO [A22] using the tetrahedral method [A23].
- **b.** Calculation of the anharmonic phonon spectrum using the molecular dynamics at a temperature near the superconducting transition ( $T_{\rm C}$ ).
- $\mathbf{c}$ . Equalization of a unit cell (N, the number of atoms in a formula unit) by calibration of integrals

$$\int F_{\text{harm}}(\omega)d\omega = \int F_{\text{anh}}(\omega)d\omega = 3N$$

- **d.** Calculation of the contribution of the electron density of states to the Eliashberg function for the harmonic case:  $\alpha^2(\omega) = \alpha^2 F(\omega)/F(\omega)$ .
- **e.** Reduction of the function  $\alpha^2(\omega) \ge 0$  to the same mesh on the energy scale that was used to calculate the anharmonic phonon spectrum by linear interpolation. All obtained negative values  $\alpha^2(\omega) < 0$  were set to zero. Smoothing the obtained  $\alpha^2(\omega)$  to eliminate the influence of "empty" frequency zones where both  $\alpha^2 F(\omega)$  and  $F(\omega) \sim 0$ .
- **f.** Calculation of the Eliashberg anharmonic function as the product of the electronic contribution and the anharmonic density of phonon states  $\alpha^2(\omega)F_{anh}(\omega)$ .

Details of the upper critical magnetic field calculations. As already mentioned, the upper critical field depends significantly on the quality of the sample. Different research groups obtain different estimates of  $\mu_0 H_{C2}(0)$  for the same polyhydrides. Therefore, an exact calculation of  $\mu_0 H_{C2}(0)$  has no practical importance. Nevertheless, some estimating models can be suggested.

In 2018-2021, our group in Moscow actively used the interpolation formula (A8) to estimate  $\mu_0 H_{C2}(0)$ . As Table A3 and Figure A3 show, this formula gives underestimated values and is applicable only for polyhydrides with small  $T_C < 100$  K and  $\mu_0 H_{C2}(0) < 60$  T:

$$\frac{\gamma'^{\mathsf{T}_{\mathsf{C}}^{2}}}{(\mu_{0}H_{\mathcal{C}_{2}}(0))^{2}} = 0.168 \left[ 1 - 12.2 \left( \left( \frac{T_{\mathcal{C}}}{\omega_{log}} \right)^{2} ln \left( \frac{\omega_{log}}{3T_{\mathcal{C}}} \right) \right) \right]. \tag{A8}$$

J. Carbotte [A1] proposed formulas to estimate  $\mu_0H_{C2}(0)$  within an ideal crystal ("clean" limit, A34) and within a heavily contaminated sample ("dirty" limit, A35). The problem of using these formulas is that they require knowledge of  $V_F$  – the averaged Fermi velocity, EPC coefficient  $\lambda$ , and the electron mean free path. Moreover, the dependence on these parameters is very strong, which leads to a large uncertainty in the calculated value of  $\mu_0H_{C2}(0)$ .

In the "clean" limit, Ref. [A1], eq. 7.17:

$$H_{C2}^{cl}(0) = \left(\frac{\pi}{2}\right)^2 e^{-\gamma + 2} \frac{2T_C^2(1+\lambda)^2}{eV_F^2} \left(1 + 1.44 \frac{T_C}{\omega_{log}}\right),\tag{A34}$$

where  $\gamma = 0.577$ , e – is the absolute electron charge,  $V_F$  – the averaged Fermi velocity. For a rough estimate, we always used  $V_F = 5 \times 10^5$  m/s (see also [A24]).

In the dirty limit, Ref. [A1], eq. 7.17

$$H_{C2}^{di}(0) = \frac{\pi}{2} e^{-\gamma} \frac{T_C(1+\lambda)}{eD} \left( 1 + 3.3 \frac{T_C}{\omega_{log}} - 4.8 \left( \frac{T_C}{\omega_{log}} \right)^2 \ln \left( \frac{\omega_{log}}{T_C} \right) \right), \tag{A35}$$

where the diffusion coefficient D =  $1/3~V_F^2 \times \tau$ , and  $\tau$  – the electron scattering time on impurities and defects.

**Table A1.** Parameters of the superconducting state of pure metals. Fixed the Fermi velocity  $V_F = 5 \times 10^5$  m/s averaged over BZ was used to calculate  $\mu_0 H_{C2}(0)$  via eq. A34 and A36.

Element	λ[Α25]	ω <sub>log</sub> , K	Тс, К	Exp. $\mu_0 H_{C2}(0)$ , T	Predicted $\mu_0 H_{C2}(0)$ , T (eq. A36)
Nb [A26]	1.05	229.0	9.27	0.425	0.537
V [A26]	0.83	330.0	5.385	0.302	0.140
Sn [A27]	0.72	165.0	3.72	0.031	0.059
Ta [A27]	0.73	213.0	4.48	0.083	0.087

Comparison with experimental data for pure metals (Table A1) allows us to refine the proportionality factor in eq. A34 provided that  $V_F = 5 \times 10^5$  m/s:

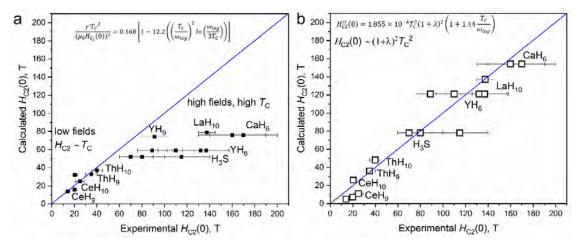
$$H_{C2}^{cl}(0) = 1.4061 \times 10^{-3} T_C^2 (1+\lambda)^2 \left(1 + 1.44 \frac{T_C}{\omega_{log}}\right),$$
 (A36)

where  $T_{\rm C}$  and  $\omega_{\rm log}$  are in Kelvins,  $H_{\rm C2}$  – in Tesla. The comparison (Table A1) also shows that a qualitative agreement with the experimental data for pure metals can be achieved. However, the same formula for polyhydrides gives extremely overestimated results, so a different proportionality factor should be chosen for hydrides:

$$H_{C2}^{cl}(0) = 1.855 \times 10^{-4} T_C^2 (1+\lambda)^2 \left(1 + 1.44 \frac{T_C}{\omega_{log}}\right),$$
 (A37)

Comparison with experimental data for polyhydrides (Figure A3) shows satisfactory agreement between model and experiment at high  $T_{\rm C}$  and  $\mu_0 H_{\rm C2}(0)$ , which is further illustrated in Tables A2, A3, and worse agreement at low critical superconductivity temperatures (below 100 K), where  $\mu_0 H_{\rm C2}(0)$  follows a "linear" ( $H_{\rm C2}(0) \sim T$ ) mode. Formula A37 allows further simplification to

$$H_{C2}^{cl}(0) = 2.45 \times 10^{-4} T_C^2 (1 + \lambda)^2.$$
 (A38)



**Figure A3.** Correlation of the experimental upper critical fields and calculated values of  $\mu_0 H_{C2}(0)$  for superhydrides obtained using two models: a) linear  $H_{C2}(0) \sim N_F^{0.5} (1+\lambda)^{0.5} T_C$  and b) quadratic  $H_{C2}(0) \sim [(1+\lambda)T_C]^2$ . The data are taken from Table A2.

**Table A2.** Parameters of the superconducting state of polyhydrides. Fixed the Fermi velocity  $V_F = 5 \times 10^5$  m/s averaged over BZ was used to calculate  $\mu_0 H_{C2}(0)$  via eq. A37 and A38

Compound	λ*	ω <sub>log</sub> , K	$T_{\mathrm{C}}$ , K	Exp. $\mu_0 H_{C2}(0)$ , T	Predicted $\mu_0H_{C2}(0)$ , T (eq. A37)	Predicted $\mu_0H_{C2}(0)$ , T (eq. A38)
$H_3S$	1.84	1080.0	203.0	60-80	78	81
				60-100		
$LaH_{10}$	2.06	1340.0	250.0	90-140	137	143
				130-145		
$YH_9$	2.75	885.0	243.0	78-105	215 <sup>a</sup>	203ª
$YH_6$	2.24	1330.0	224.0	107-157	121	129
				76-102		
				94-126		
				116-158		
$ThH_{10}$	1.91	1210.0	161.0	35-45	48	54
$ThH_9$	1.73	960.0	146.0	30-40	36	39
$YH_4$	1.10	1080.0	88.0	18-22	$7.0^{\rm b}$	8
CeH <sub>9</sub>	1.46	650.0	90.0	21-29	11 <sup>b</sup>	12
$CeH_{10}$	2.0	1000.0	115.0	18-23	26	29
$SnH_x$	1.24	890.0	72.0	12-16	$5.4^{\rm b}$	6
$CaH_6$	2.69	950.0	215.0	140-200	155	154
				130-190		

<sup>&</sup>lt;sup>a</sup> discrepancy  $H_{exp}$  and  $H_{theory}$  means that  $\lambda(YH_9)$  should be less than 2.75.

<sup>&</sup>lt;sup>b</sup> this model works not so good for low  $T_{\rm C}$  < 100 K.

Cormally v	Table A3. Superconducting properties of metal polyhydrides. The upper critical field data were taken from the relevant literature, only the GL and WHH models for $\mu_0H_{C2}(0)$ were used. The last column of the table is obtained using formula A8. All superconducting state parameters of polyhydrides taken from the literature should be regarded a s approximate.									
	Compound	Experimental pressure, GPa	Estimated T <sub>C</sub> ,	Experimental T <sub>C</sub> ,	EPC parameter (λ)	$\omega_{\mathrm{log}}, \mathbf{K}$	N(0), eV <sup>-1</sup> /metal	Upper critical magnetic field, T (experiment)	Upper critical magnetic field, T (theory, eq. A8)*	
	Im3̄m-H₃S	150	200 [A28]	203 [A29]	1.84 [A30]	1080 <sup>b</sup>	0.63 [A31]	60-80 <sup>a</sup> [A29 <sup>1</sup> 60-100 [A32]	52	
	$Fm\overline{3}m$ -LaH <sub>10</sub>	160	286 [A33, 34]	250 [A35]	2.06 [A36]	1340 <sup>b</sup>	0.88 [A37]	90-140 [A35] 130-145 [A38]	79	
	P63/mmc-YH9	200	303 [A34, 39]	243 [A40]	2.75	885	0.73	78-105 107-157 [A40]	74	
	$Im\bar{3}m$ -YH <sub>6</sub>	170	270 [A41]	224 [A42]	1.71 [A42]	1330 <sup>b</sup>	0.71 [A42]	76-102 94-126 116-158 [A42]	59	
	$Fm\overline{3}m$ -ThH <sub>10</sub>	170	160-193 [A43]	161 [A43]	1.91	1210	0.53	35-45 [A43]	37	
	P6 <sub>3</sub> /mmc-ThH <sub>9</sub>	150	145-161 [A43]	146 [A43]	1.73	960	0.52 [A43]	30-40 [A43]	33	
	I4/mmm-YH <sub>4</sub>	155	74-94 [A44]	88	1.10	1080	0.475	18-22 [A44]	16	
	P63/mmc-CeH9	110	117 [A45, 46]	~90 [A47]	1.46 [A47]	650	0.92	21-29 [A47]	25	
	$Fm\overline{3}m$ -CeH <sub>10</sub>	100	168 [A48]	~115 [A47]	2.0	1000	0.75 [A47]	18-23 [A47]	32	
	c-SnH <sub><math>x</math></sub>	190	81–97 [A49]	71 [A50]	1.24 [A51]	890	0.46	12-16 [A51]	14	
	Im3̄m-CaH <sub>6</sub>	170	220–235 [A52]	215 [A53]	2.69	950	0.91[A52]	140-200 [A53] 130-190 [A54]	76	

a – linear extrapolation gives  $H_{C2}(0) > 100 \text{ T for H}_3\text{S}$ .

Finally, another possibility is to use GLAG theory and sample resistivity values to estimate the average collision frequency. This formula was discussed earlier:

$$H_{C2}^{GLAG}(0) = \text{const} \times T_C \frac{(1+\lambda) \cdot R(T_C) \cdot h \cdot N_F}{V_{cell}}.$$
 (A39)

b – anharmonic calculations (SSCHA)

To compare the calculated values of the upper critical field with the experimental  $\mu_0H_{C2}(0)$ , we prepared Tables A4, A5. As the estimates of the resistance and thickness (h) of the samples show, the constant in the formula A39 can be chosen  $\approx 11$ . However, uncertainties in sample thickness for LaH<sub>10</sub> [A35] and H<sub>3</sub>S [A29], as well as large variations in the resistivity of hydride samples in different experiments (from 0.01  $\Omega$  to 15  $\Omega$ ), make impossible any accurate determination of the upper critical field within the GLAG model (Table A5).

**Table A4.** Experimental and calculated parameters of the normal state, resistive transitions, and superconducting state in various polyhydrides. The results of the estimation of the sample thickness (h), corrections to the  $T_{\rm C}$  arising from the anisotropy of the superconducting gap ( $T_{\rm C}^{\rm aniso} - T_{\rm C}^{\rm iso}$ ) and the anharmonism of hydrogen sublattice oscillations ( $T_{\rm C}^{\rm harm} - T_{\rm C}^{\rm anharm}$ ) are also given.

Compoun d	$R(300 \text{ K})/R(T_{\text{C}})$ [ $dR/dT$ ], $m\Omega/K$	Debye temperature $(\theta_D)$ , K	Exp. resistance in normal state $R(T_C)$ and $SC$ state $[R(0)]$ , $\Omega$	Resistivity in normal (ρ(T <sub>C</sub> )) and SC state μΩ·cm, [thickness of sample, μm]	$\lambda_{BG}$ and $[\omega_{log}, K]$ from $R(T)$	$T_{\rm C}$ and the width of the SC transition $[\Delta T_{\rm C}],{ m K}$	Broadening of SC transition $(d\Delta T_C/dH)$	Anisotropy ( $T_c^{aniso}$ – $T_c^{iso}$ ), K ( $T_c$ , SCDFT, K) [ $T_c^{harm}$ – $T_c^{anharm}$ , K]	Migdal-Eliashberg analysis (QE cales.) $\lambda$ , ( $\omega_{log}$ ) [ $T_{\rm C}({ m E})$ , $\mu*=0.1$ ]	N(0), eV <sup>-</sup>
YH <sub>6</sub> (166 GPa)	1.2 [0.131] At 200 GPa: 1.5 [3.7]	1200 ± 25	0.05 [21×10 <sup>-6</sup> ]	[<12]	2.71 [992]	224-227 [4] At 200 GPa: 215 [8]	no (166 GPa) 0.31 (213 GPa)	- (181) [23]	1.71 (1333) [247]	0.71
YH <sub>9</sub> (205 GPa)	1.33 [ <i>1.17</i> ]	$1275 \pm 10$ At 213 GPa: $1160 \pm 10$	0.225 [16×10 <sup>-6</sup> ]	12 – EPW 1.6×10 <sup>-3</sup> – SC [1]	2.66 [1093] At 213 GPa: [990]	235 [5]	no	21 (246) [-16 ?]	2.75 (884) [231]	0.73
LaYH <sub>20</sub> (180 GPa)	1.05-1.08 [0.39]	$1022\pm5$	0.2-0.4 [6-9×10 <sup>-6</sup> ]	48-96 7.2-21.6×10 <sup>-4</sup> – SC [1.2-2.4]	3.63 [845]	253 [20]	no	- (252) [19]	3.87 (850-1050) [281-300]	0.9
ThH <sub>10</sub> (170 GPa)	1.35 [0.046]	$1350 \pm 5$ $1466-1500$ [A55]	0.06-0.08 [10-14×10 <sup>-6</sup> ]	20-30 4×10 <sup>-3</sup> – SC [3-4]	1.68-1.71 [1160]	161 [3]	0.15	- (207) [-]	1.97 (1210) [214]	0.53
ThH <sub>9</sub> (150 GPa)	1.65 [0.23]	1453 ± 13 [A55]	0.055 [20×10 <sup>-6</sup> ]	16-22 40-45 – EPW 6-8×10 <sup>-3</sup> – SC [3-4]	1.46 [1200]	146 [6]	-	- (184) [-]	1.47 (1186) [161]	0.52

**Table A5.** Parameters of polyhydride samples for use in the GLAG model of the upper critical magnetic field (eq. A39).

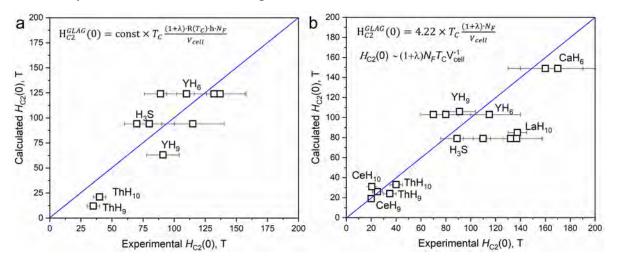
Compound	λ	$R(T_C)$ , $\Omega$	h <sup>a</sup> , μm	N(0), eV <sup>-1</sup> /metal	V <sub>cell</sub> , Å <sup>3</sup> /metal	<i>Т</i> с, К	H <sub>C2</sub> (0) (calc.), T	Exp. H <sub>C2</sub> (0), T <sup>b</sup>
YH <sub>6</sub> (166 GPa)	1.71	0.05	< 12*	0.71	22.9	224	124	132
YH <sub>9</sub> (205 GPa)	2.75	0.225	1	0.73	26.3	243	63	91
LaYH <sub>20</sub> (180 GPa)	3.87	0.3	1.8	0.9	32	253	206	117
ThH <sub>10</sub> (170 GPa)	1.97	0.07	3.5	0.53	32.5	161	21	40
ThH <sub>9</sub> (150 GPa)	1.47	0.055	3.5	0.52	32.5	146	12	35
H <sub>3</sub> S (150 GPa)	1.84	0.035 [A29]	<10	0.63	14.8	203	94	80
LaH <sub>10</sub> (150 GPa)	2.06	0.11 [A35]	< 10	0.88	33.2	250	245	115

<sup>&</sup>lt;sup>a</sup> thickness of gasket, µm

On the other hand, this GLAG-type model can be simplified by eliminating the product  $R(T_C)\times h$ , which is not exactly known from the experiment:

$$H_{C2}^{GLAG}(0) = 4.22 \times T_C \frac{(1+\lambda) \cdot N_F}{V_{cell}},$$
 (A40)

where  $T_C$  is in K,  $V_{cell}$  – in Å<sup>3</sup>/metal atom,  $N_F$  – is the density of electron states at the Fermi level, in states/eV/metal atom. Table A6 shows that this simplified model may be used to describes the experimental data.



**Figure A4.** Correlation of the experimental upper critical fields and calculated values of  $\mu_0 H_{C2}(0)$  for superhydrides obtained using two GLAG-type models: a) full  $H_{C2}(0) \sim \rho N_F(1+\lambda)V^{-1}T_C$  and b) simplified  $H_{C2}(0) \sim N_F(1+\lambda)V^{-1}T_C$ . The data are taken from Tables A5-6.

<sup>&</sup>lt;sup>b</sup> averaged value

**Table A6.** Polyhydride samples' parameters for use in the simplified GLAG model for the upper critical magnetic field (eq. A40).

Compound	λ	N(0), eV <sup>-1</sup> /metal	V <sub>cell</sub> , Å <sup>3</sup> /metal	<i>T</i> <sub>C</sub> , K	Calc. H <sub>C2</sub> (0), T	Exp. H <sub>C2</sub> (0), T <sup>b</sup>
YH <sub>6</sub> (166 GPa)	1.71	0.71	22.9	224	79	132
YH <sub>9</sub> (205 GPa)	2.75	0.73	26.3	243	107	91
LaYH <sub>20</sub> (180 GPa)	3.87	0.9	32	253	146	117
ThH <sub>10</sub> (170 GPa)	1.97	0.53	32.5	161	33	40
ThH <sub>9</sub> (150 GPa)	1.47	0.52	32.5	146	24	35
H <sub>3</sub> S (150 GPa)	1.84	0.63	14.8	203	103	80
LaH <sub>10</sub> (150 GPa)	2.06	0.88	33.2	250	85	115
YH <sub>9</sub> (200 GPa)	2.75	0.73	26.5	243	106	91
YH <sub>4</sub> (155 GPa)	1.1	0.475	19.5	88	19	20
CeH <sub>9</sub> (110 GPa)	1.46	0.92	32.5	90	31	25
CeH <sub>10</sub> (100 GPa)	2.0	0.75	35	115	31	20.5
CaH <sub>6</sub> (170 GPa)	2.69	0.91	20.5	215	149	170

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